



THE
**Water
Research**
FOUNDATION



Measuring Pathogens in Wastewater and Linkages to Risk Assessment and Plant Reliability

June 2, 2021



Housekeeping

- Submit questions through the question box at any time. We will do a Q&A at the end of the webcast.
- Slides and a recording of the webcast will be available at www.waterrf.org. You can download the slides now under Event Resources on the bottom left of your screen.
- A certificate of completion will be automatically generated after the webcast. Any questions, please contact msuazo@waterrf.org.
- There is a quick survey at the end of the webcast. Please take the time to help us improve our future webcasts.

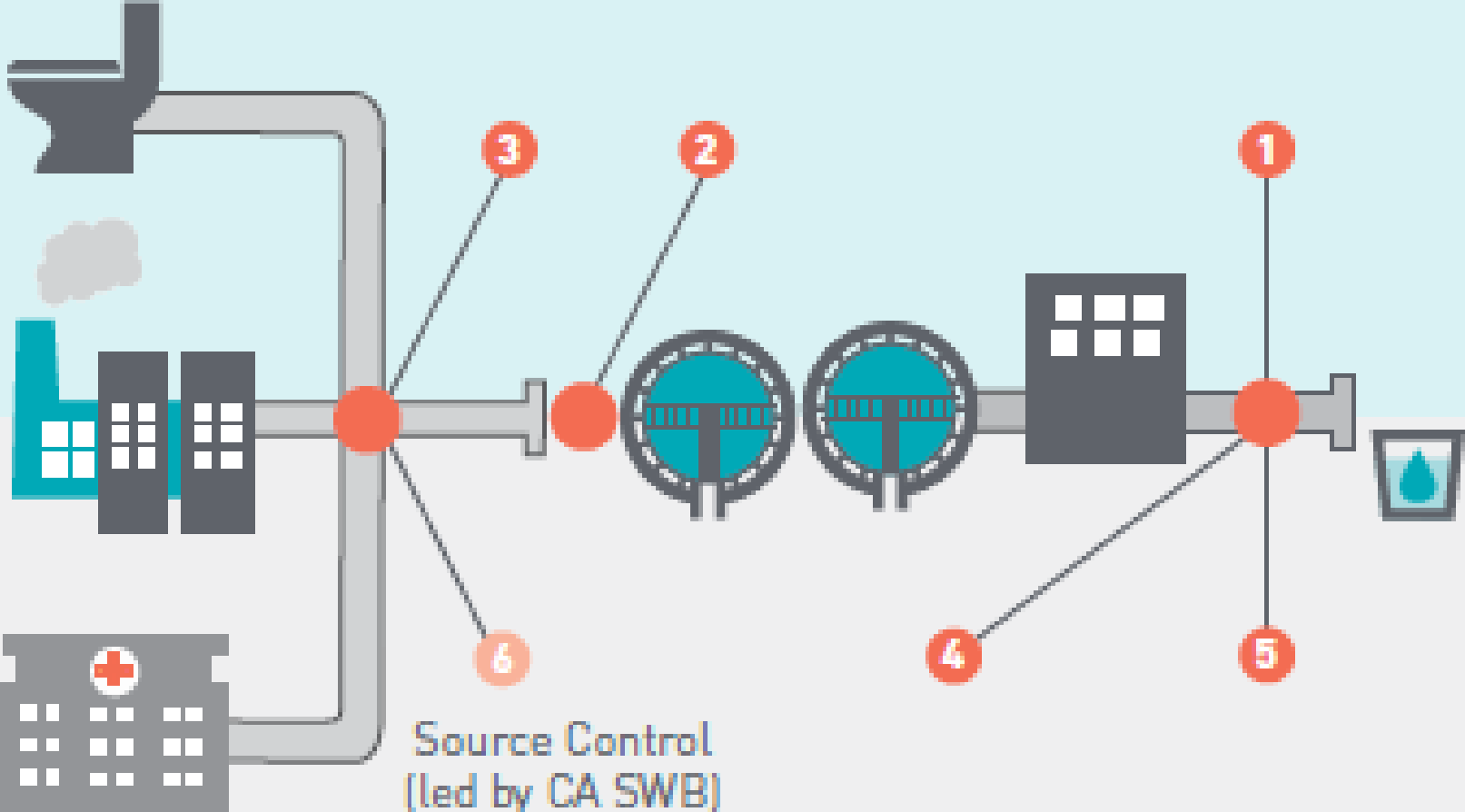
PROJECTS TO INFORM THE DEVELOPMENT OF DPR REGULATIONS

PATHOGENS

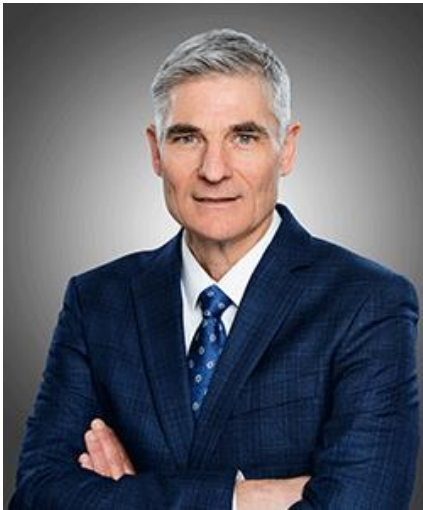
- 1 Tools to Evaluate Quantitative Microbial Risk and Plant Performance/Reliability
- 2 Measuring Pathogens in Wastewater
- 3 Collecting Pathogens in Wastewater During Outbreaks

CHEMICALS

- 4 Defining Potential Chemical Peaks and Management Options
- 5 Evaluating Analytical Methods for Detecting Unknown Chemicals in Recycled Water



Today's Presenters



Peter Grevatt, PhD
CEO
The Water Research
Foundation



E. Joaquin Esquivel,
Chair, State Water
Resources Control
Board



Deven Upadhyay,
Assistant General
Manager/Chief
Operating Officer,
Metropolitan Water
District of Southern
California



Randy Barnard, PE
Technical Operations
Section Chief,
State Water Resources
Control Board
Division of Drinking
Water



Brian Pecson, PhD, PE
Principal Engineer
Trussell Technologies

Today's Presenters



Anya Kaufmann, PE
Senior Engineer
Trussell Technologies,
Inc.



Daniel Gerrity, PhD
Principal Research
Scientist
Southern Nevada
Water Authority



Emily Darby, PE
Trussell
Technologies, Inc.



Robert Hultquist
State Water Board
Division of Drinking
Water, Retired



Adam Olivieri, Dr.PH, PE
Principal and Founder,
EOA, Inc.
DDW Expert Panel Co-
Chair



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Welcome and Opening Remarks

Peter Grevatt, PhD
Chief Executive Officer
The Water Research Foundation





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DPR Research

E. Joaquin Esquivel
Chair, State Water Resources Control Board



Protecting Public Health

- The State Board is charged with ensuring that water delivered by public water systems shall at all times be pure, wholesome, and potable.
- Every citizen of California has the right to pure and safe drinking water.
- The State Water Board establishes uniform statewide regulations for each type of use of recycled water for the protection of public health.
- Criteria for DPR must be protective of public health.



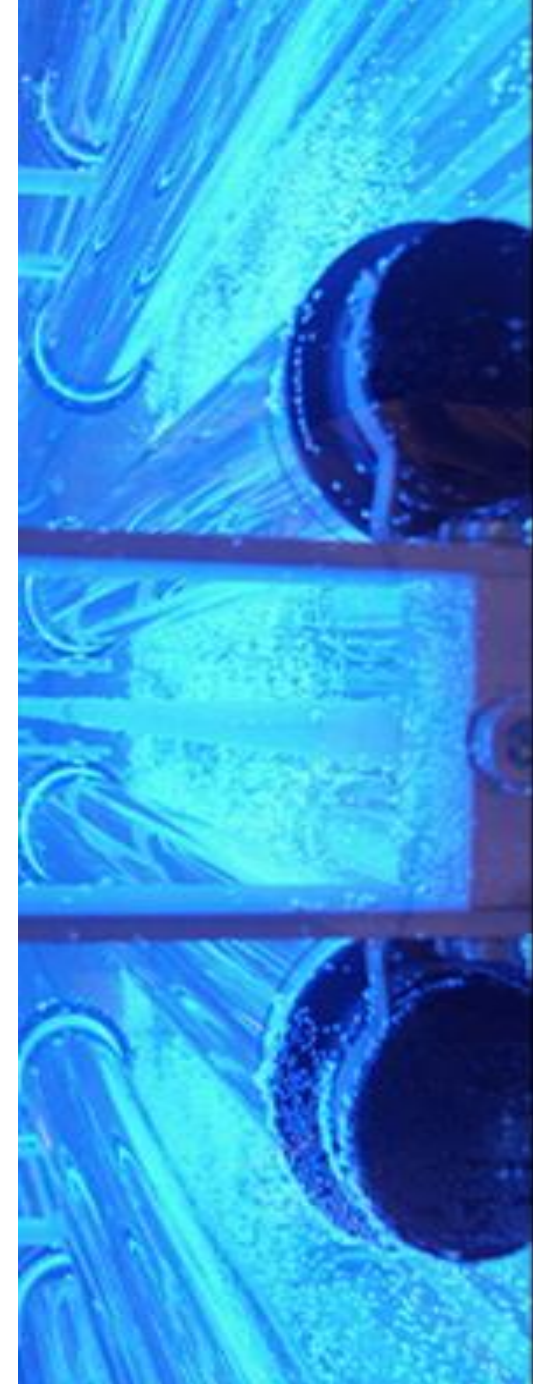
Water Resiliency

- Changes in our environment related to climate change means more droughts in our future, so we must expand our water resource options.
- We need to take a thoughtful and deliberate approach to diversifying and securing our long-term water resilience.
- Direct potable reuse is one part of a multifaceted effort that includes a wide range of sources, including indirect potable reuse through groundwater recharge, surface water augmentation, storm water capture, and desalination.
- The completion of the DPR research is an important step in our path to develop regulations for DPR protective of public health.



Research for Direct Potable Reuse

- There is great potential with DPR, but it also presents very real scientific and technical challenges that must be addressed to ensure public health is reliably protected at all times.
- The current research provides information to fill knowledge gaps in development of criteria
- Ongoing research will continue to expand our collective knowledge of the risks and the means to address the risks of DPR as the State continues to develop options for water resiliency.





THE
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MWD Welcome & Opening Remarks

Deven Upadhyay
Assistant General Manager/Chief Operating Officer
Metropolitan Water District





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DPR Research

Randy Barnard
Chief, Technical Operations Section,
Division of Drinking Water,
State Water Board



Background



Need for Research

“The State Water Board finds the research results will make a significant contribution to the development of criteria for DPR, and most importantly, will provide a higher level of certainty that the criteria are protective of public health, and therefore must be conducted concurrently with the development of DPR criteria.”

(2016 Report to Legislature on the Feasibility of Developing Uniform Water Recycling Criteria for Direct Potable Reuse)

5 DPR Research Projects

Most began in early 2019, Completed in early 2021:

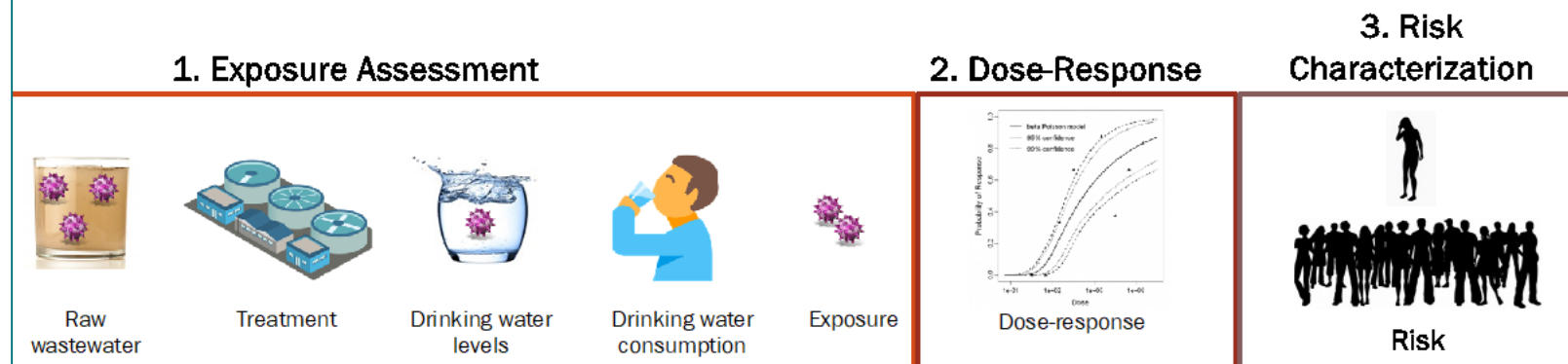
- ✓ DPR-1 Quantitative Microbial Risk Assessment
- ✓ DPR-2 Raw pathogen data
- ✓ DPR-3 Worst case raw pathogen levels (outbreak)
- ✓ DPR-4 Reduce chemical spikes
- ✓ DPR-5 Analytical methods for unknown low molecular weight chemicals

<https://www.waterrf.org/california-state-water-board-grant>

DPR-1 Tool to Evaluate Quantitative Microbial Risk and Plant Performance/Reliability

- Develop probabilistic QMRA Tool and Guidance
- State Board will consider probabilistic QMRA as part of criteria development and see whether/how it can be implemented

THERE ARE A LOT OF DECISIONS....



DPR-2 Measure Pathogens in Wastewater

- Provide better empirical data on the concentration and variability of pathogens in raw wastewater for the purpose of verifying log removal values necessary to adequately protect public health in DPR projects
- Develop recommendations for the collection and analysis of pathogen data in raw wastewater that may be used in future monitoring efforts

Literature
Review
Pathogens
& Methods

Methods
Optimization

Pathogen
Monitoring

Data Analysis
QC

Addendum Public Comment Period

- Written comments due June 25, 2021 noon
- Email DDWrecycledwater@waterboards.ca.gov

Submit comments on the Framework	
By email	DDWrecycledwater@waterboards.ca.gov PDF preferred (15 MB max)
By mail	Jing Chao Division of Drinking Water, Technical Operations Section State Water Resources Control Board 1350 Front Street, Room 2050 San Diego, CA 92101

Today's Discussion

Past

Present

FUTURE



*A Proposed Framework of Regulating Direct Potable Reuse in California
Addendum
version 3-22-2021*

DPR Framework 2nd edition Addendum – Early Draft of Anticipated Criteria for Direct Potable Reuse

To be added in [Title 22, Division 4, Chapter 17](#), Surface Water Treatment, as new Article 10, Direct Potable Reuse.

This draft includes a brief citation or explanation (under "Rationale") following each section which provides information to inform the reader about the topic or point the reader to the section in the Framework document that discusses the topic. The rationale sections will not be part of the regulation but may be included in the statement of reasons of the regulation package when it is released.

§ 64669.00 Application

In addition to meeting the requirements of this Chapter, a public water system that is responsible for using municipal wastewater for treatment to produce water that is used to augment a source of supply or is used for drinking water distribution as defined in [section 13561\(b\)](#) of Chapter 7.3, Division 7, Water Code shall meet the requirements of this Article and requirements of articles 7, 8, and 10 of [Chapter 3 of Division 4, Title 22](#), California Code of Regulations¹.

Rationale: This Article applies to a project that receives municipal wastewater for treatment to produce water that is used as a source of supply, or drinking water directly for distribution, thus covering both raw water augmentation and treated water augmentation. This Article does not apply to indirect pot...
Reuse". This Cha...
the DPR criteria. R...
engineering report...
design section, an...

¹ Articles 7, 8, and Related Regulation
<https://www.water...>

California Draft
DPR Criteria

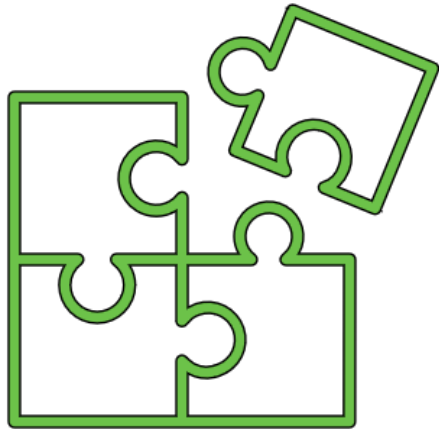


Today's Discussion

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Present

FUTURE



Pathogen Research

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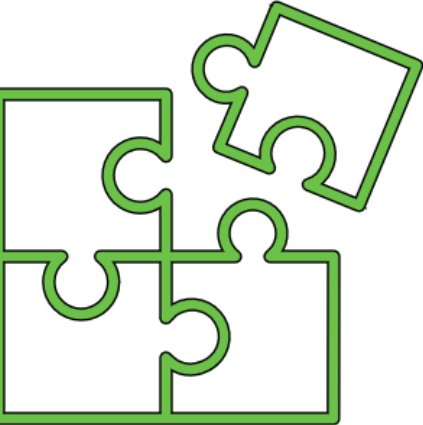
California Draft
DPR Criteria

Today's Discussion

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Present

FUTURE



Pathogen Research

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Addendum
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DPR Framework 2nd edition Addendum – Early Draft of Anticipated Criteria for Direct Potable Reuse

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§ 64669.00 Application of this Chapter to Water Treatment Systems
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Rationale: This Article applies to a project that receives municipal wastewater for treatment to produce water that is used as a source of supply, or drinking water directly for distribution, thus covering both raw water augmentation and treated water augmentation. This Article does not apply to indirect potable reuse. This Chapter does not apply to the design section, and the DPR criteria. For more information, see the engineering report design section, and the DPR criteria.

¹ Articles 7, 8, and Related Regulation
<https://www.water.ca.gov>

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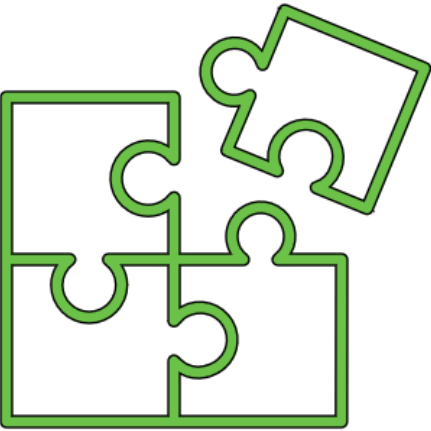
California Draft
DPR Criteria

Today's Discussion

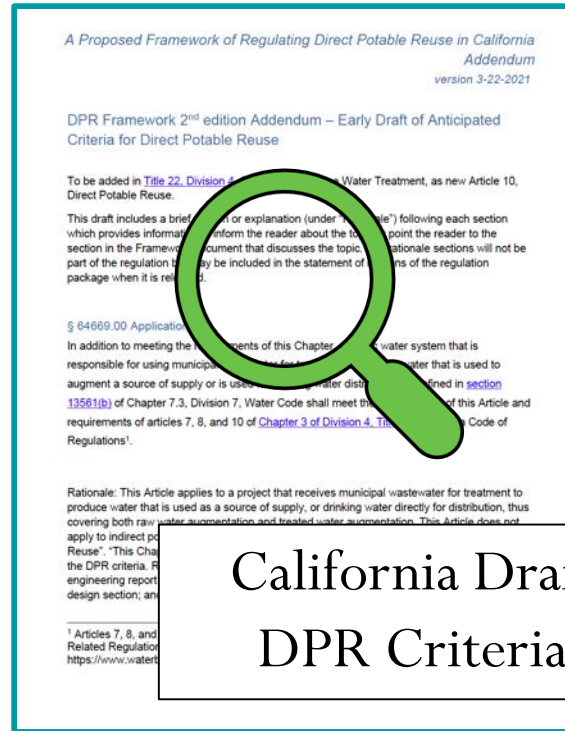
Past

Present

FUTURE



Pathogen Research

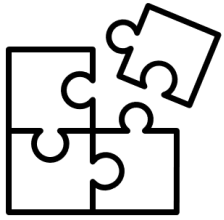


California Draft
DPR Criteria



ASSESS OTHER
DPR PROJECTS
REGULATIONS



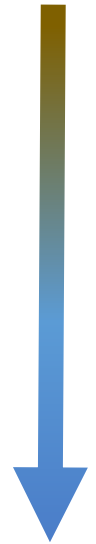


How Much Pathogen Treatment?

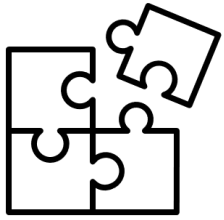


Wastewater

20-log virus
14-log *Giardia*
15-log *Crypto*



Drinking Water



How Much Pathogen Treatment?

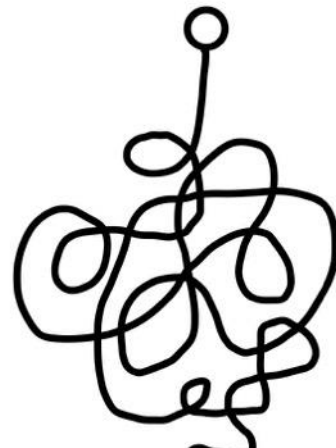


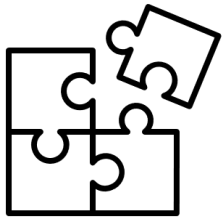
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Drinking Water





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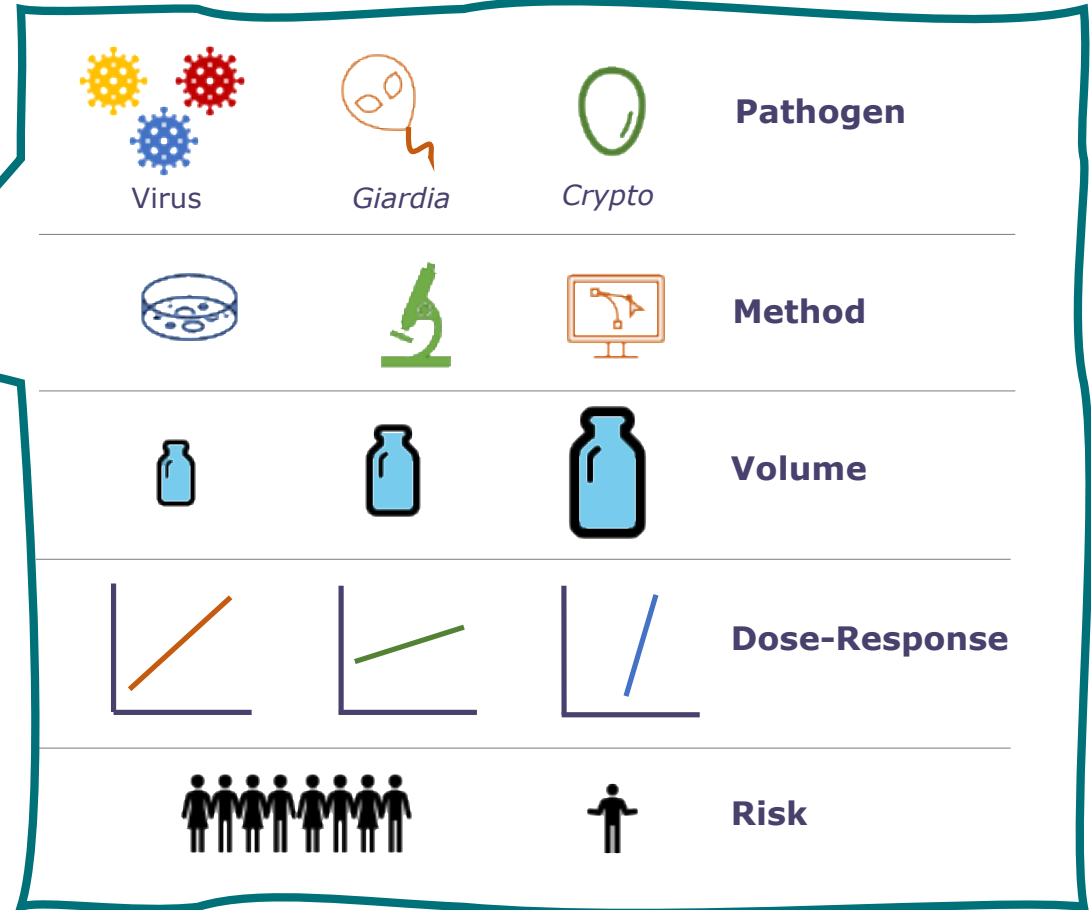
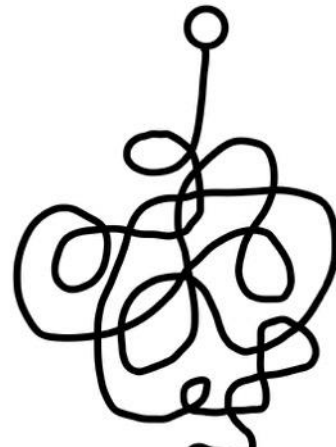


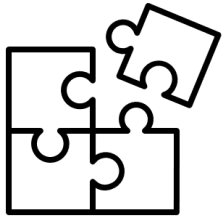
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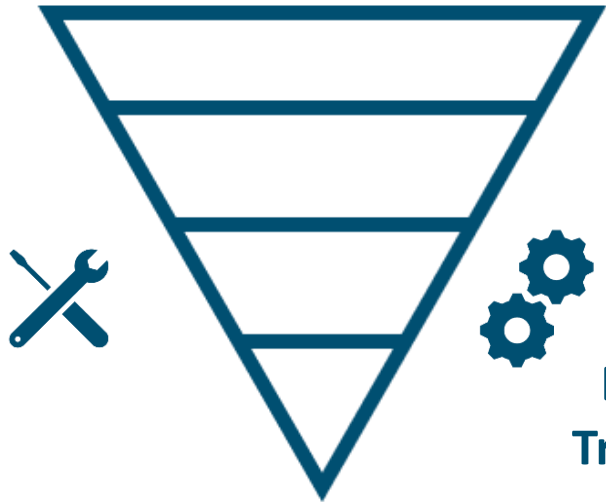


Drinking Water



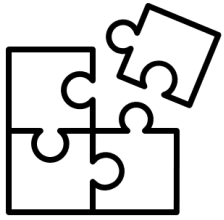


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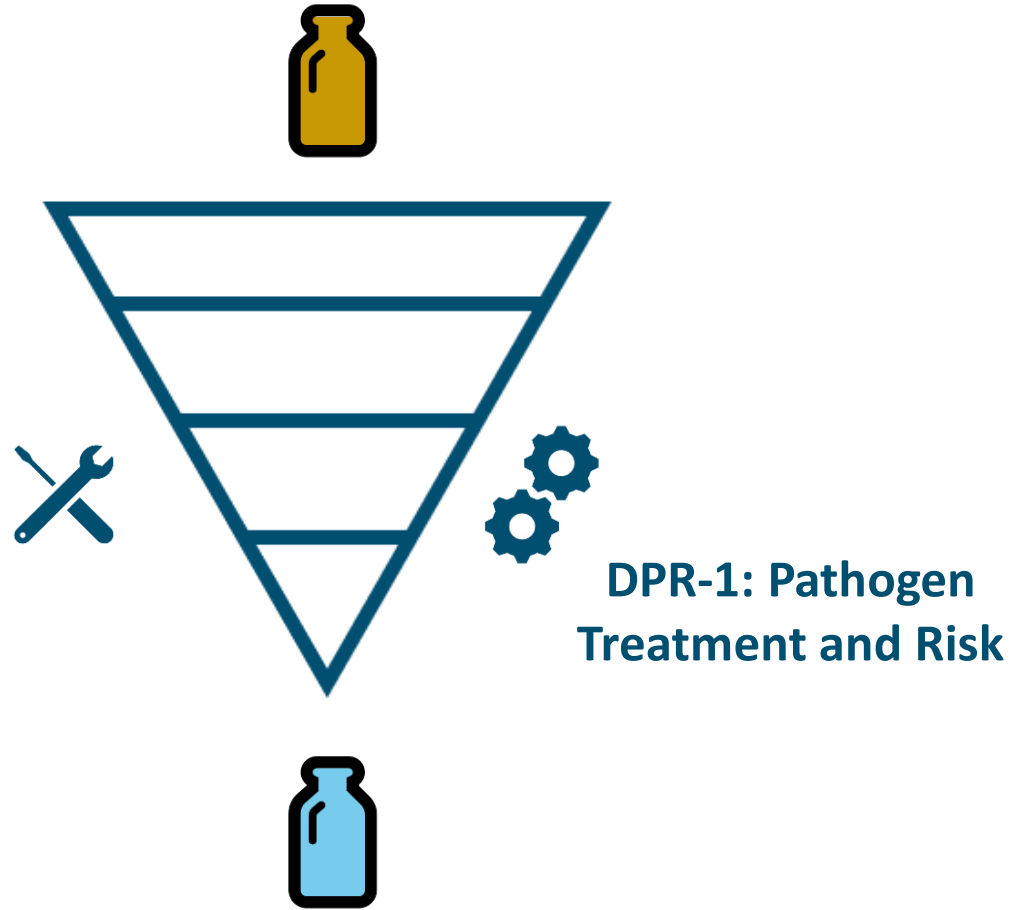


**DPR-1: Pathogen
Treatment and Risk**

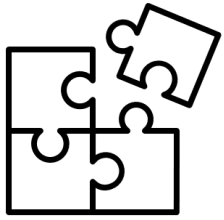




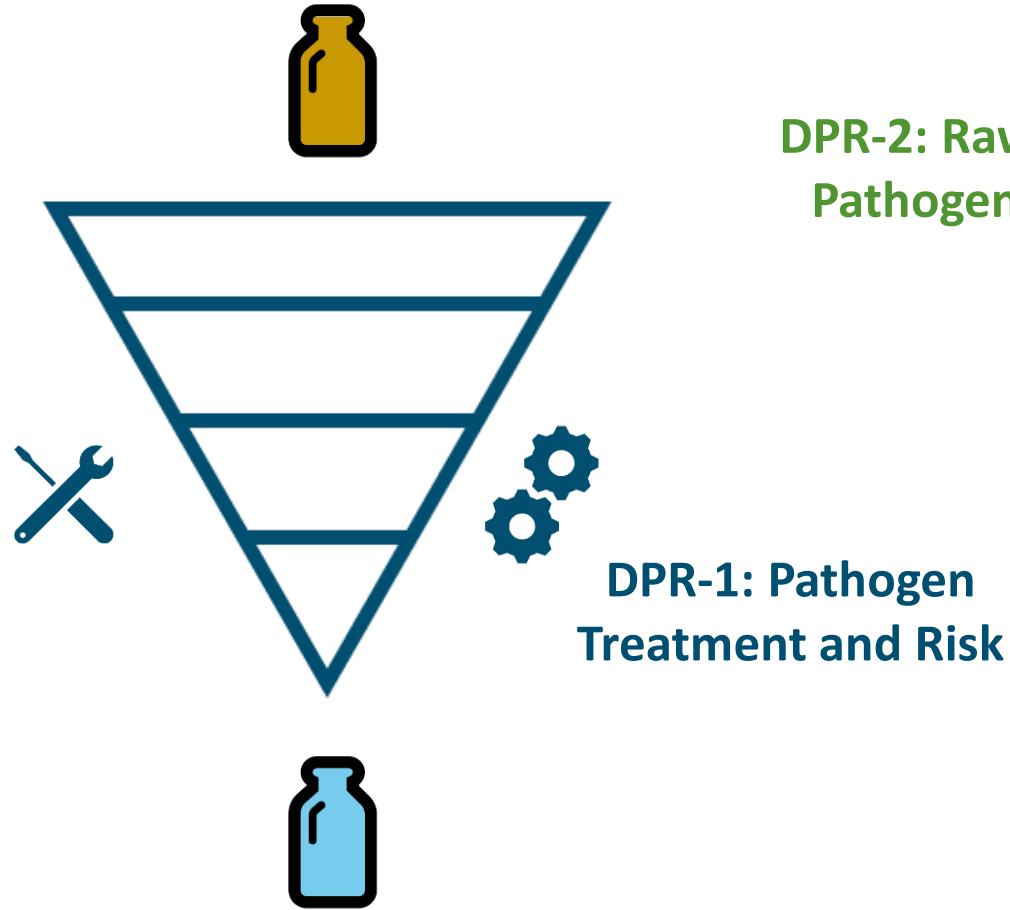
How Much Pathogen Treatment?



			Pathogen
Virus	Giardia	Crypto	
			Method
			Volume
			Dose-Response
			Risk



How Much Pathogen Treatment?



			Pathogen
			Method
			Volume
			Dose-Response
			Risk



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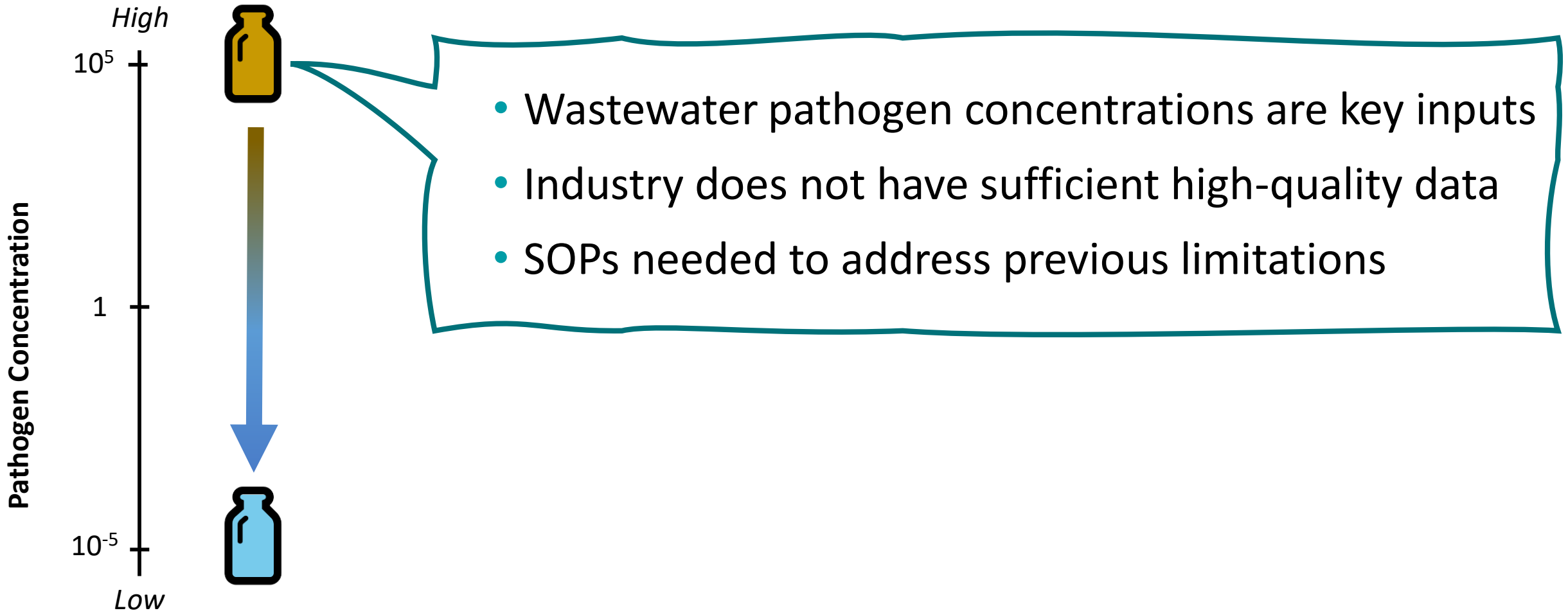
Raw Wastewater Pathogen Monitoring (DPR-2)

Brian Pecson, Ph.D., P.E., Trussell Technologies

Emily Darby, P.E., Trussell Technologies



Motivation for Research



DPR-2 Technical Work Group



George Di Giovanni
Metropolitan Water
District



Menu Leddy
Essential
Environmental &
Engineering Systems



Kara Nelson
UC, Berkeley



Brian Pecson
Trussell Technologies



Channah Rock
University of Arizona



Theresa Slifko (chair)
Metropolitan Water
District

Additional Staff:



Emily Darby
Trussell Technologies



Adam Olivieri
WRF/State Board Coordination

DPR-2 Laboratories and QA/QC



cel analytical, inc.
water, wastewater, and soil laboratory services

Lead Lab



BIOLOGICAL CONSULTING SERVICES
OF NORTH FLORIDA, INC.

Method
Development
Lab

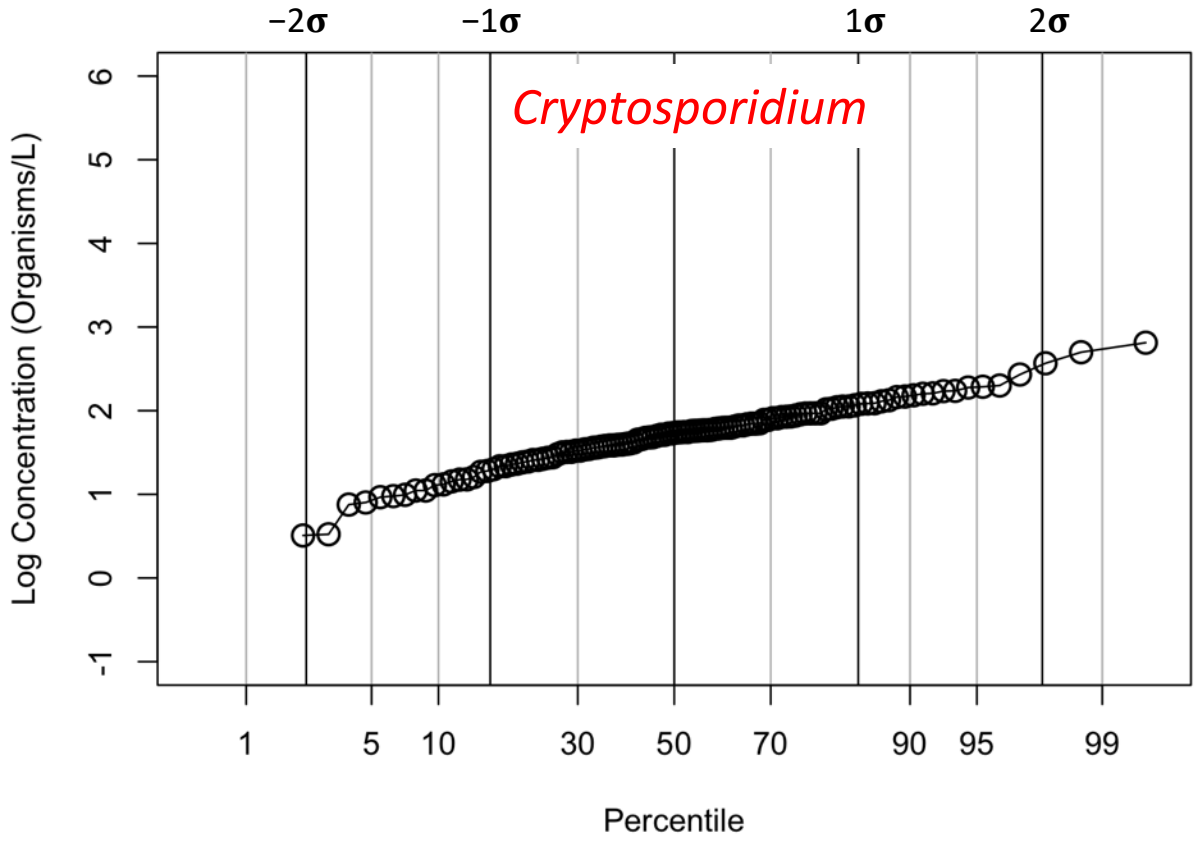


Walt Jakubowski
QA/QC Officer

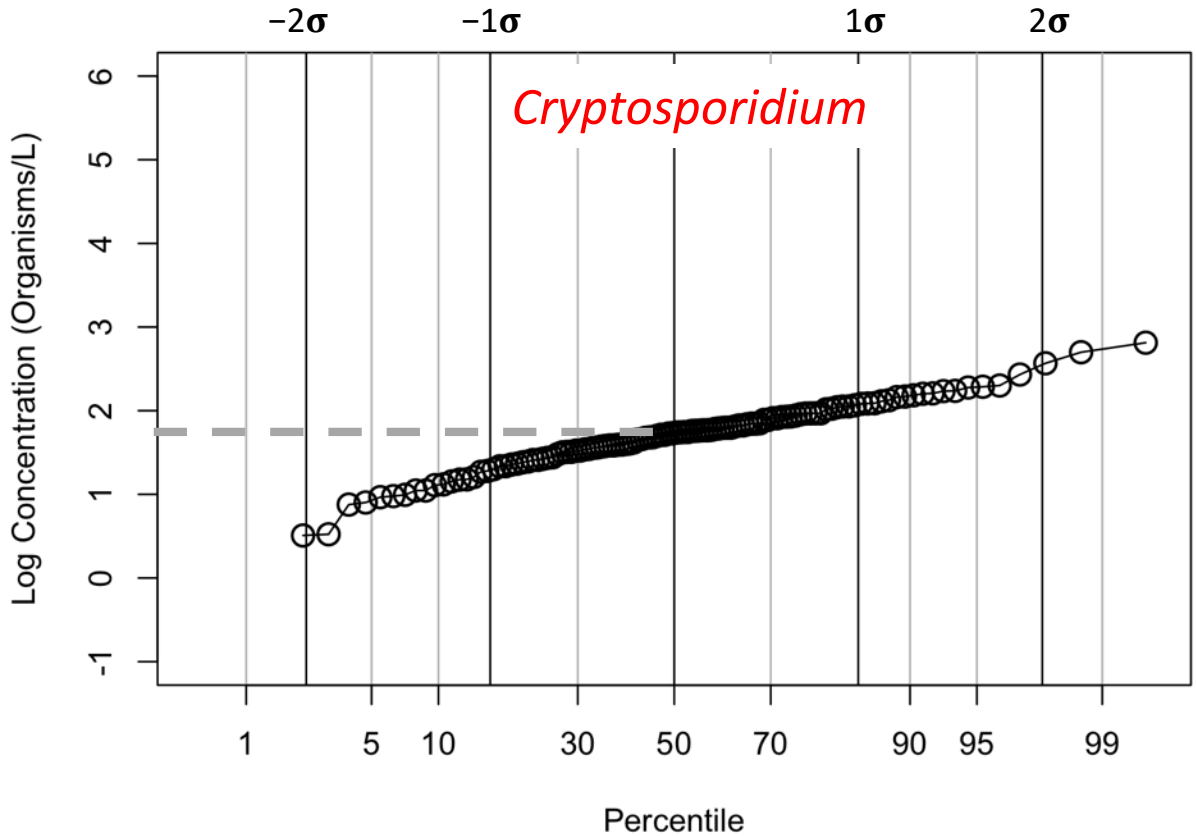


SCIENTIFIC
METHODS

A Closer Look: Pathogen Distributions



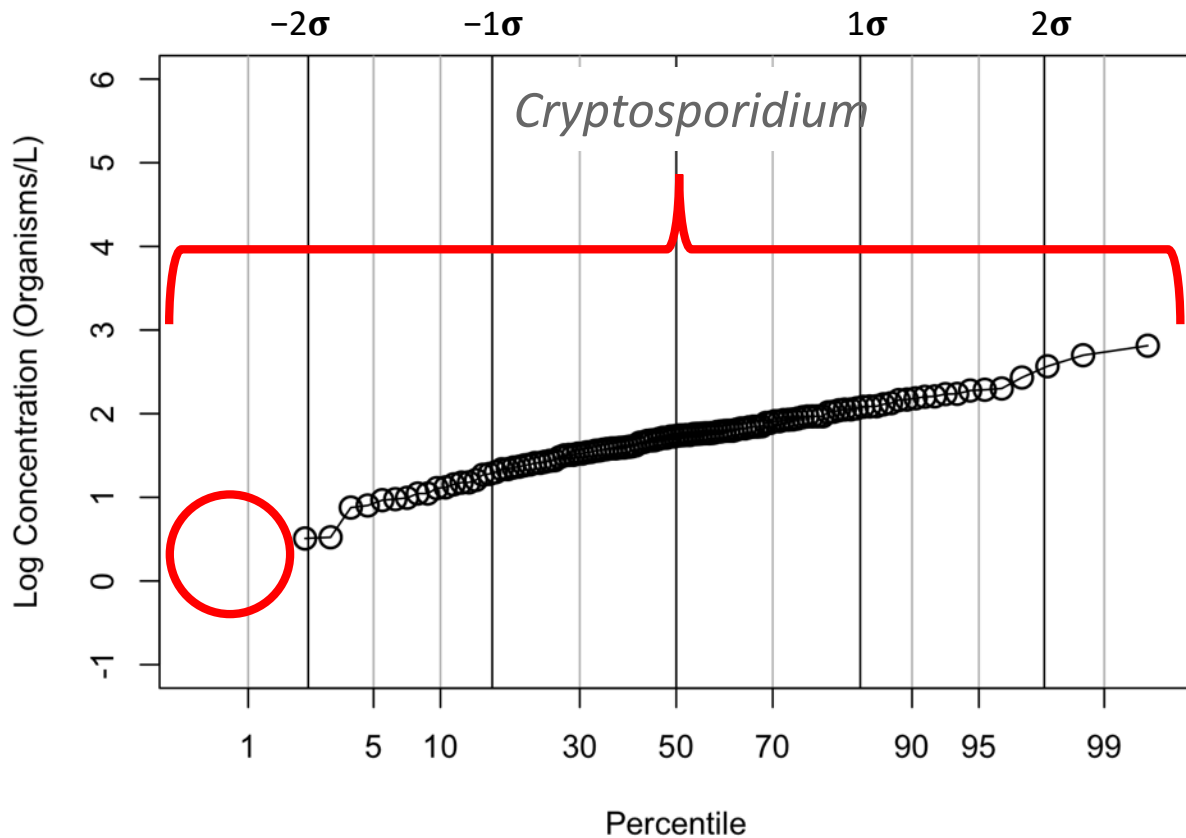
A Closer Look: Pathogen Distributions



50th percentile: $10^{1.7} = 50$
Expect half of your values to be < 50 oocysts/L

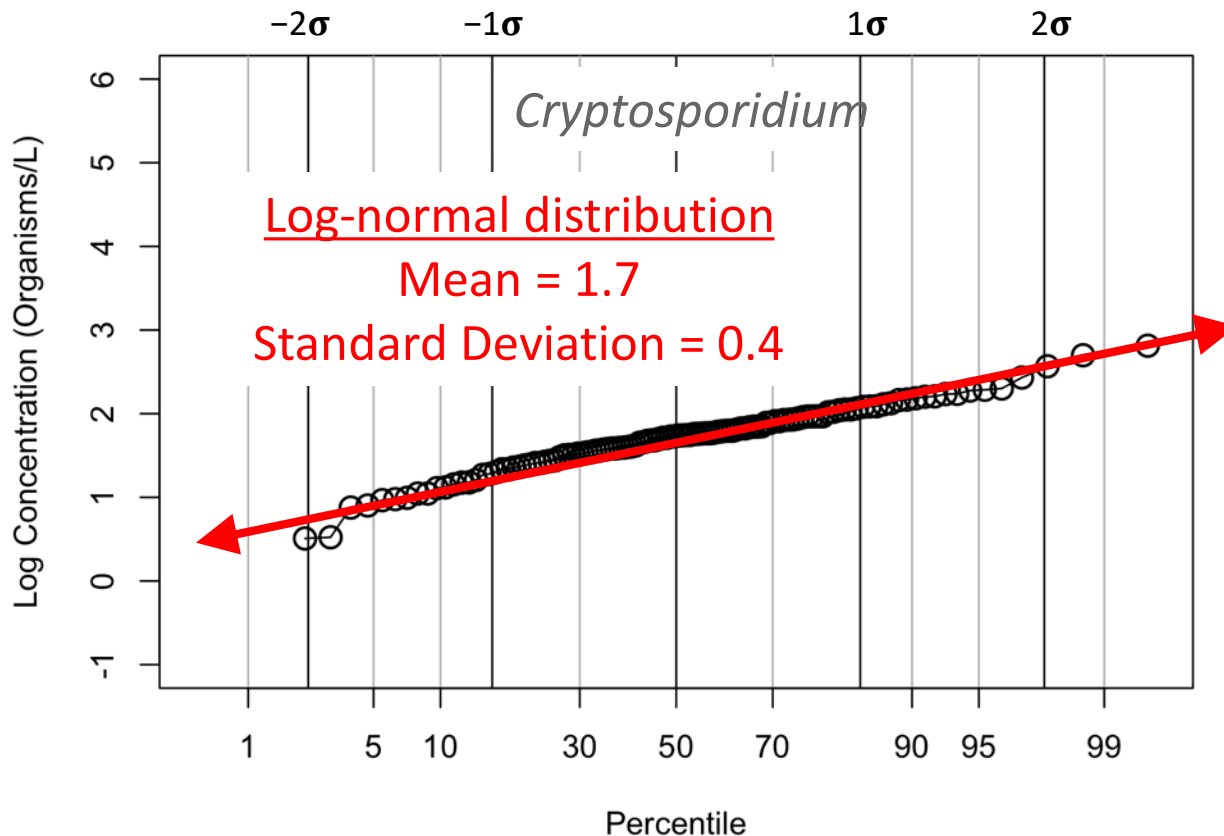


A Closer Look: Pathogen Distributions



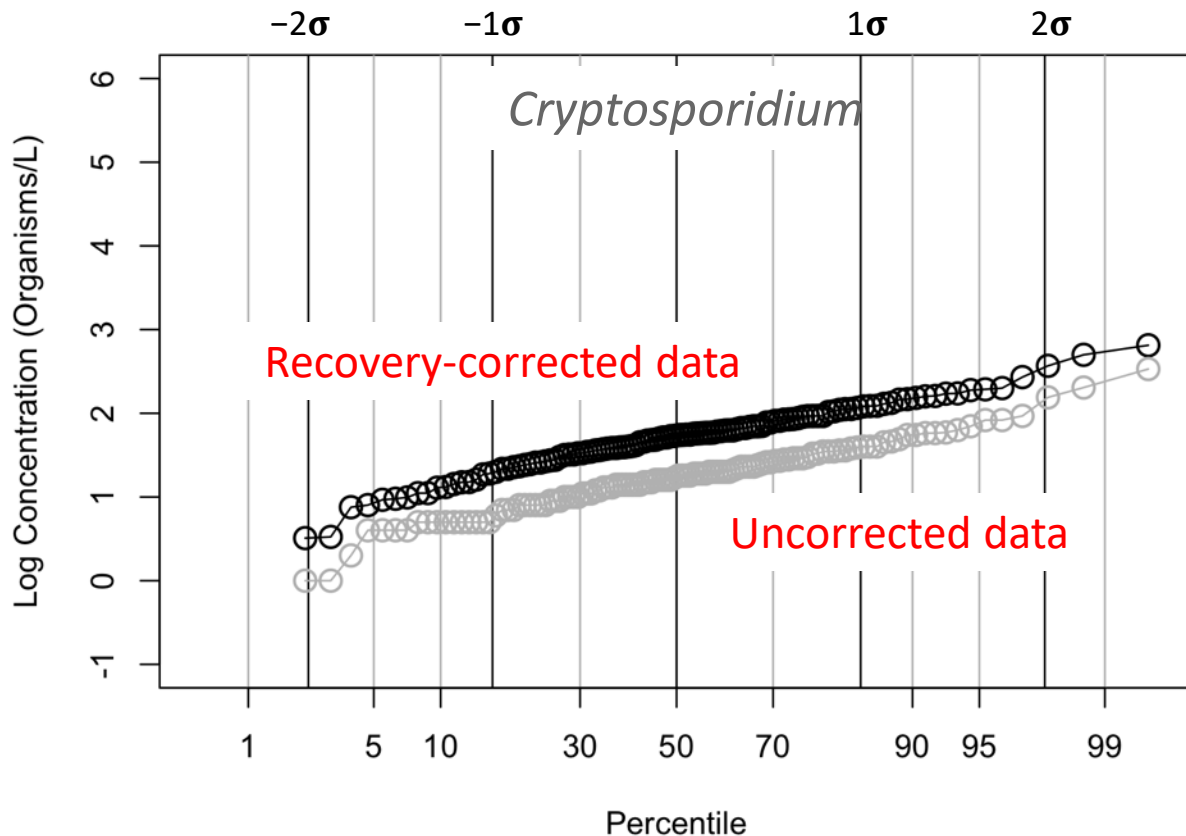
- High rate of detects across full range

A Closer Look: Pathogen Distributions



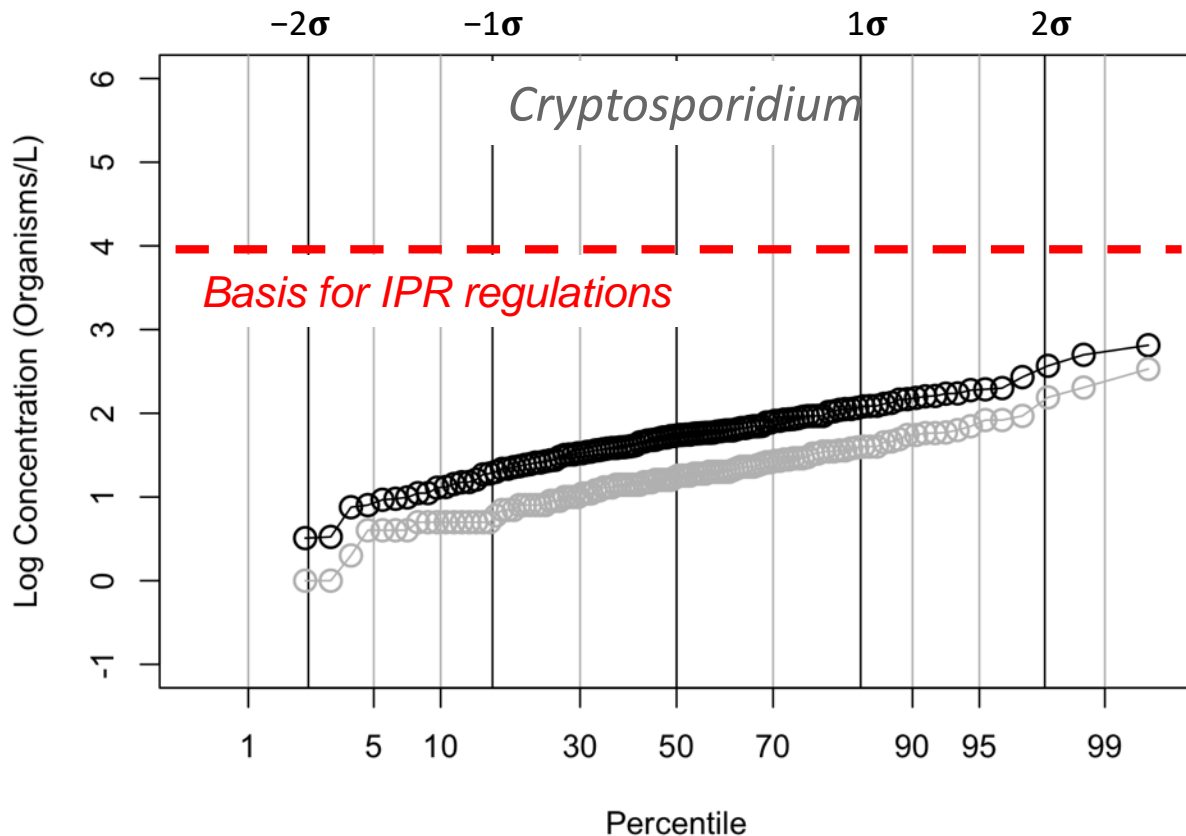
- High rate of detects across full range
- Distribution models used to estimate concentrations beyond measured range

A Closer Look: Pathogen Distributions



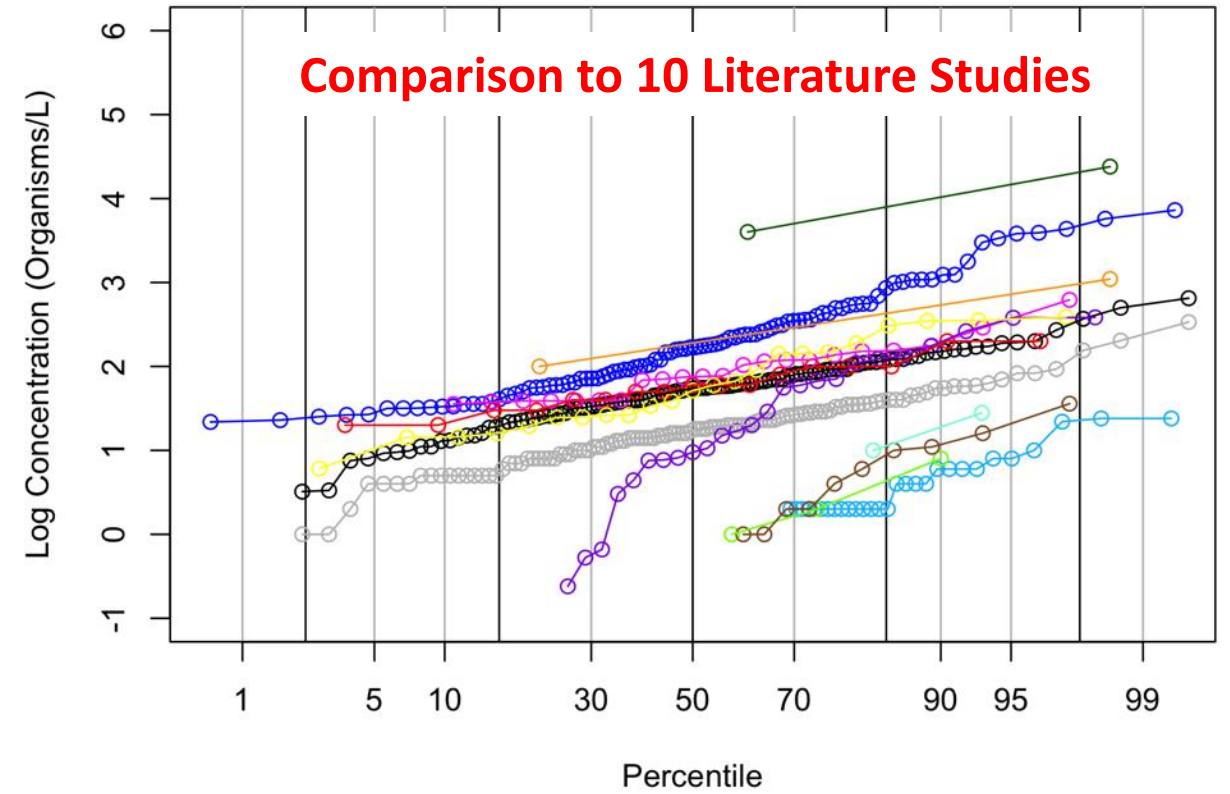
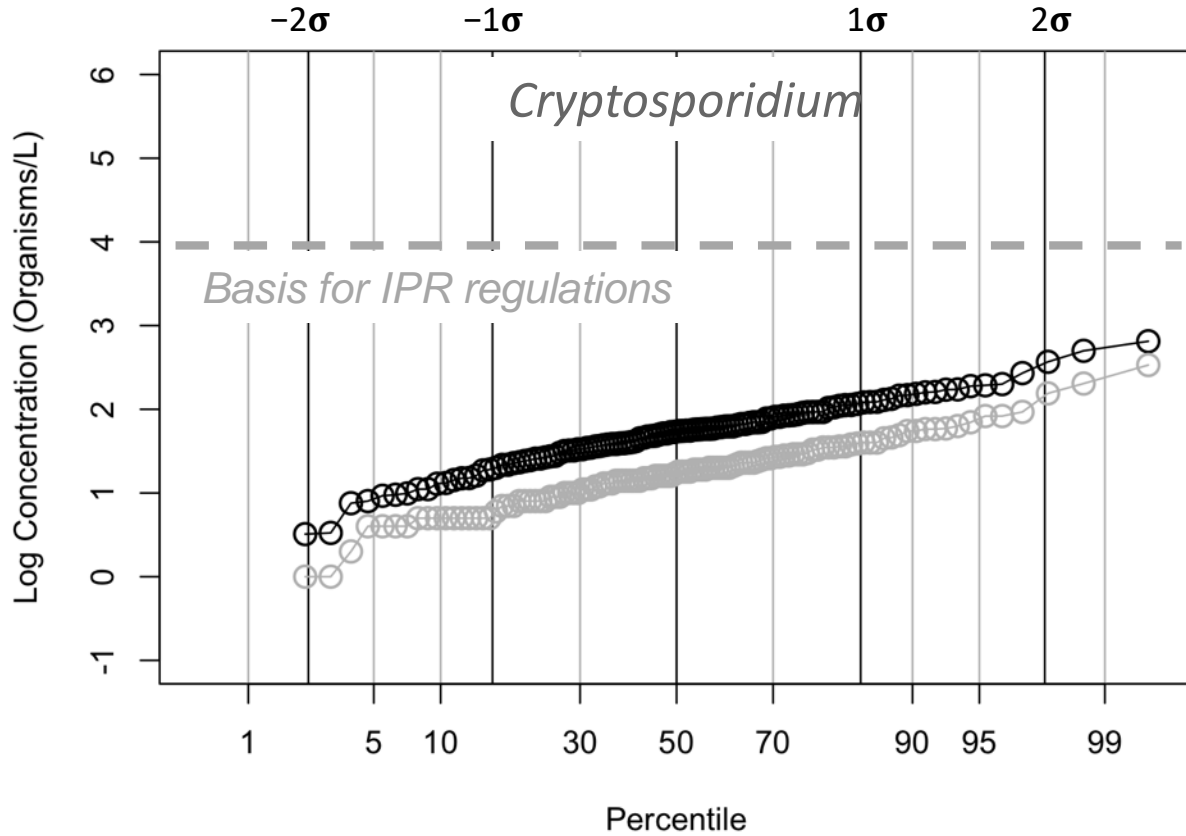
- High rate of detects across full range
- Distribution models used to estimate concentrations beyond measured range
- Values corrected to account for losses

A Closer Look: Pathogen Distributions



- High rate of detects across full range
- Distribution models used to estimate concentrations beyond measured range
- Values corrected to account for losses
- Allows for comparison with IPR pathogen assumptions

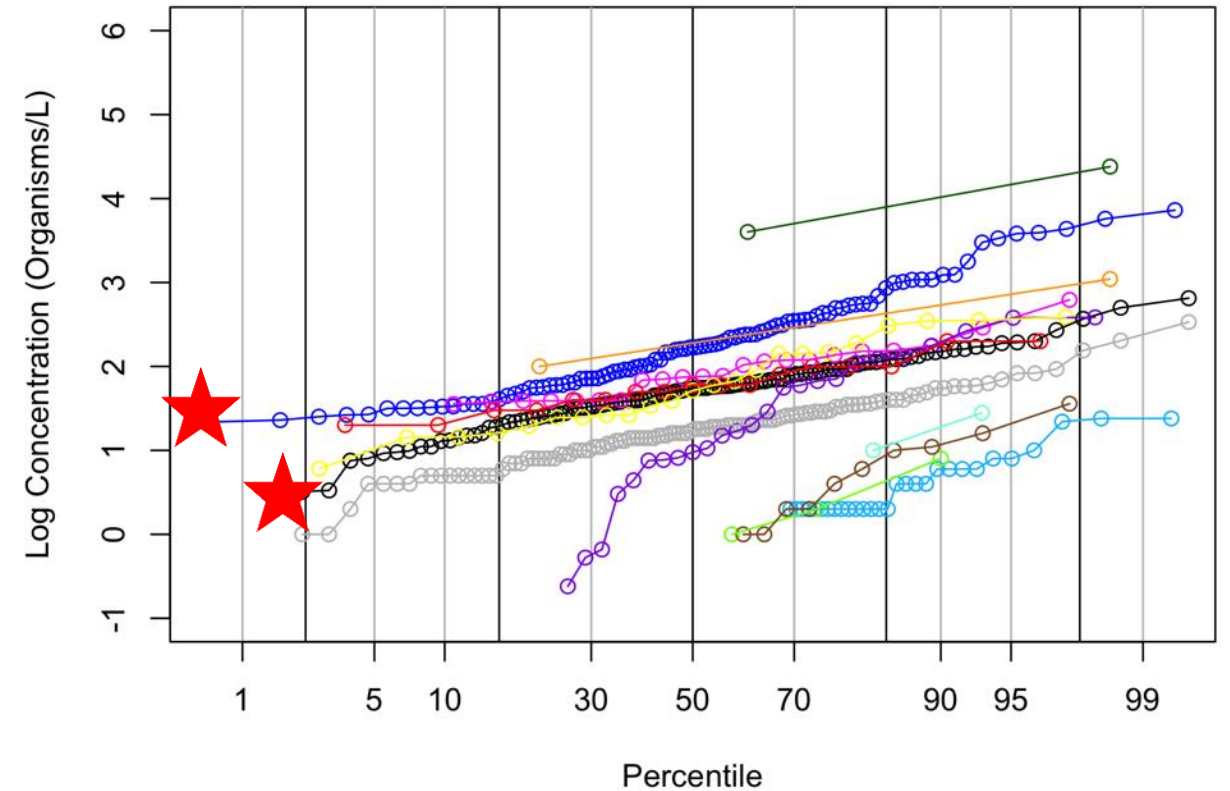
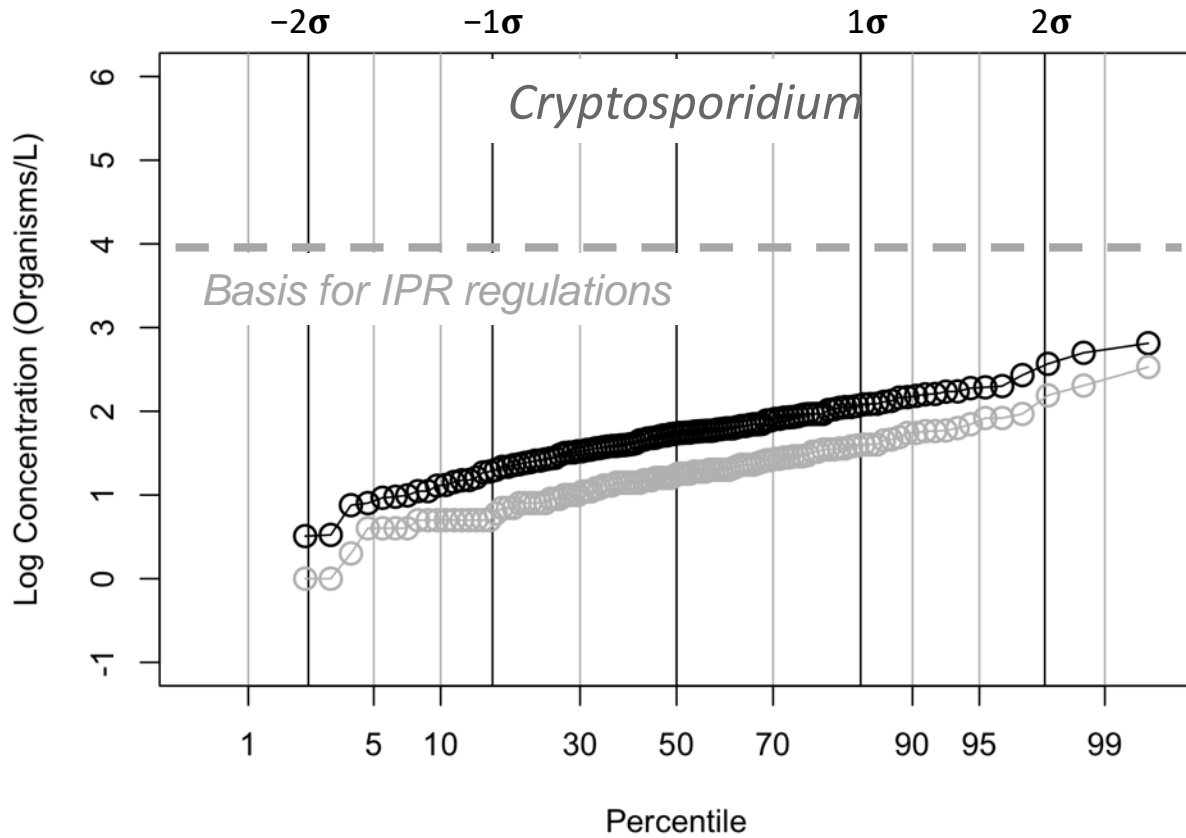
A Closer Look: Pathogen Distributions



- Legend**
- This work - corrected data
 - This work - raw data
 - Tetra Tech & Melbourne Water 2011
 - Gray et al. 2009
 - Kitajima et al. 2014
 - McCuin & Clancy 2005
 - Rose et al. 2004
 - Robertson et al. 2006 (50 μL samples)
 - Robertson et al. 2006 (2 mL samples)
 - Monterey 2013
 - Oceanside 2015
 - San Diego 2016
 - San Diego 2019

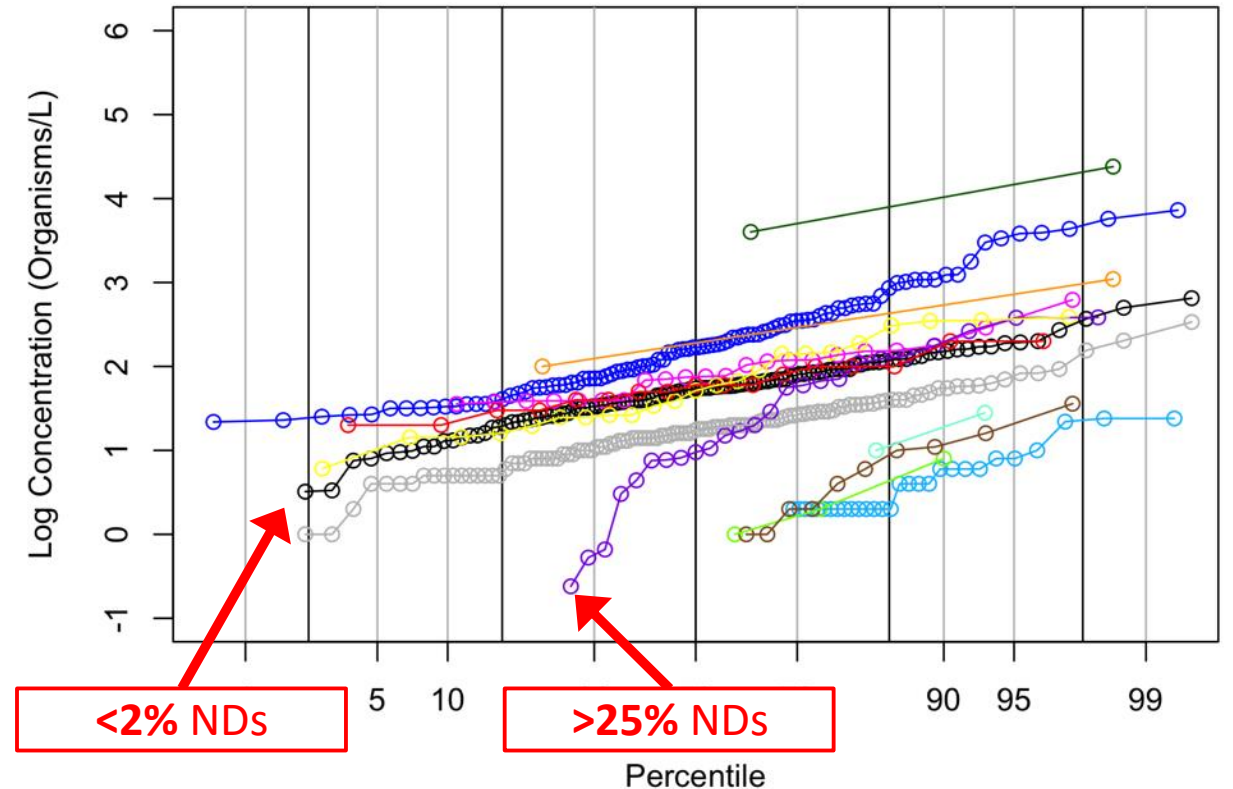
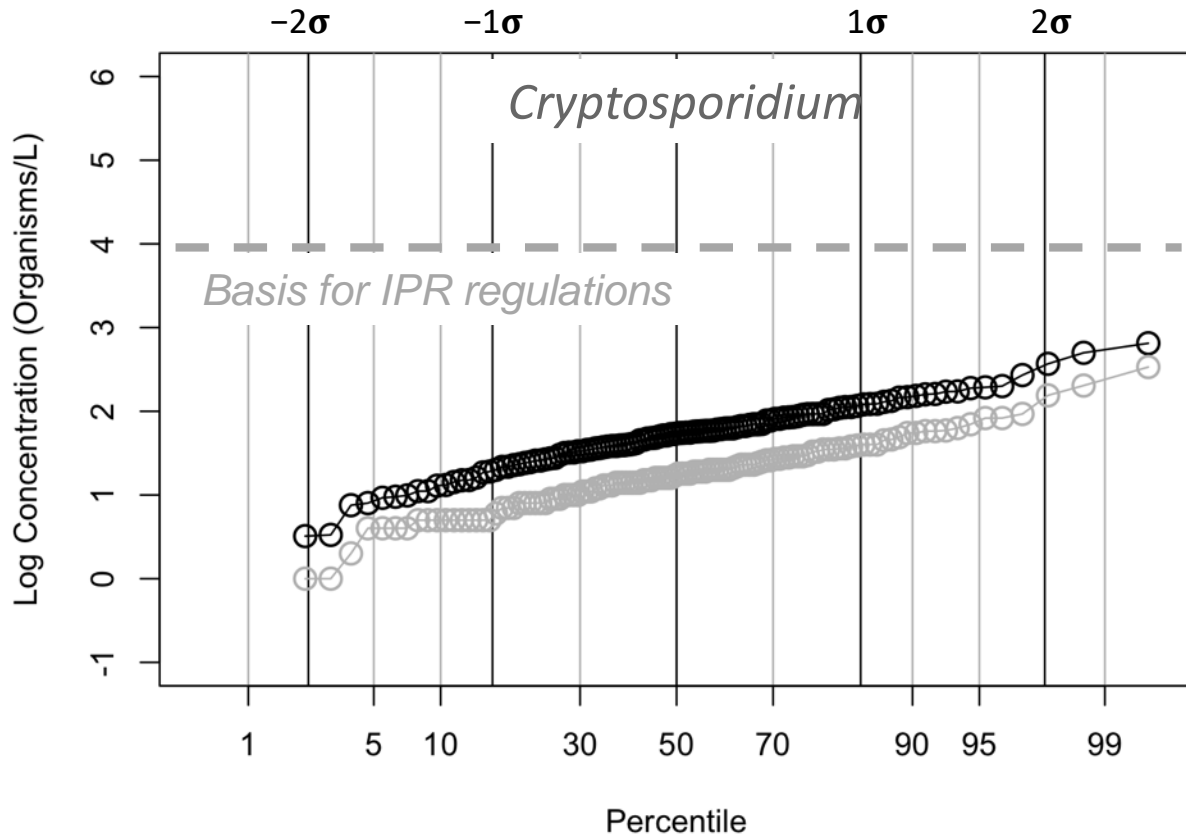


A Closer Look: Pathogen Distributions



- Only two studies correct for recovery

A Closer Look: Pathogen Distributions



- Only two studies correct for recovery
- DPR-2 among highest rate of detects

Quality Assurance Project Plan sets bar for quality

- SOPs optimized to minimize non-detects
 - 94% detection rate for all culture and microscopy assays
- Extensive QA/QC requirements
 - Matrix spikes provide ability to correct for recovery
- Effective in wastewater from 5 different facilities
- Reproducible across 3 different labs

QAPP Analytical Microbiology Supporting
Version 4.0.

WRF Contract No: 4952
Date: 05.06.20

Quality Assurance Project Plan

Analytical Microbiology Services

Water Research Foundation
Contract #4952

Prepared for:

The Water Research Foundation

Prepared by:

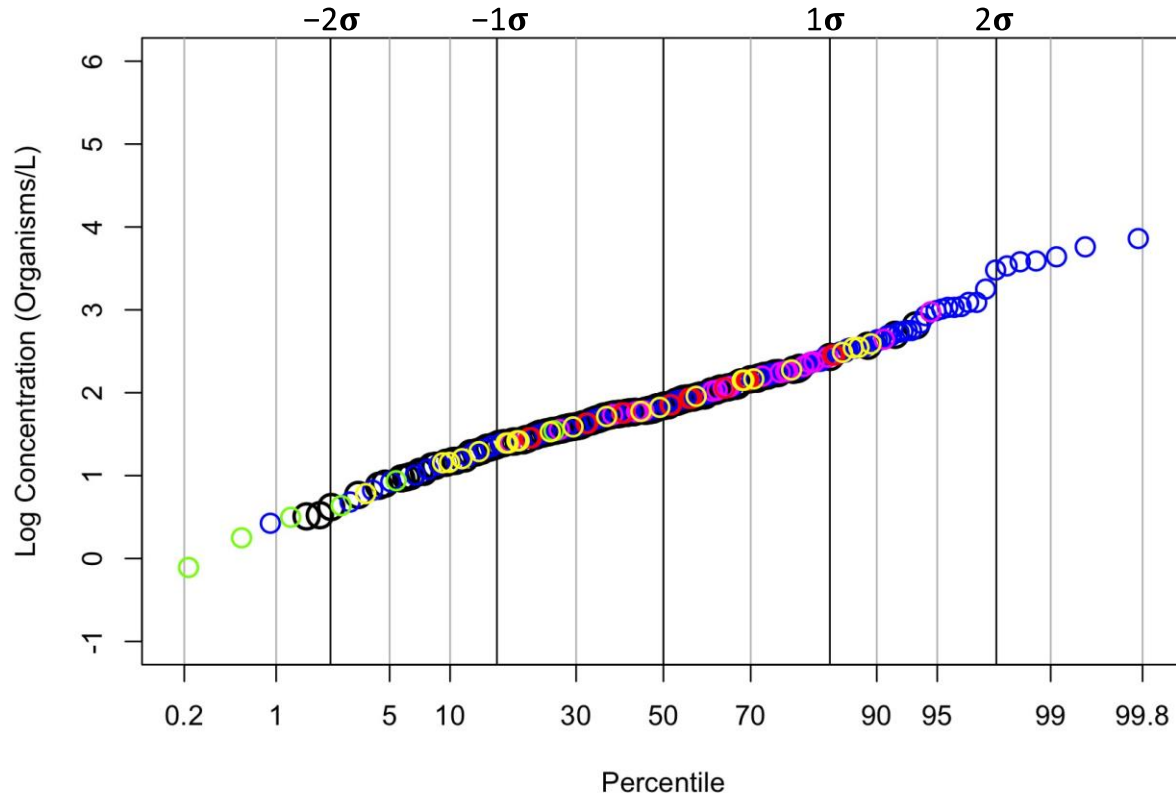
 *cel analytical, inc.*
water, wastewater, and soil laboratory services

82 Mary Street Suite 2
San Francisco, CA 94103
Yeggie Dearborn Ph.D.
Program Manager
Email: yeggie@celanalytical.com

August, October
Version 1.0, Rev.01
November
Version 2.0, Rev.02
Version 2.0, Rev.03
Version 3.0
Version 4.0

February 2021

Combining DPR-2 with other selected studies

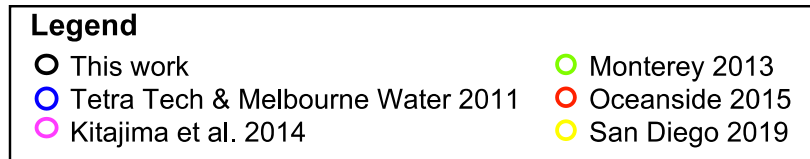
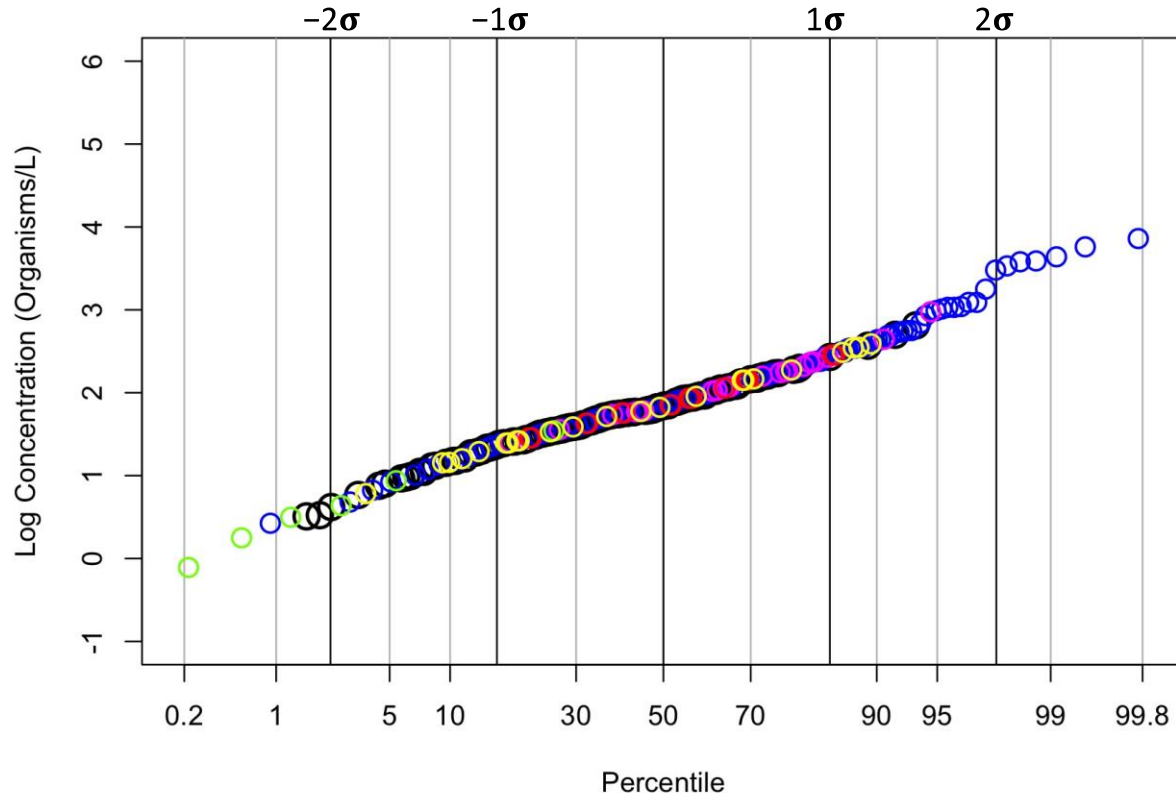


Legend

- This work
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- TWG selected studies meeting minimum quality criteria:
 - Recovery reported
 - Percent detectable (>50%)
- Aggregated all recovery-corrected values into single distribution

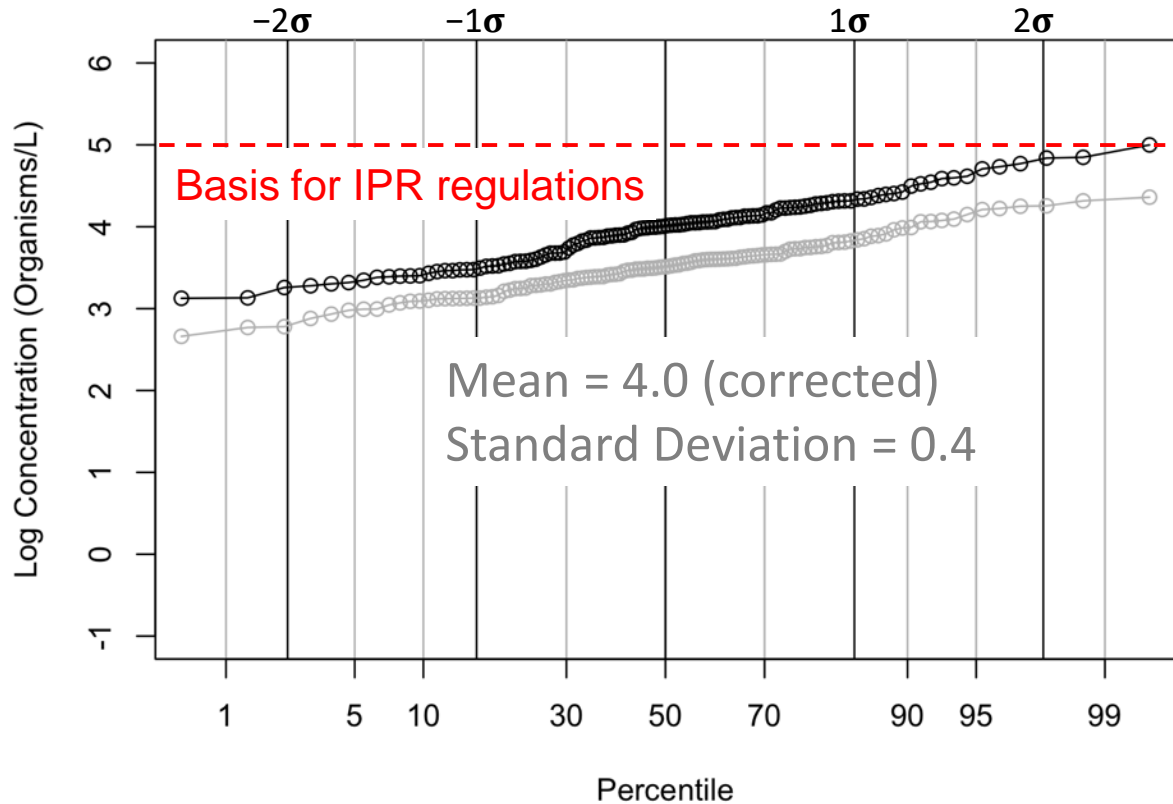
Combining DPR-2 with other selected studies



- TWG selected studies meeting minimum quality criteria:
 - Recovery reported
 - Percent detectable (>50%)
- Aggregated all recovery-corrected values into single distribution
- Combined distribution very similar to DPR-2 alone

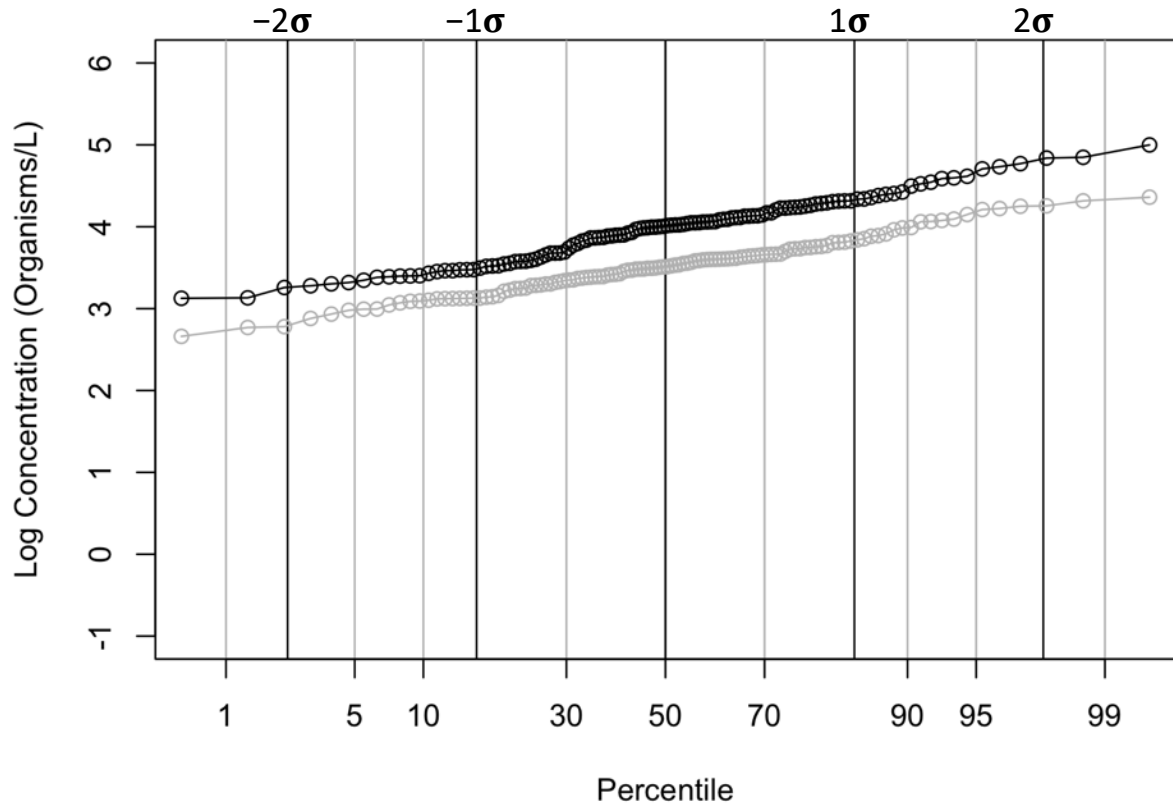
	Mean	St. Dev.
DPR-2 Alone	1.7	0.4
Aggregated Data	1.9	0.6

Pathogen Distributions: *Giardia*

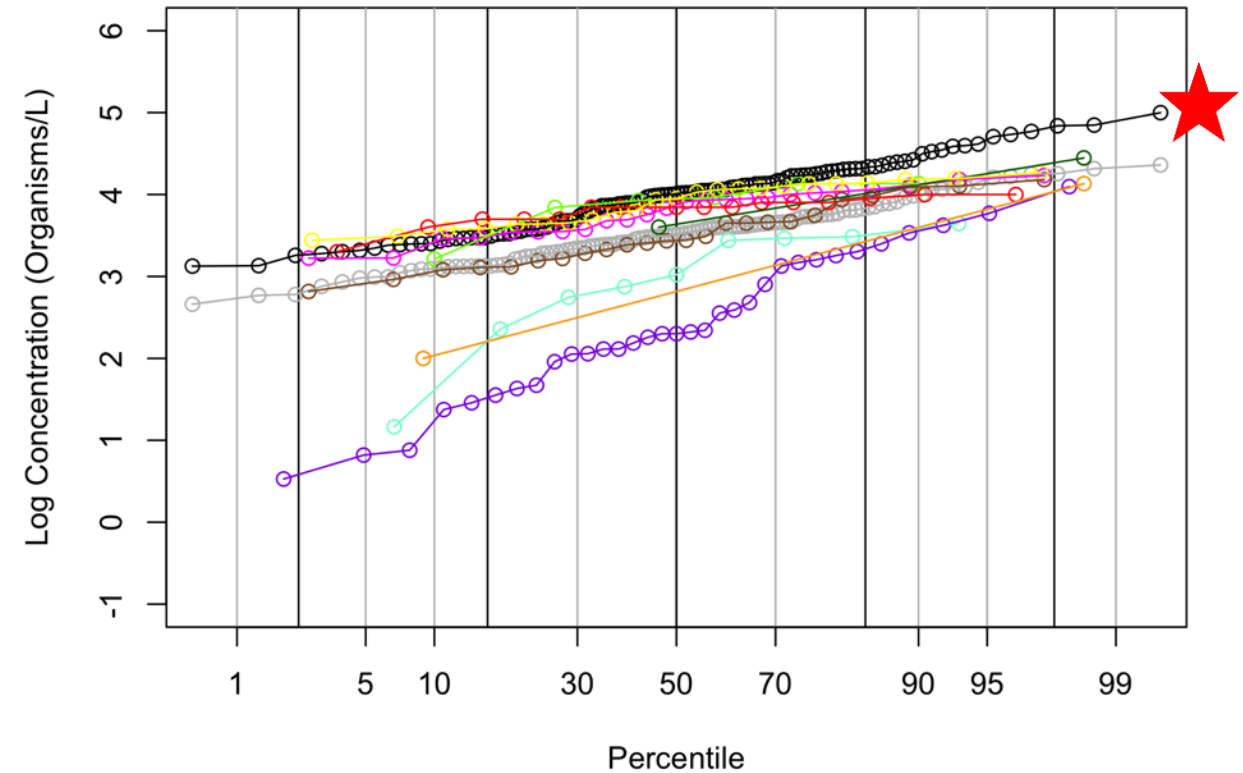


- Reached 10^5 cyst/L at 99th percentile

Pathogen Distributions: *Giardia*



One of the highest distributions in the literature

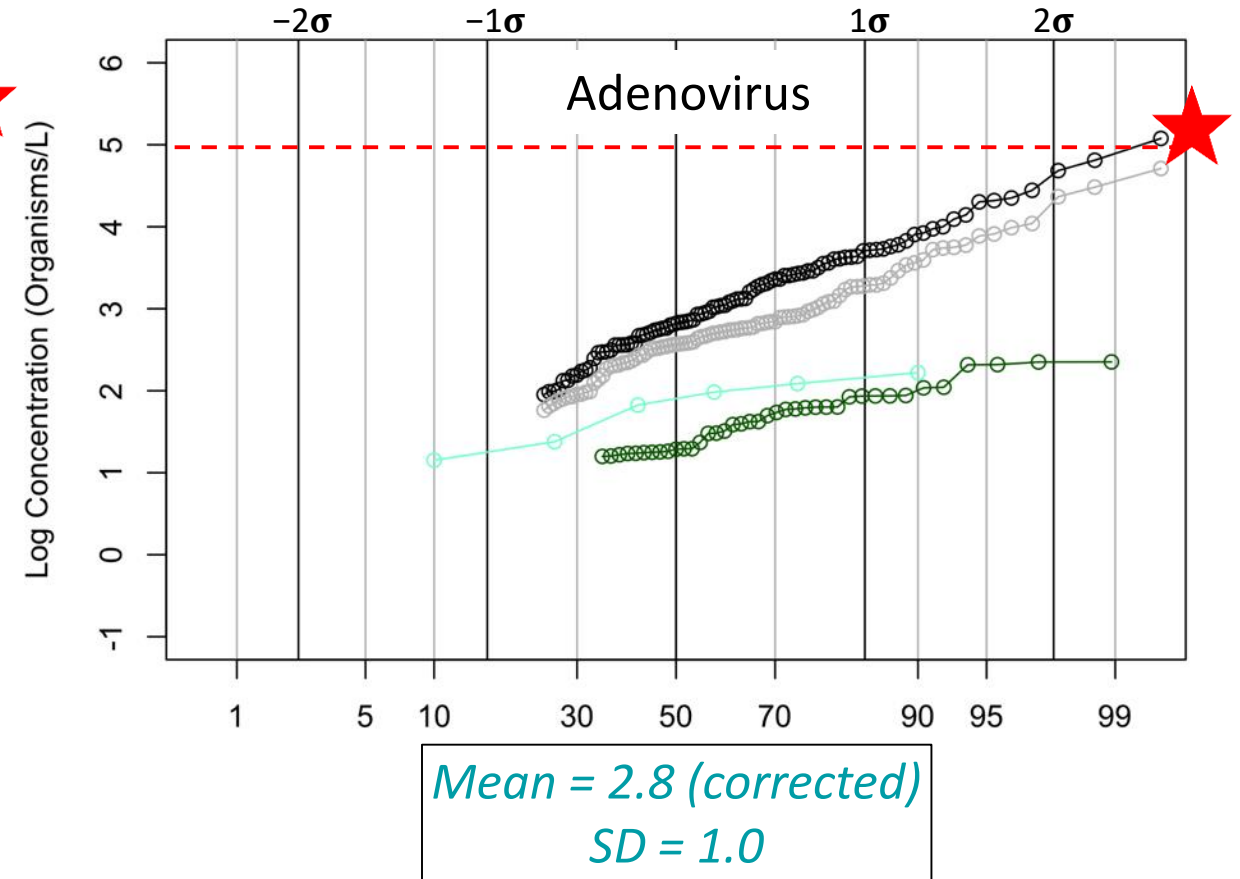
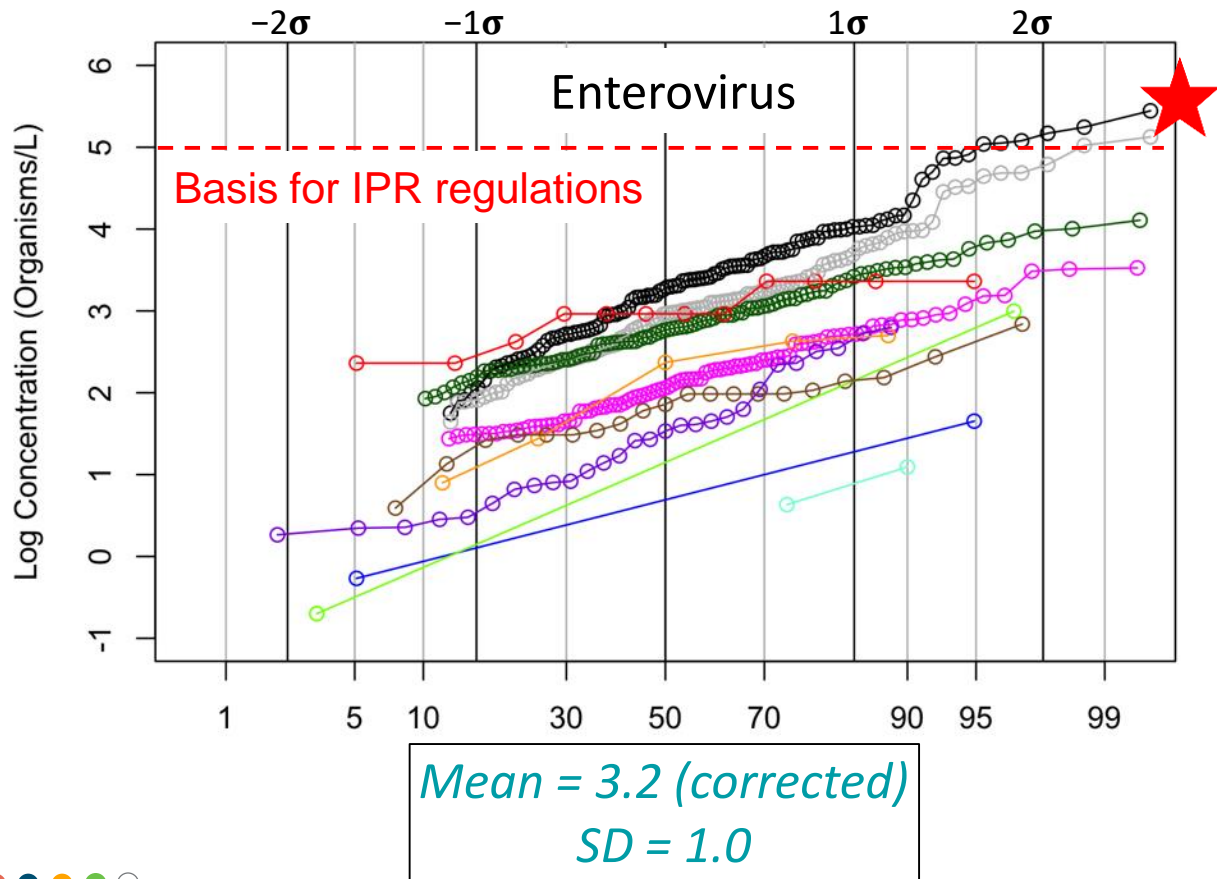


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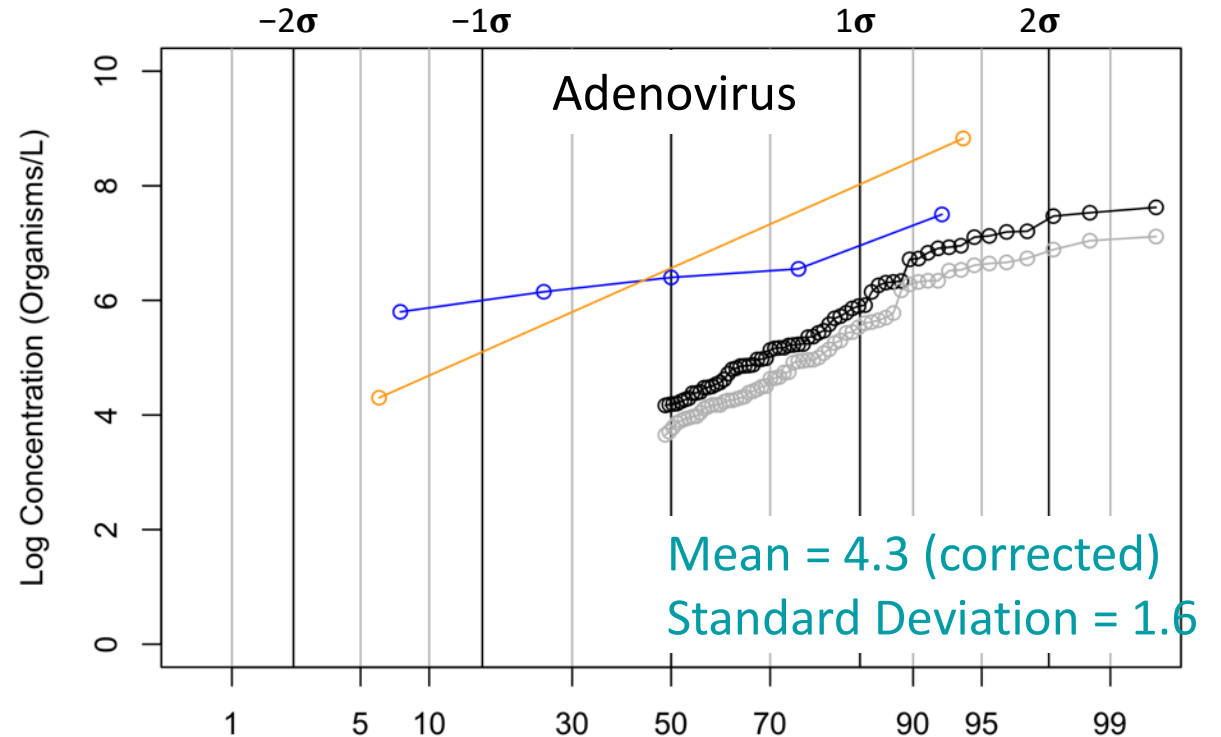
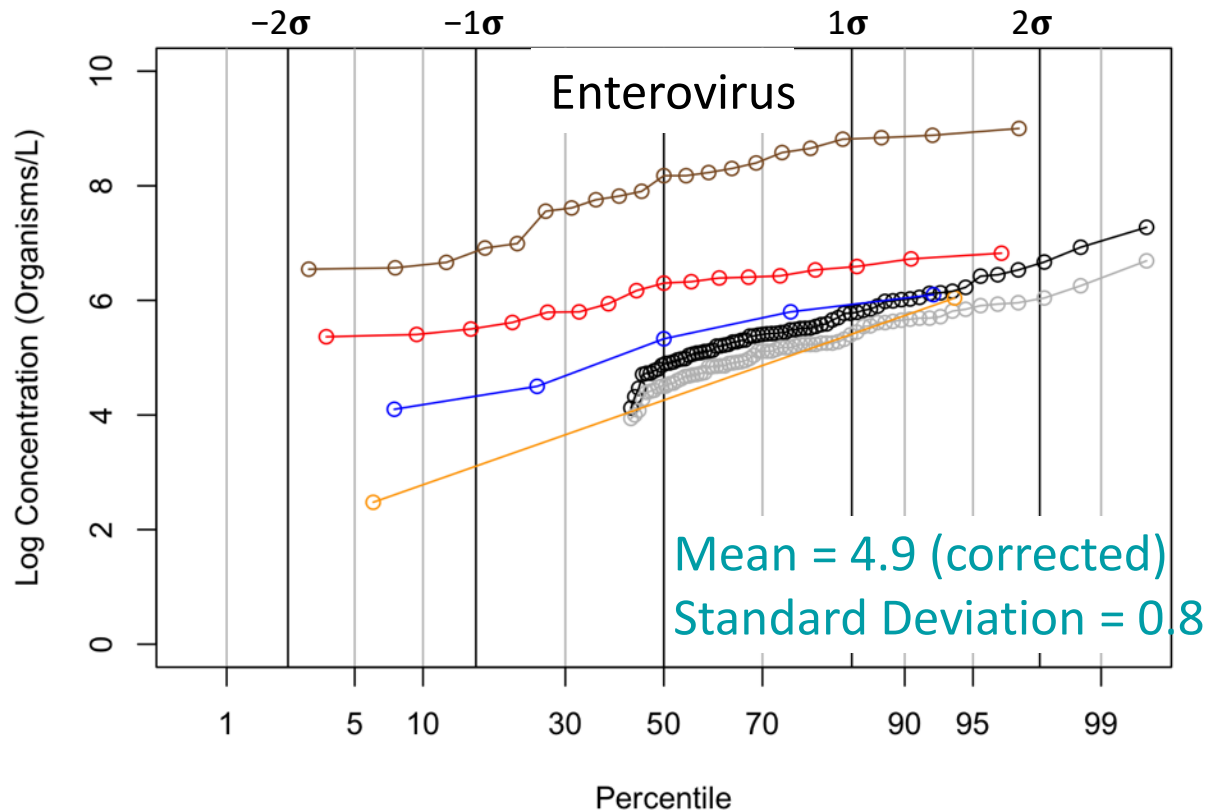
Pathogen Distributions: Virus Culture

- Reached 10^5 MPN/L at 95th (Enterovirus) and 99th percentile (Adenovirus)
- Concentrations higher than past studies



Pathogen Distributions: Virus Molecular

- Lower detection rate than culture due to higher LOQ
- Concentrations **lower** than past studies

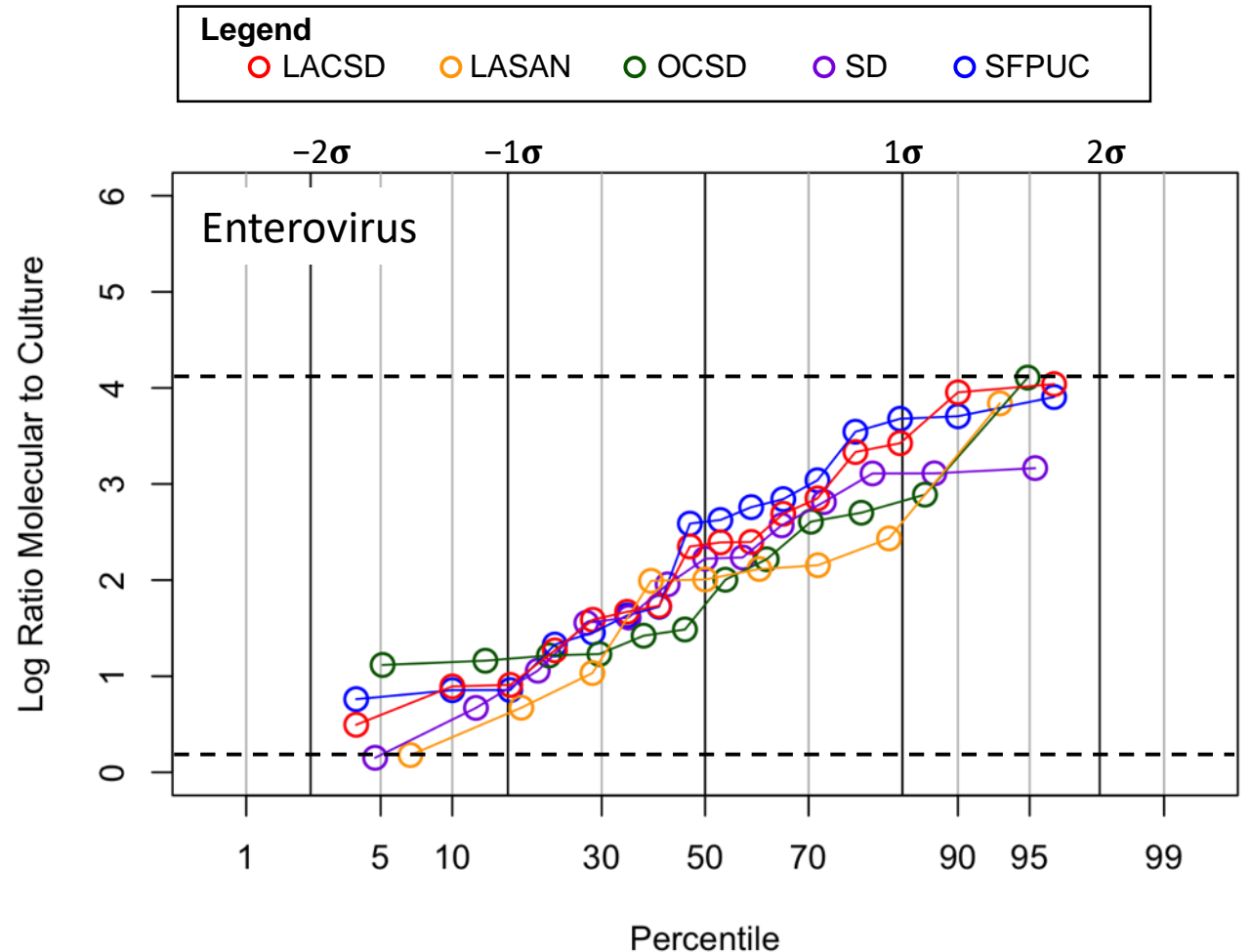


Legend

- This work - corrected data
- Simmons et al. 2011a
- Oceanside 2015
- This work - raw data
- Simmons & Xagorarakis 2011b
- San Diego 2016

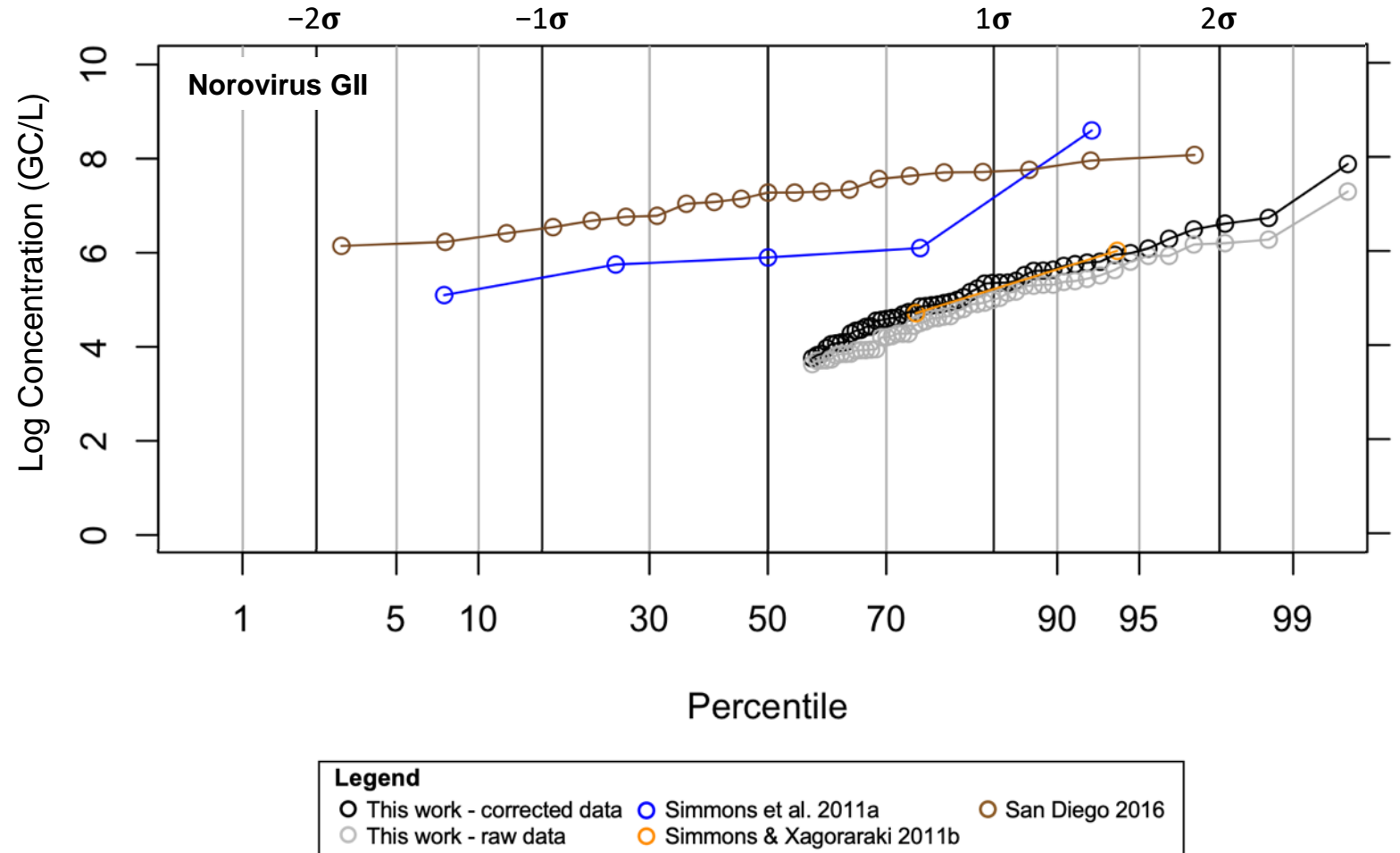
Culture vs. Molecular: What to use?

- Genome copies (GC) are not necessarily associated with *infective* virus
- Difficult to translate between GC and infective virus
- DPR-2 virus data show ratios of GC:infectious virus spanning 4-5 orders of magnitude:
 - 10,000:1 to 1:1 (enterovirus)
 - 100,000:1 to 1:1 (adenovirus)



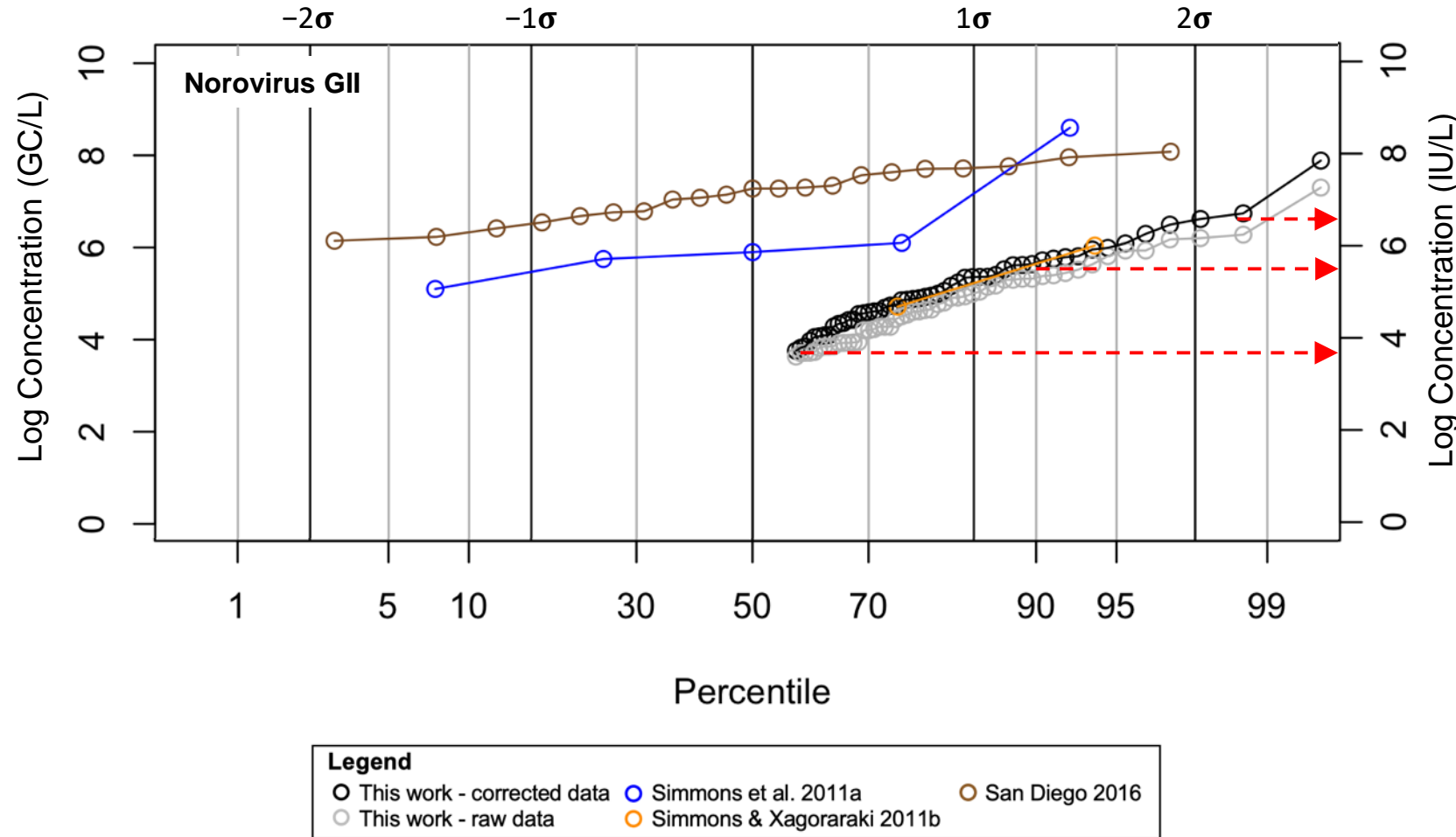
When is this important?

- Norovirus (NoV) is an important pathogen that cannot be cultured
- Need to make assumptions about the “infectivity” of a NoV GC



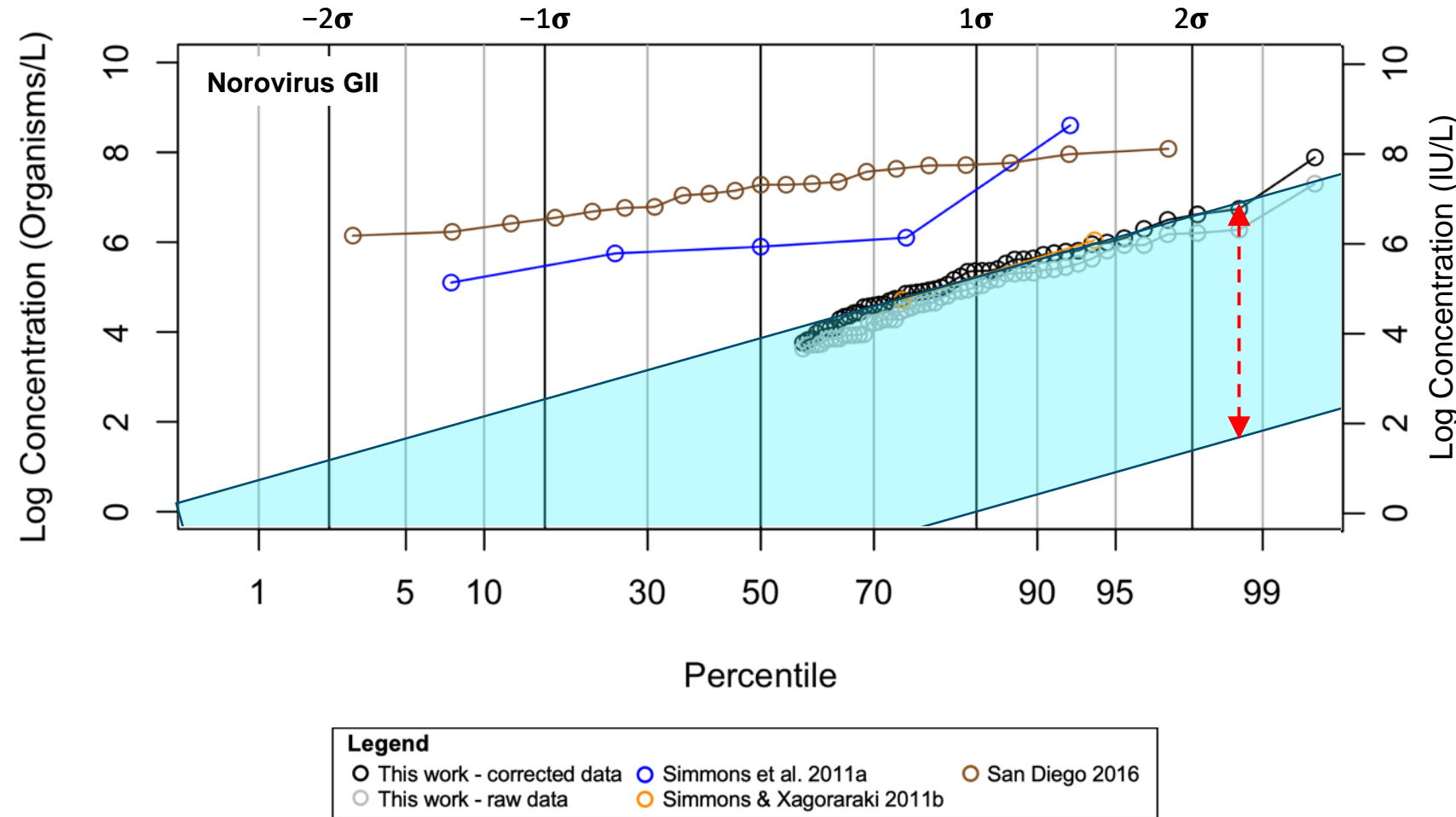
When is this important?

- Norovirus (NoV) is an important pathogen that cannot be cultured
- Need to make assumptions about the “infectivity” of a NoV GC
- If we assume 1:1, then each GC is an infectious unit (IU)

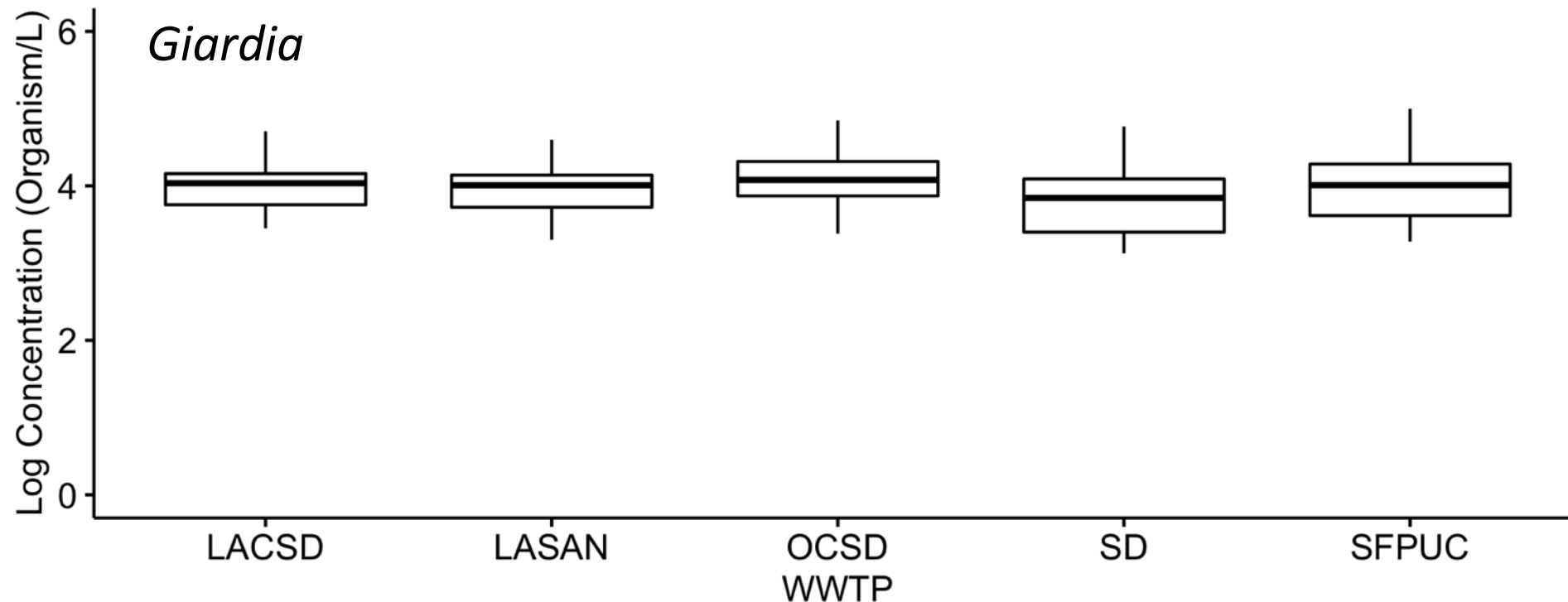


When is this important?

- But DPR-2 shows a wide range of ratios
- TWG recommends seeing distribution as a “band” of potential values
- Interpretation of molecular data is not straightforward



Applicability of findings across treatment plants



- Generally, no statistical difference in concentrations between facilities
- Findings are widely applicable for the California population

Recommendations for Regulatory Development

- Use high-quality DPR-2 data as the raw wastewater inputs for QMRA
- Use recovery-corrected data
- Use modeled distributions (DPR-2 data + relevant literature) for probabilistic assessments

DPR-2 Conclusions

- Pathogen concentrations are critical for defining treatment
- DPR-2 data can be used by regulators / practitioners across the nation
- QAPP/SOPs provide a template for future pathogen monitoring studies



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3–Minute Break





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**Water
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DPR-1: Tools to Evaluate Quantitative Microbial Risk and Plant Performance/Reliability

Brian Pecson, Trussell Technologies, Inc.

Daniel Gerrity, Southern Nevada Water Authority

Anya Kaufmann, Trussell Technologies, Inc.



How Much Pathogen Treatment?



Wastewater

What concentration of pathogens are we starting with?



Drinking Water

How Much Pathogen Treatment?



Wastewater

What concentration of pathogens are we starting with?

DPR-2: Raw Wastewater Pathogen Monitoring



Drinking Water

How Much Pathogen Treatment?



Wastewater

What concentration of pathogens are we starting with?

DPR-2: Raw Wastewater Pathogen Monitoring



How much treatment is needed?

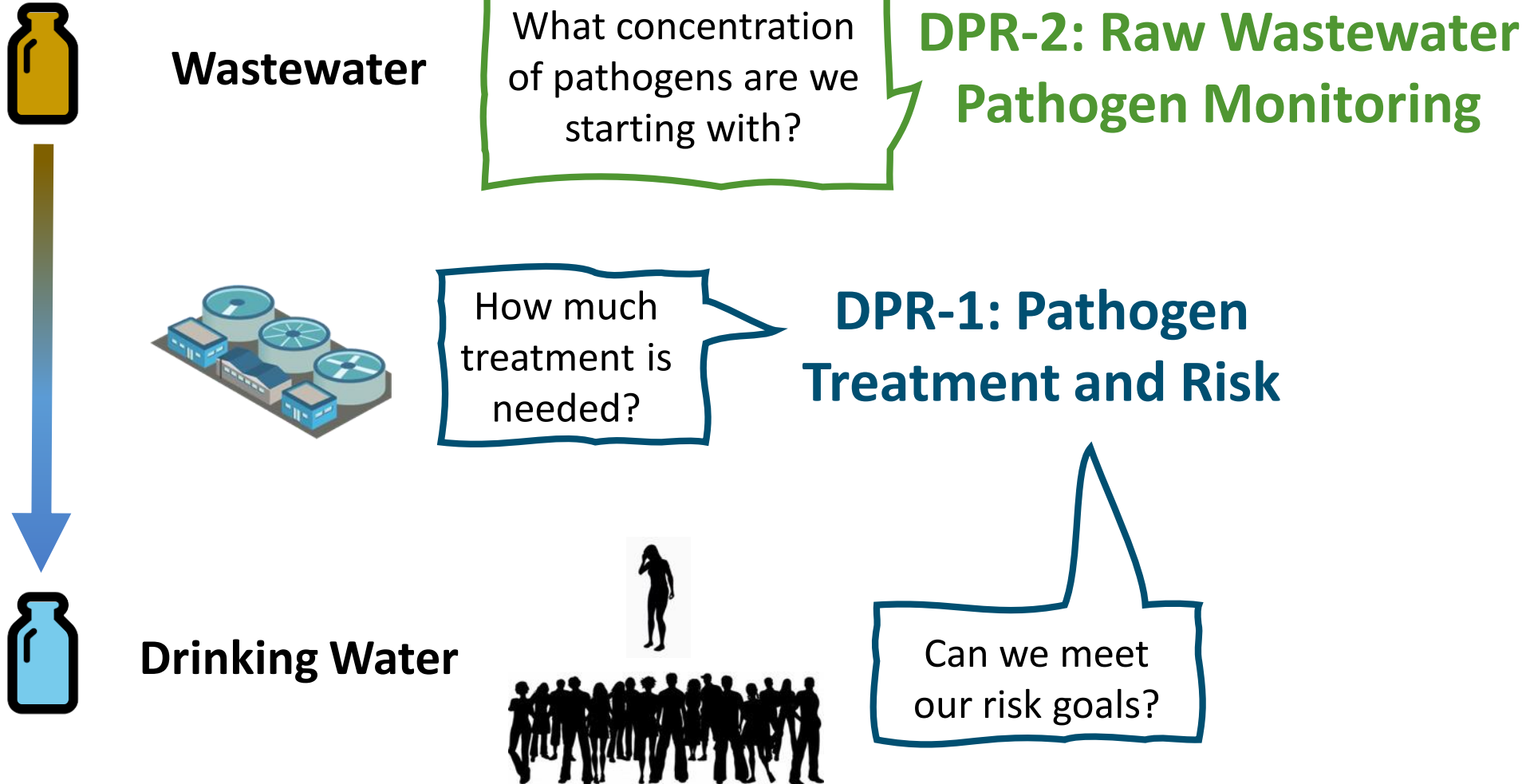


Drinking Water



Can we meet our risk goals?

How Much Pathogen Treatment?



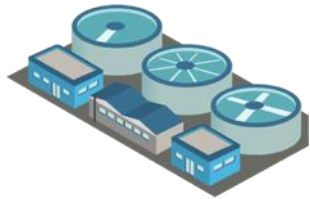
How Much Pathogen Treatment?



Wastewater

What concentration of pathogens are we starting with?

DPR-2: Raw Wastewater Pathogen Monitoring



How much treatment is needed?

DPR-1: Pathogen Treatment and Risk

- Develop guidelines for evaluating DPR facility treatment performance
- Use QMRA to confirm the level of treatment needed to achieve risk-based targets



Can we meet our risk goals?



Drinking Water

TWG and Research Team

Technical Work Group



Nick Ashbolt
Southern Cross
University



Charles Haas
Drexel University



Brian Pecson (chair)
Trussell Technologies



Theresa Slifko
Metropolitan
Water District

Research Team



Dan Gerrity
SNWA



Edmund Seto
University of Washington

Additional Staff

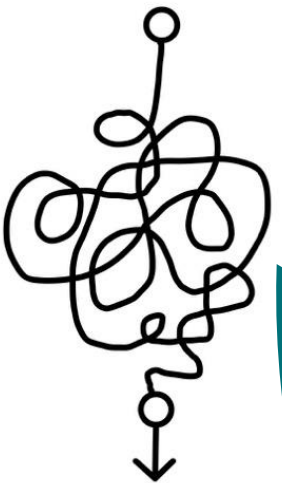
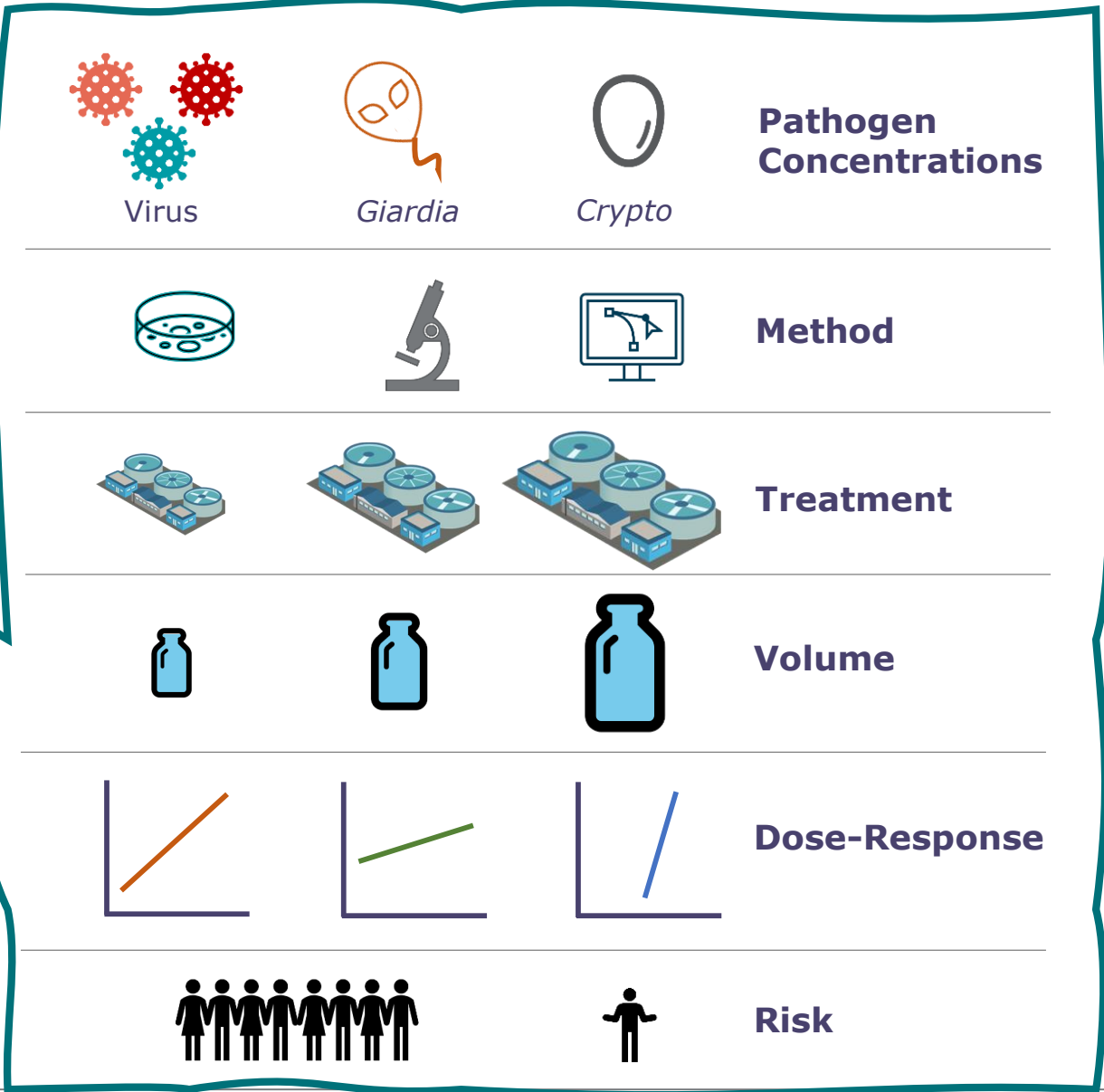


Anya Kaufmann
Trussell Technologies

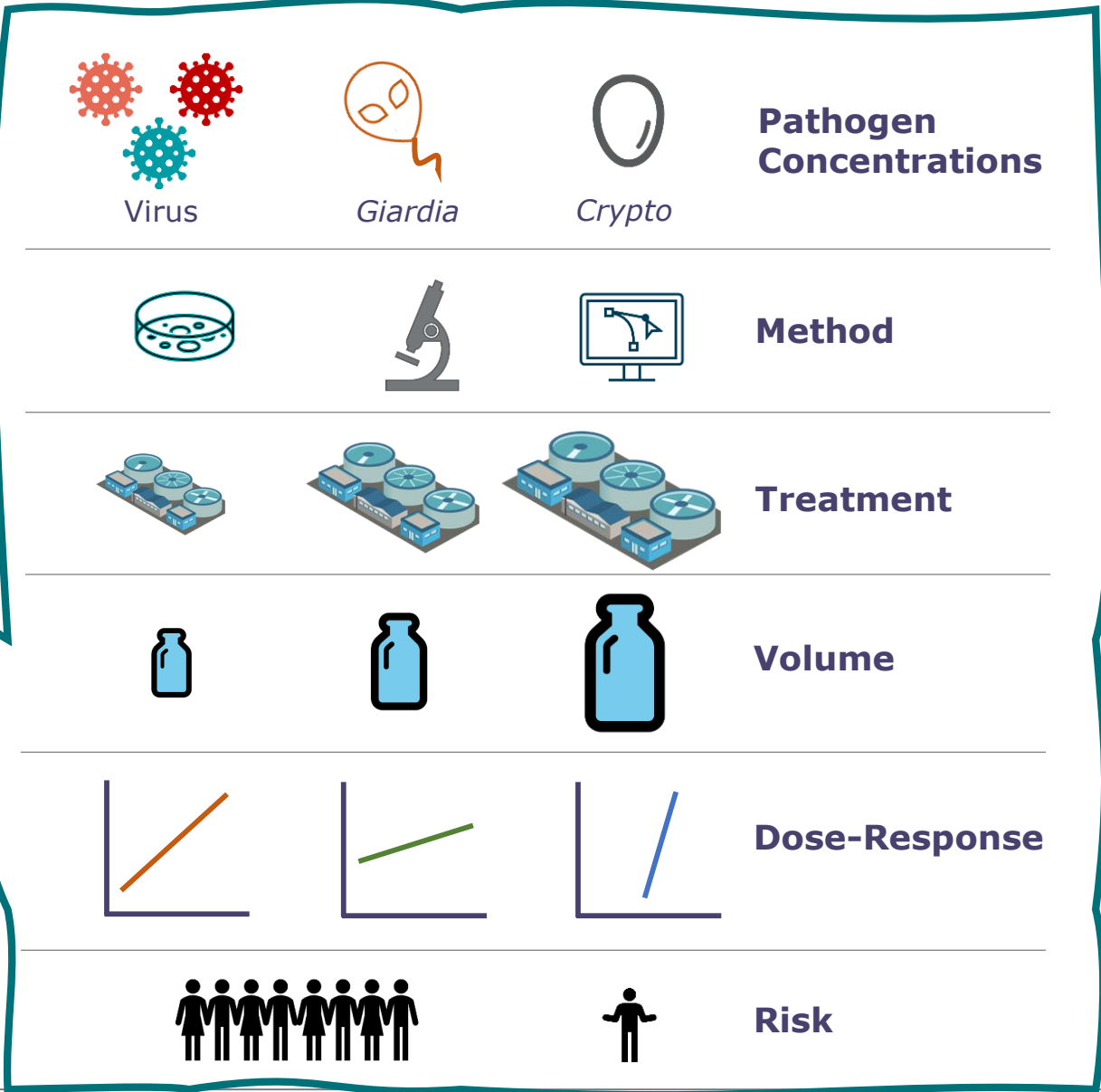


Adam Olivieri
WRF/State Board Coordination

Steps in QMRA



Steps in QMRA



Water Research Foundation Project #4951
DPR-1: QMRA Implementation

Literature Review
November 30, 2020

PATTP & QMRA Literature Review

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Literature Review on Probabilistic Assessment of Treatment Train Performance (PATTP) and QMRA

Consistent Framework for PATTP/QMRA

Water Research Foundation Project #4951
DPR-1: QMRA Implementation

Specifications for PATTP and QMRA Tools
September 9, 2019

Specifications for PATTP & QMRA Tools

"Develop scope of work including specifications and requirements for QMRA and PATTP tool(s) development and implementation for the Research Team to implement as part of Phase 2."

1 Introduction

This document is meant to provide specifications for the Research Team in developing the PATTP & QMRA Tools. The document will describe the desired functionality, flexibility, and outputs of the tool(s). To provide detailed specifications to the Research Team, the specifications are broken down by steps of the PATTP & QMRA process.

2 Influent Raw Wastewater Pathogen Concentrations

2.1 Pathogens to include in QMRA and PATTP evaluations

The tool should include the ability to evaluate the following pathogens:

- Enterovirus¹
- Giardia
- Cryptosporidium
- Adenovirus
- Norovirus

2.2 Raw Wastewater Pathogen Concentration Data to Use

The tool should include the capability to utilize any user-provided dataset of raw wastewater pathogen concentrations (see Appendix E-1). As a default, the tool should utilize the data from Appendix E-2, which is a combination of literature data and data from an upcoming pathogen monitoring campaign. Because the upcoming data may not be immediately available to the Research Team, the TWG is recommending the data sources shown in Table 1.

Table 1. Raw Wastewater Pathogen Data Sources based on recommendations from DPR-2 Technical Working Group

Pathogen	Data to Use
Enterovirus	(Rose et al. 2004)
Giardia	(Rose et al. 2004)
Cryptosporidium	(Rose et al. 2004)
Adenovirus	(Gray et al. 2009), (Sedmak et al. 2005), (Simmons, Kuo, and Xagorarakis 2011), (Simmons and Xagorarakis 2011)
Norovirus	(Simmons, Kuo, and Xagorarakis 2011), (Simmons and Xagorarakis 2011)

¹ For consistency with the Surface Water Treatment Rule and existing California potable reuse regulations, enterovirus concentrations should be coupled with the dose-response function for rotavirus. All other pathogens should be evaluated using pathogen-specific data for both the raw wastewater concentrations and dose-response functions.

1

PATTP/QMRA Tool Specifications

Water Research Foundation Project #4951
DPR-1: QMRA Implementation

PATTP & QMRA Research Team Scope of Work
September 9, 2019

PATTP & QMRA Research Team Scope of Work

Task 1 – Develop QMRA and PATTP Tool(s)

Task 1 Scope of Work

- Develop, verify, and validate the QMRA and PATTP tool(s) for use consistent with the specifications and requirements derived under Phase 1 and attached here as Attachment A.
- Develop tool(s) through coding in computer language (e.g., R) and build user interfaces.
- Develop documentation, user guides, and training material for the use of the QMRA and PATTP tool(s).

Task 1 Deliverables

- Tools will be available for TWG validation in April 2020
- Draft User Guides and Training Materials will be provided to the TWG in April 2020
- Final User Guides and Training Materials will be available for the Educational Workshop with the State Board in June 2020

Task 2 – Develop Quality Assurance Project Plan

Task 2 Scope of Work:

- Develop a Quality Assurance Project Plan (QAPP) for the tool(s):
 - Provide QAPP to TWG for review
 - Are updated with new data appropriately
 - Function anticipated (no bugs in tool(s))
 - Have undergone QA/QC

Task 2 Deliverables:

- The Research Team will provide the TWG with a Draft Quality Assurance Project Plan to outline the steps/actions to ensure tool functionality in January 2020.
- The Final Quality Assurance Project Plan will be submitted to DDW and the TWG in April 2020.

Task 3 – Engage with the TWG

Task 3 Scope of Work:

- Provide an update to the TWG quarterly via conference calls.
- Interact with TWG chair more frequently as needed.
- Provide brief tutorial of tool(s) functionality and allow TWG to use and validate tool functions and results prior to workshop with State Water Board (SWB)

Task 3 Deliverables:

- At a minimum, conference calls with the TWG will be held in October 2019, and January 2020 to provide an update to the TWG.

Research Team Scope of Work

Water Research Foundation Project #4951
DPR-1: QMRA Implementation

Quality Assurance Project Plan (QAPP)
February 13, 2020

Quality Assurance Project Plan (QAPP) for DPRisk

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Quality Assurance Project Plan



DPRisk Tool and Guidance Document

Daniel Gerrity, PhD, Southern Nevada Water Authority




DPRisk Tool and Guidance Document

DPRisk: QMRA Tool

DPRisk

version 1.0.1 (11.05.2020)
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Introduction

Background

How to use the tool

License

Model Specification

Raw Wastewater Pathogen Concentrations

Treatment Train

Treatment Failure

Management Barriers

Exposure

Dose-Response

Results

PATTP Output

QMRA Output

Summary of PATTP and QMRA Output

Comparison of Risk Curves

Quantitative Microbial Risk Assessment and Probabilistic Assessment of Treatment Train Performance for Direct Potable Reuse Scenarios

This tool is intended to facilitate quantitative microbial risk assessment (QMRA) and probabilistic assessment of treatment train performance (PATTP) for various direct potable reuse (DPR) scenarios. There are many possible analyses that you can conduct with this tool, including:

There are many possible analyses that you can conduct with this tool, including:

- Developing a distribution of treatment train performance for different potential DPR treatment trains.
- Evaluating daily and annual risks of infection for multiple microbial pathogens for different potential DPR treatment trains.
- Comparing different DPR treatment trains in terms of treatment performance and risk.
- Evaluating the impact of failures on treatment performance and risk.

The accompanying Guidance Document provides useful context for this tool, including:

- The background motivation for the creation of the tool.
- The historical context for the use of PATTP and QMRA in DPR.
- The project process that resulted in this tool.
- Detailed descriptions of each step of the tool, including references for default assumptions.
- Details on the computations implemented by the tool.
- Example case studies to help you get started with using the tool.

This tool was developed in the R statistical language.

DPRisk: Guidance Document

Guidance Document for DPRisk

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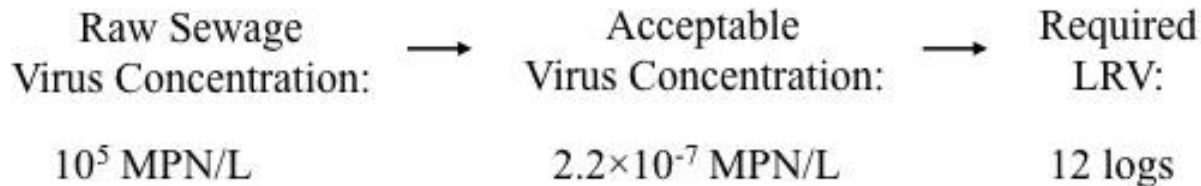
Also: User Input Files for 3 Case Studies



DPRisk Tool

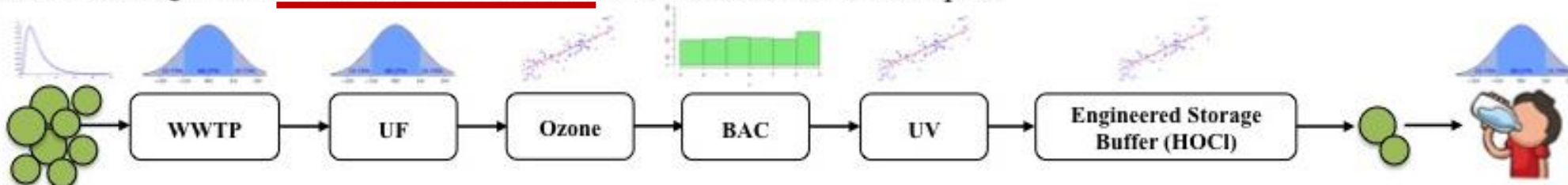
- Developed in **R** using the **R Shiny** web-based platform (Dr. Seto at UW)
- Quantitative Microbial Risk Assessment (QMRA)
- Probabilistic Assessment of Treatment Train Performance (PATTP)

Deterministic QMRA: point estimates used for model inputs



Basis for CA IPR Regulations

Stochastic QMRA: statistical distributions for one or more model inputs



Basis for CA DPR Regulations?

DPRisk Tool

- Developed in **R** using the **R Shiny** web-based platform (Dr. Seto at UW)
- Quantitative Microbial Risk Assessment (QMRA)
- Probabilistic Assessment of Treatment Train Performance (PATTP)

California State Water Board:

The QRMA tool, DPRisk, is a Shiny web-based application. A copy of DPRisk is available at cawaterdatadive.shinyapps.io/DPRisk with an approved shinyapps.io account. To obtain authorization, please send an email to DDWrecycledwater@waterboards.ca.gov with your name, phone number, organization, and project (if any) with your request. Please include "DPRisk" in the subject of your email. DDW will review all requests after TWRP posts the guidance document for the DPRisk tool.

Source:

https://www.waterboards.ca.gov/drinking_water/certlic/drinkingwater/direct_potable_reuse.html

Water Research Foundation:



Source: <https://www.waterrf.org/research/projects/tools-evaluate-quantitative-microbial-risk-and-plant-performancereliability>

Development of DPRisk

- **Initial Goal:**

- Provide the California State Water Board with a tool to inform the development of draft and final regulations for DPR

- **Considerations:**

- *Accessible* to a wide range of users (***easy to use!***) → 3 case studies
- *Flexible* to allow for diverse modeling scenarios and future updates
- *Transparent* to increase confidence and understanding → Guidance Doc

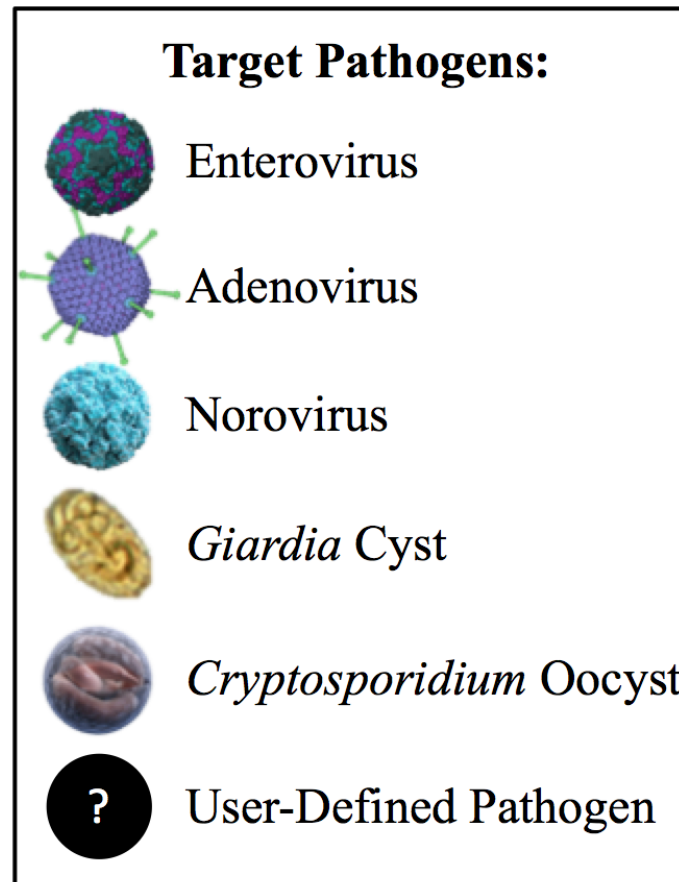
- **Potential Uses:**

- Understand basis behind regulations and reproduce past studies
- Explore nuances of a specific system or scenario (e.g., point vs. distribution)
- Demonstrate suitability of a proposed alternative (or DPR in other states?)

DPRisk Features

INPUTS:

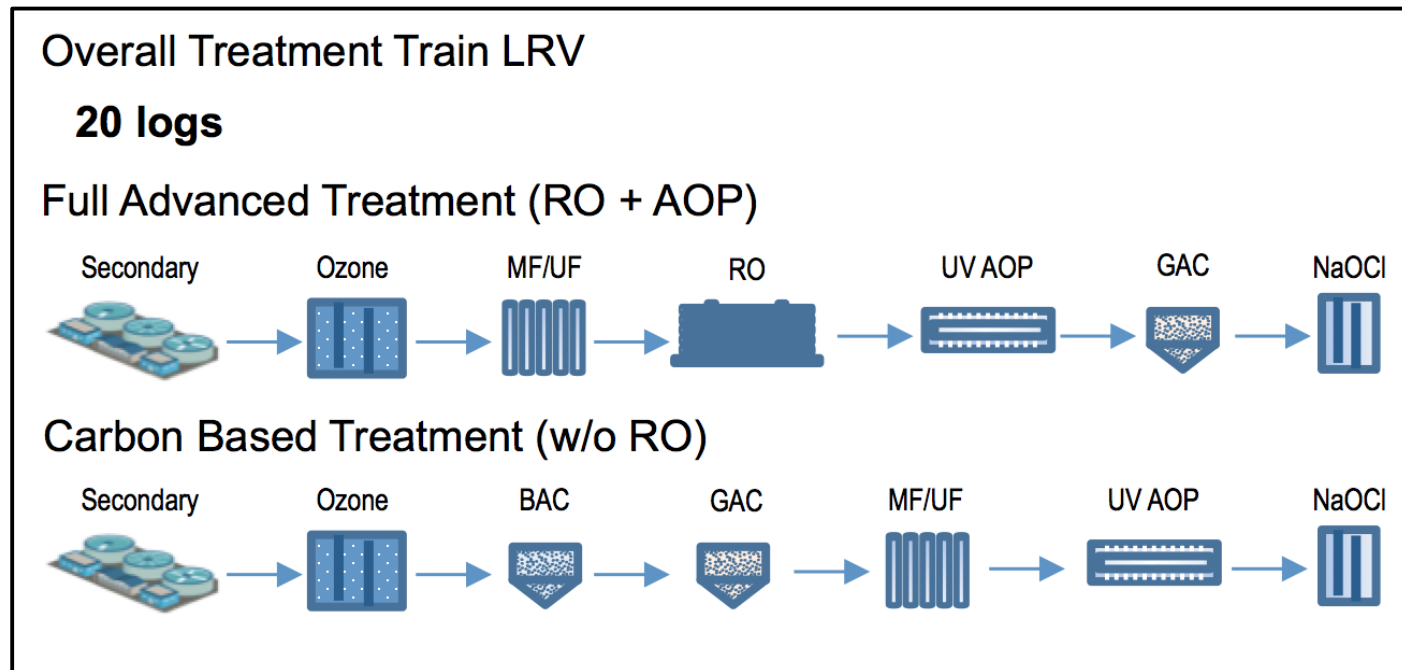
- **Raw Wastewater Pathogen Concentrations (Guidance Document will reference DPR-2 dataset)**
 - Point Estimate, Distribution, Input File



DPRisk Features

INPUTS:

- **Raw Wastewater Pathogen Concentrations**
 - Point Estimate, Distribution, Input File
- **Treatment Train**
 - Overall LRV, Unit Processes, LRV Guidance



DPRisk Features

INPUTS:

- **Raw Wastewater Pathogen Concentrations**
 - Point Estimate, Distribution, Input File
- **Treatment Train**
 - Overall LRV, Unit Processes, LRV Guidance
- **Treatment Failure**
 - Global, Process-Specific
 - Magnitude, Duration, Frequency
 - Deterministic (Forced), Probabilistic

Failure Type 1:

Does this failure type apply to all processes?
Yes **Global vs. Process-Specific**

Magnitude: Specify a percentage, representing the reduction in log removal

Percentage failure (0 - 100):
50 **Magnitude**

Duration: Select how long it will last (in hours. max is 24 hrs)
Specify hours:
0.25 8 24 **Duration**
0.25 2.75 5.25 7.75 10.25 12.75 15.25 17.75 20.25 22.75 24

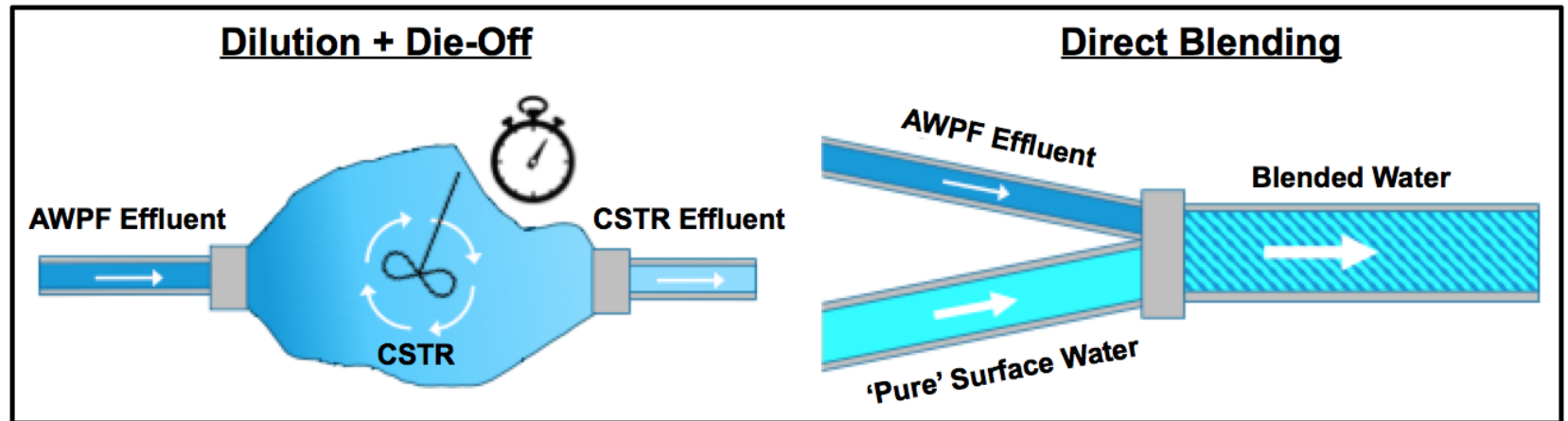
Frequency:
Should the frequency be applied as a daily probability of a failure or as a deterministic number of failure days per year: **Frequency**
Deterministic

Select how many failures per process per year
Number of failures:
12

DPRisk Features

INPUTS:

- **Raw Wastewater Pathogen Concentrations**
 - Point Estimate, Distribution, Input File
- **Treatment Train**
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 - Global, Process-Specific
 - Magnitude, Duration, Frequency
 - Deterministic (Forced), Probabilistic
- **Management Barriers**
 - Blending, Dilution, Die-Off



DPRisk Features

INPUTS:

- **Raw Wastewater Pathogen Concentrations**
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- **Treatment Failure**
 - Global, Process-Specific
 - Magnitude, Duration, Frequency
 - Deterministic (Forced), Probabilistic
- **Management Barriers**
 - Blending, Dilution, Die-Off
- **Exposure**
 - Volume, Frequency (1 to 96 per day)



VS.



DPRisk Features

INPUTS:

- **Raw Wastewater Pathogen Concentrations**
 - Point Estimate, Distribution, Input File
- **Treatment Train**
 - Overall LRV, Unit Processes, LRV Guidance
- **Treatment Failure**
 - Global, Process-Specific
 - Magnitude, Duration, Frequency
 - Deterministic (Forced), Probabilistic
- **Management Barriers**
 - Blending, Dilution, Die-Off
- **Exposure**
 - Volume, Frequency (1 to 96 per day)
- **Dose Response**
 - Default Models, User-Defined Parameters



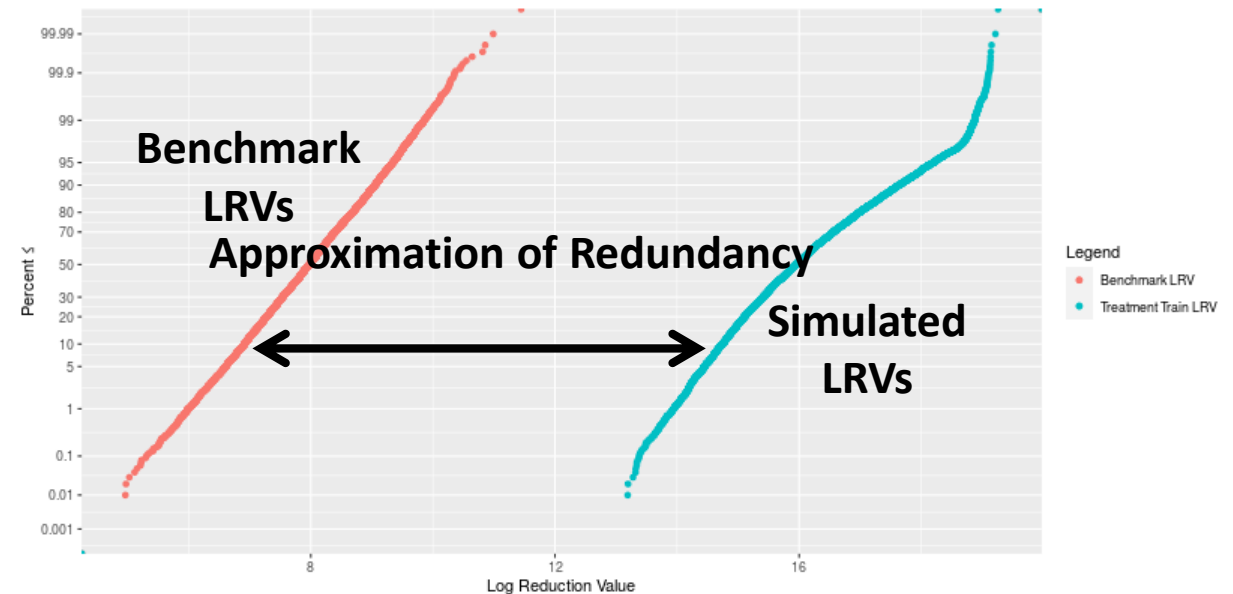
DPRisk Features

INPUTS:

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OUTPUTS:

- **Probabilistic Assessment of Treatment Train Performance**
 - Simulated Treatment Train LRVs
 - Simulated Unit Process LRVs
 - Benchmark LRVs (exact LRV to achieve target risk)



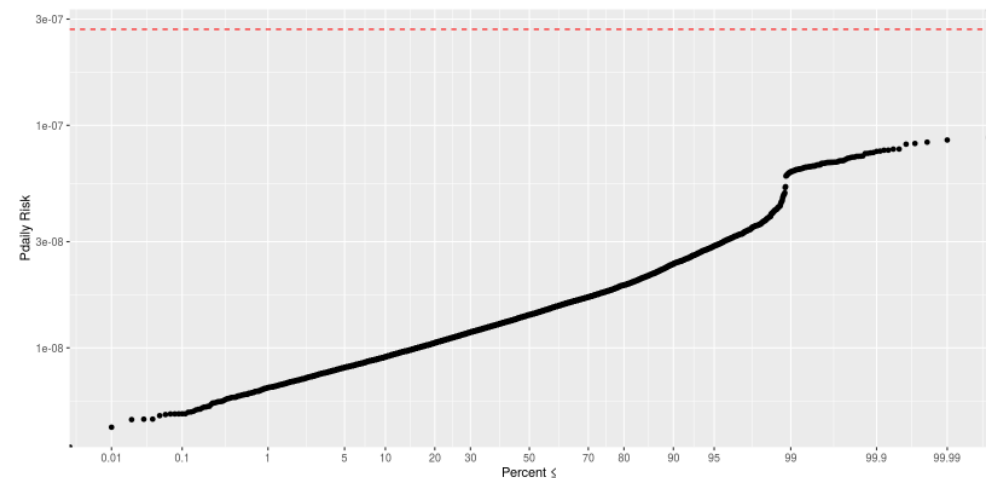
DPRisk Features

INPUTS:

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OUTPUTS:

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 - Simulated Treatment Train LRVs
 - Simulated Unit Process LRVs
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- **Quantitative Microbial Risk Assessment**
 - Distributions for QMRA Inputs
 - Daily Risk
 - Annual Risk



DPRisk Features

INPUTS:

- **Raw Wastewater Pathogen Concentrations**
 - Point Estimate, Distribution, Input File
- **Treatment Train**
 - Overall LRV, Unit Processes, LRV Guidance
- **Treatment Failure**
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 - Magnitude, Duration, Frequency
 - Deterministic (Forced), Probabilistic
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 - Volume, Frequency (1 to 96 per day)
- **Dose Response**
 - Default Models, User-Defined Parameters

OUTPUTS:

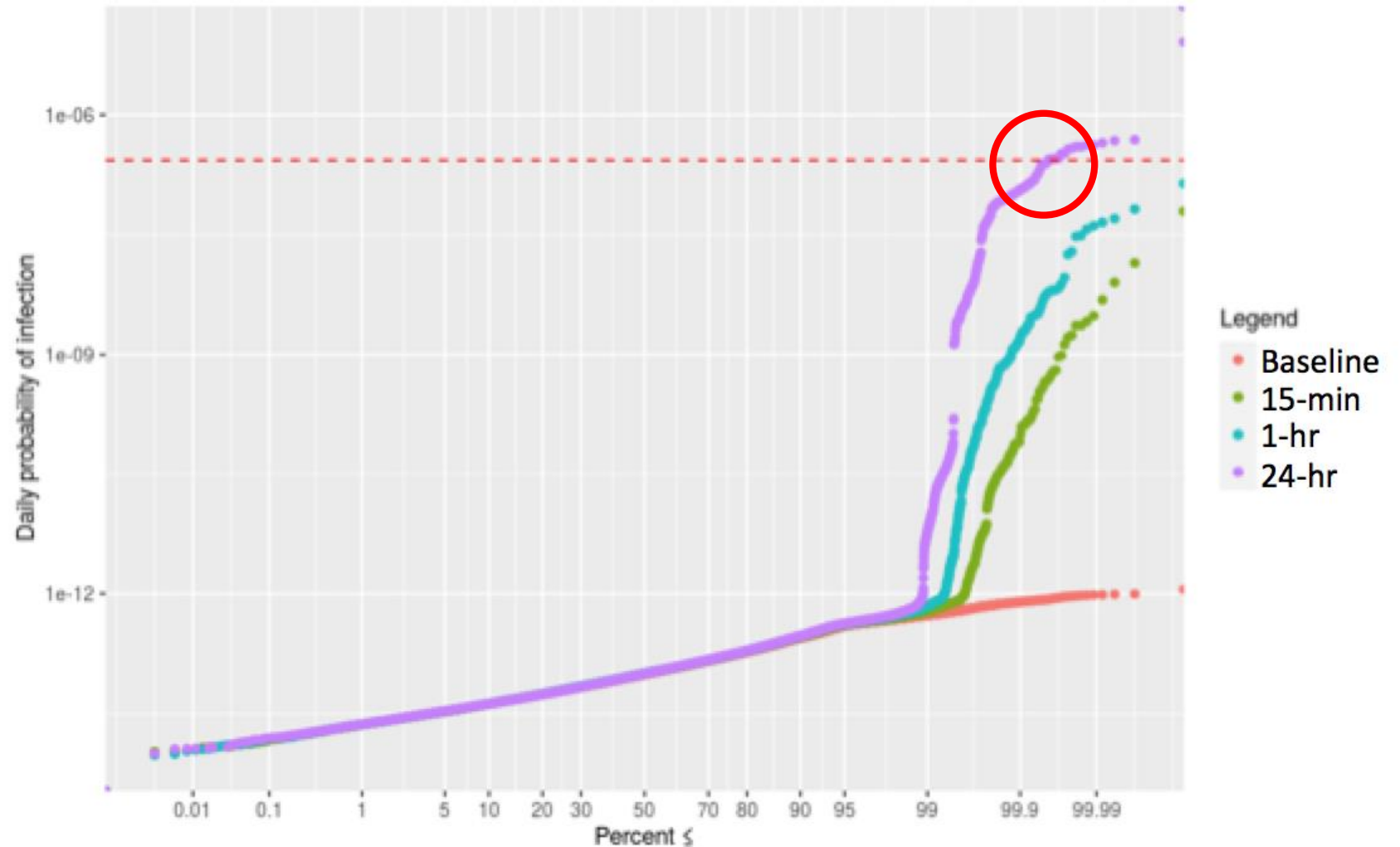
- **Probabilistic Assessment of Treatment Train Performance**
 - Simulated Treatment Train LRVs
 - Simulated Unit Process LRVs
 - Benchmark LRVs (exact LRV to achieve target risk)
- **Quantitative Microbial Risk Assessment**
 - Distributions for QMRA Inputs
 - Daily Risk
 - Annual Risk
- **Comparison of Risk Curves**
 - Up to 3 scenarios simultaneously



DPRisk: Hypothetical Failure Analysis

Question: What **duration** of failure can be tolerated before daily risk benchmark is exceeded?

Implication: Minimum **monitoring frequency** for a critical control point



Using DPRisk

Anya Kaufmann, PE, Trussell Technologies, Inc.



Why should you use DPRisk?

DPRisk
version 1.0.1 (11.05.2020)
Sponsored by: The Water Research Foundation
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Quantitative Microbial Risk Assessment and Probabilistic Assessment of Treatment Train Performance for Direct Potable Reuse Scenarios

This tool is intended to facilitate quantitative microbial risk assessment (QMRA) and probabilistic assessment of treatment train performance (PATTP) for various direct potable reuse (DPR) scenarios. There are many possible analyses that you can conduct with this tool, including:

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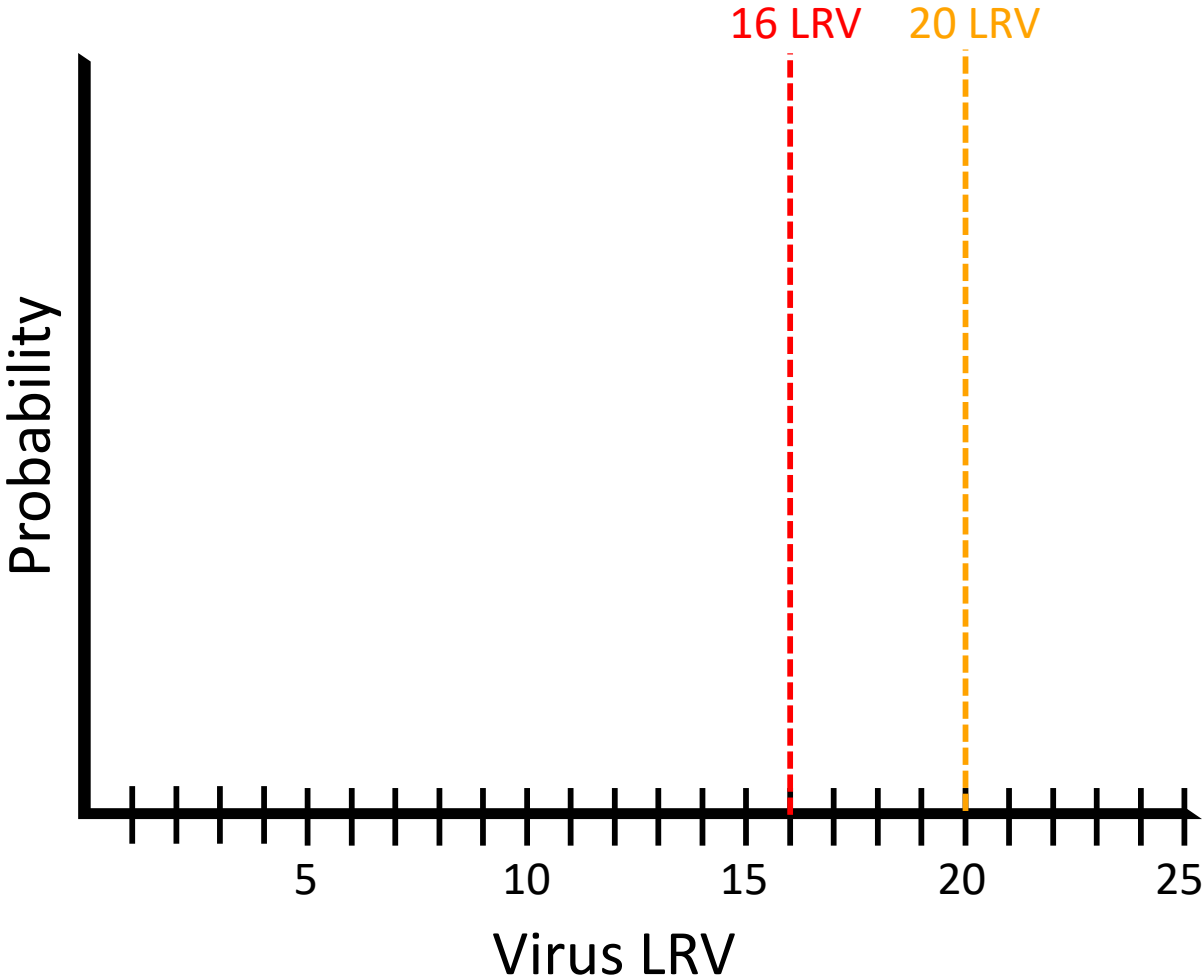
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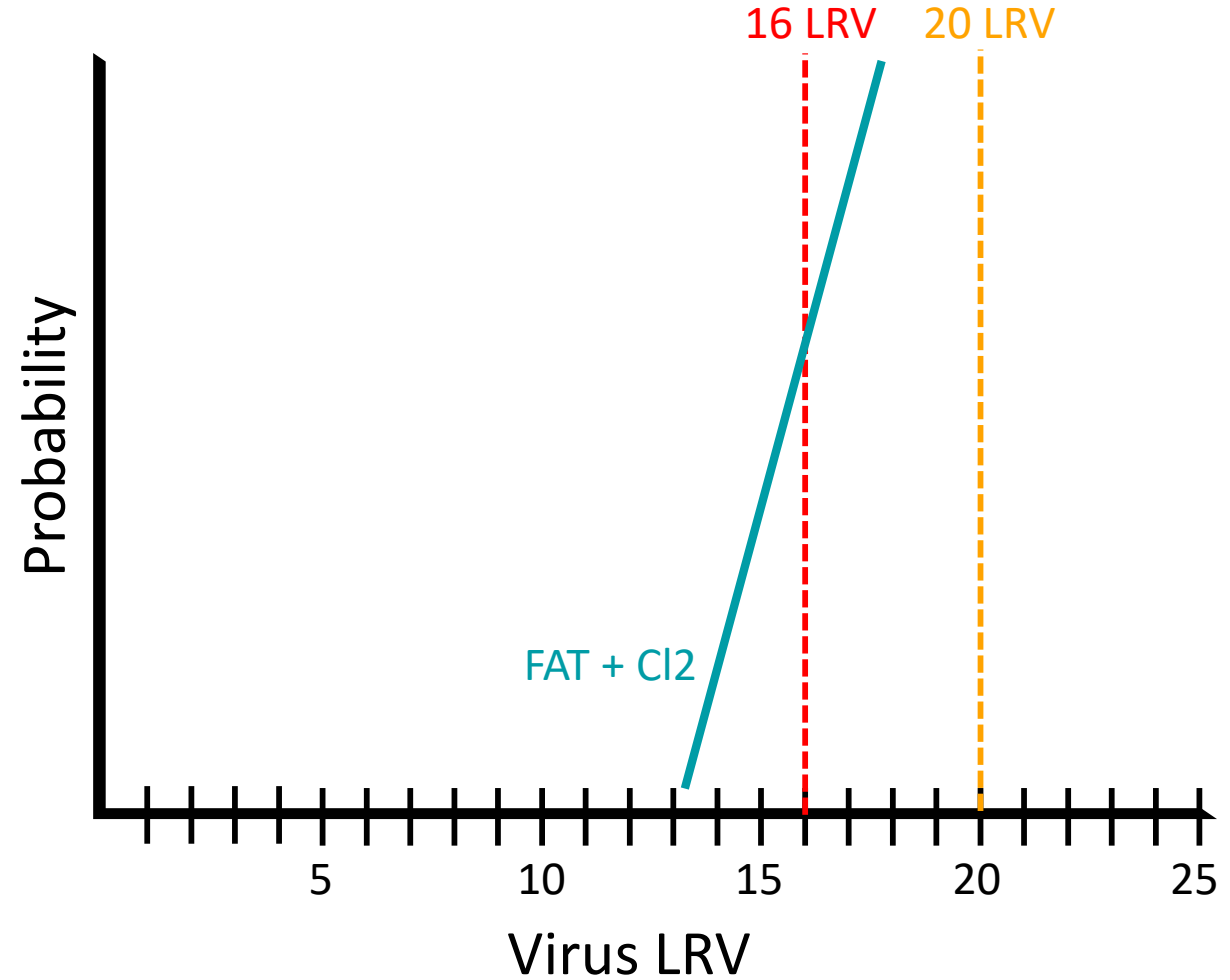
This tool was developed in the R statistical language.

- DPRisk is:
 - Accessible (easy to use)
 - Flexible (model diverse scenarios)
 - Transparent (consistency in methods)
- Use DPRisk to evaluate the performance and risk of a project:
 - Different treatment trains
 - Inclusion of management barriers
 - Site-specific data

Assessing Treatment Performance



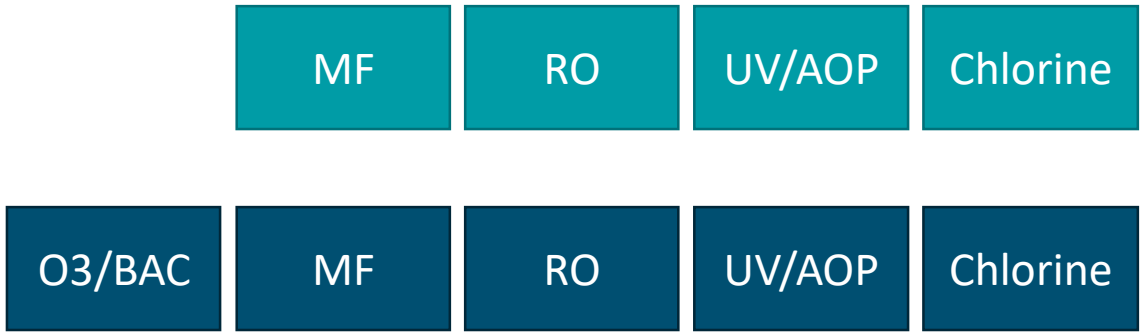
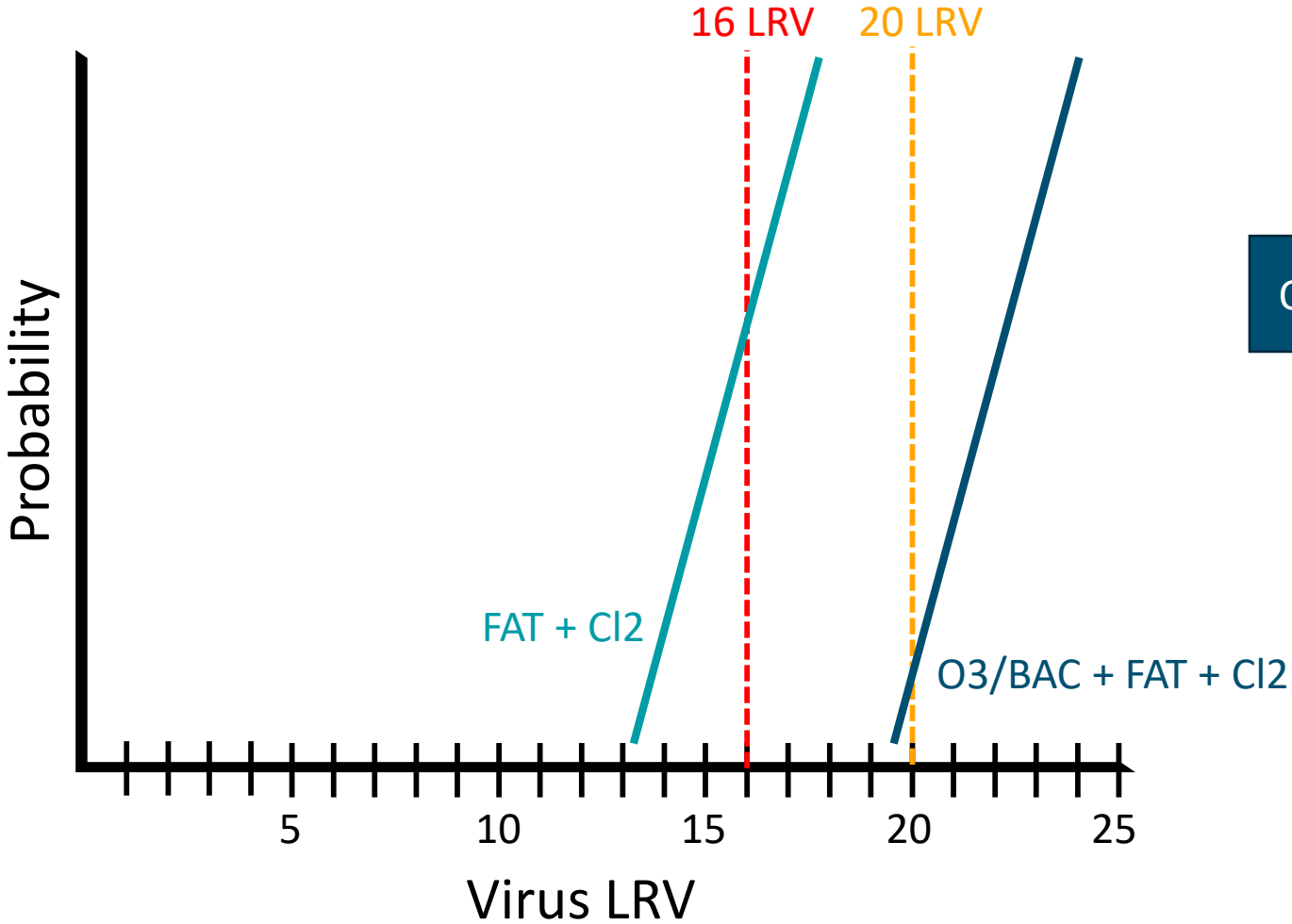
Assessing Treatment Performance



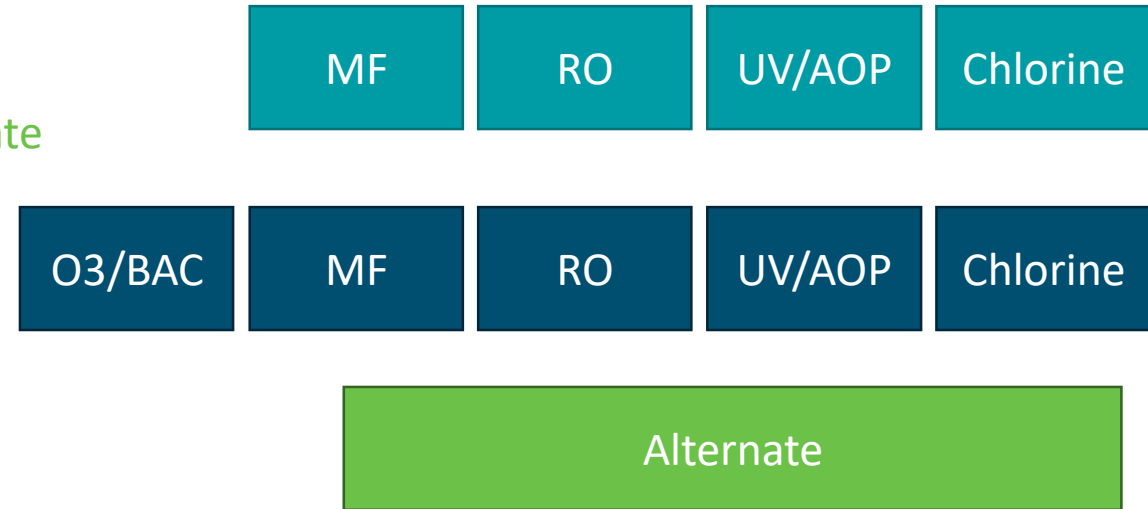
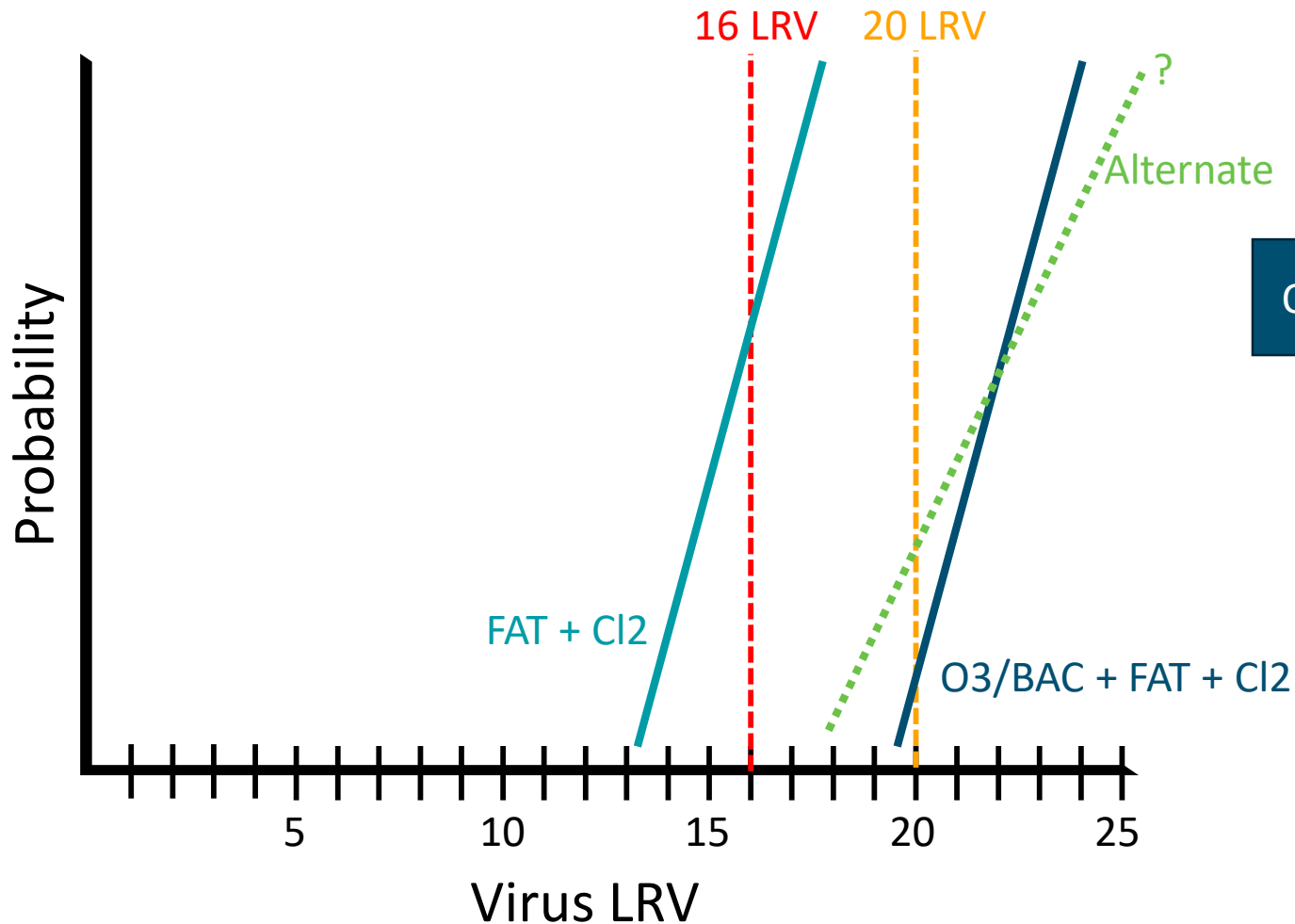
- MF
- RO
- UV/AOP
- Chlorine



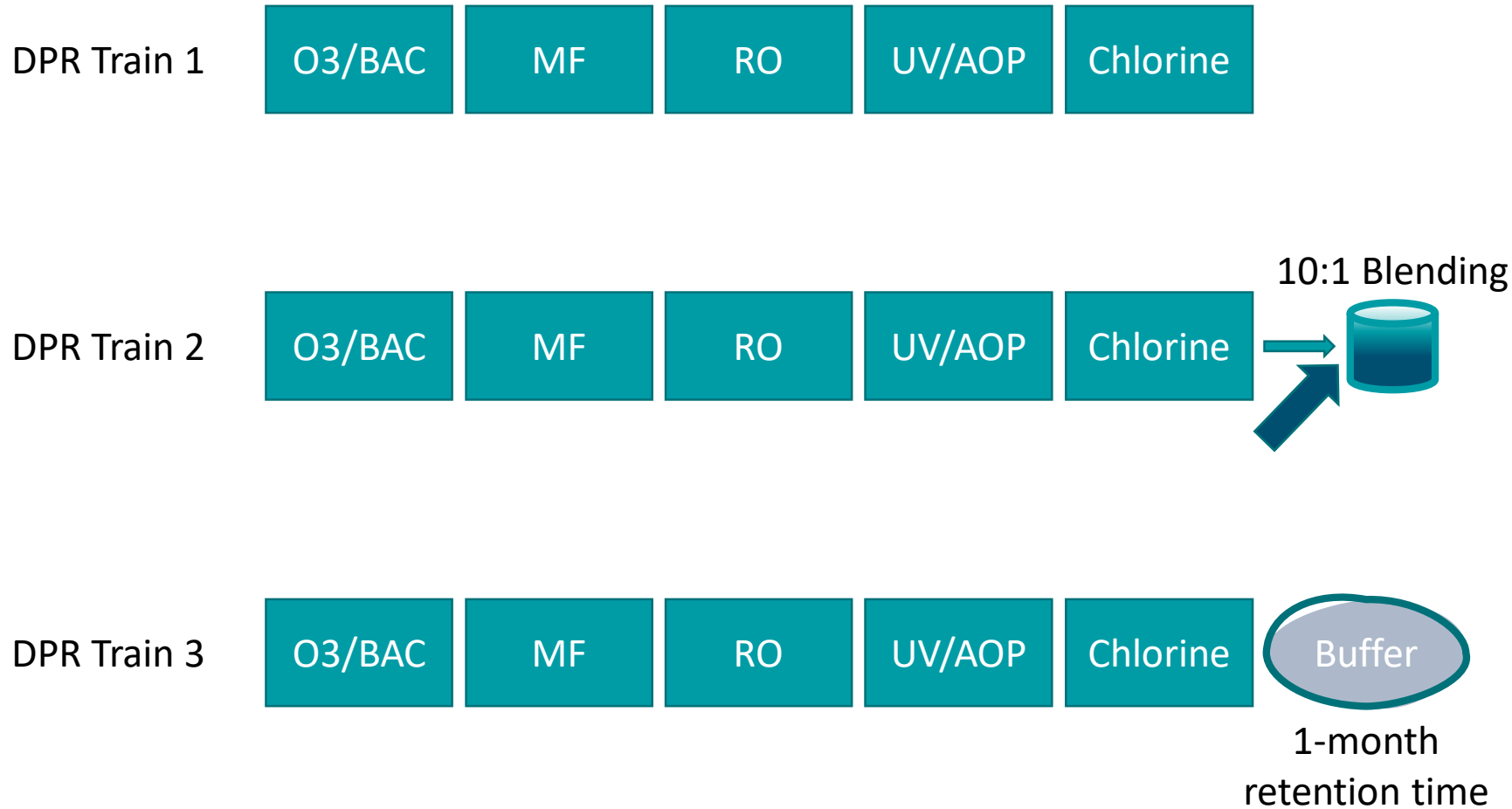
Assessing Treatment Performance



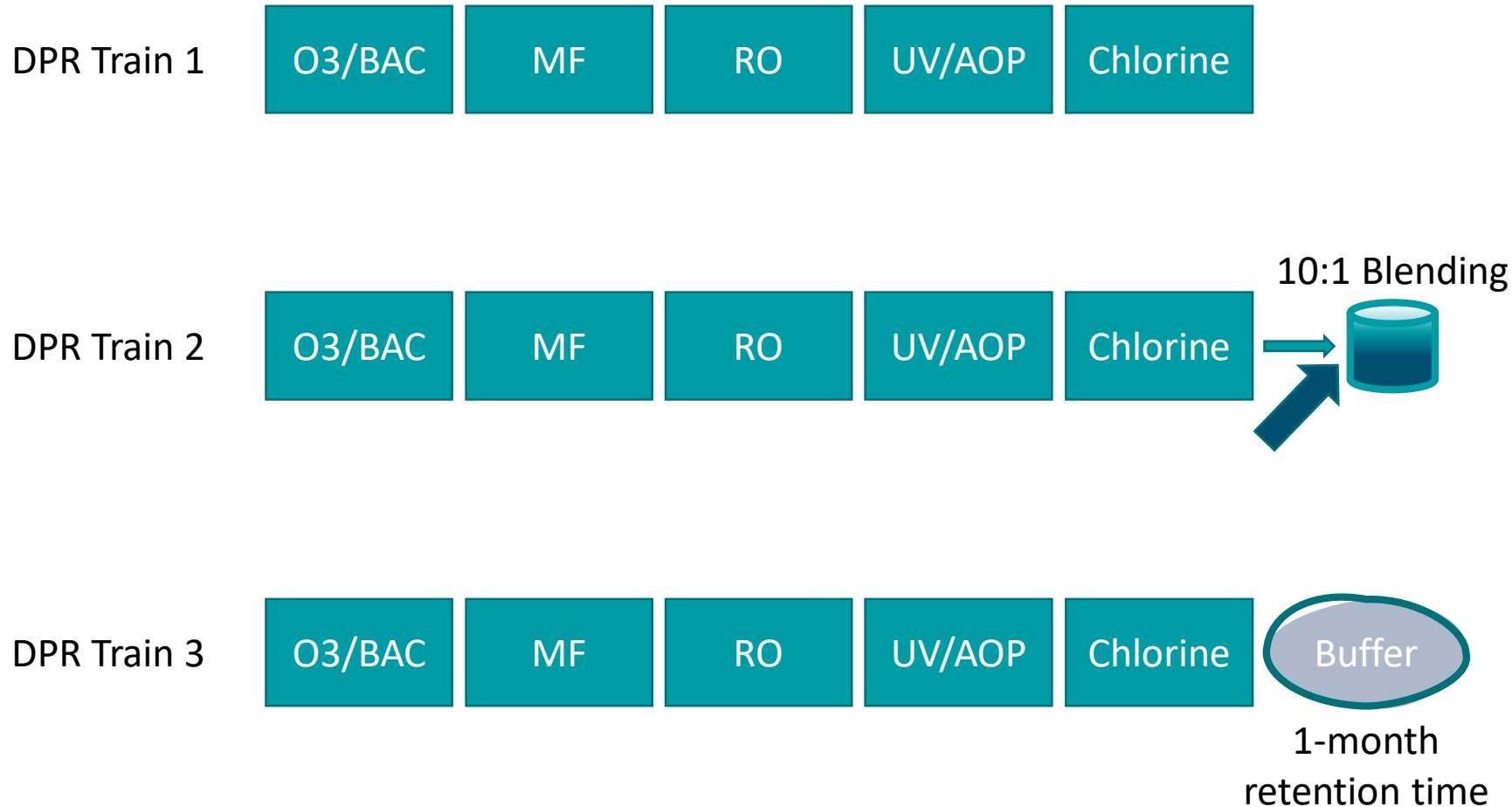
Assessing Treatment Performance



DPR Projects May Include Different Elements

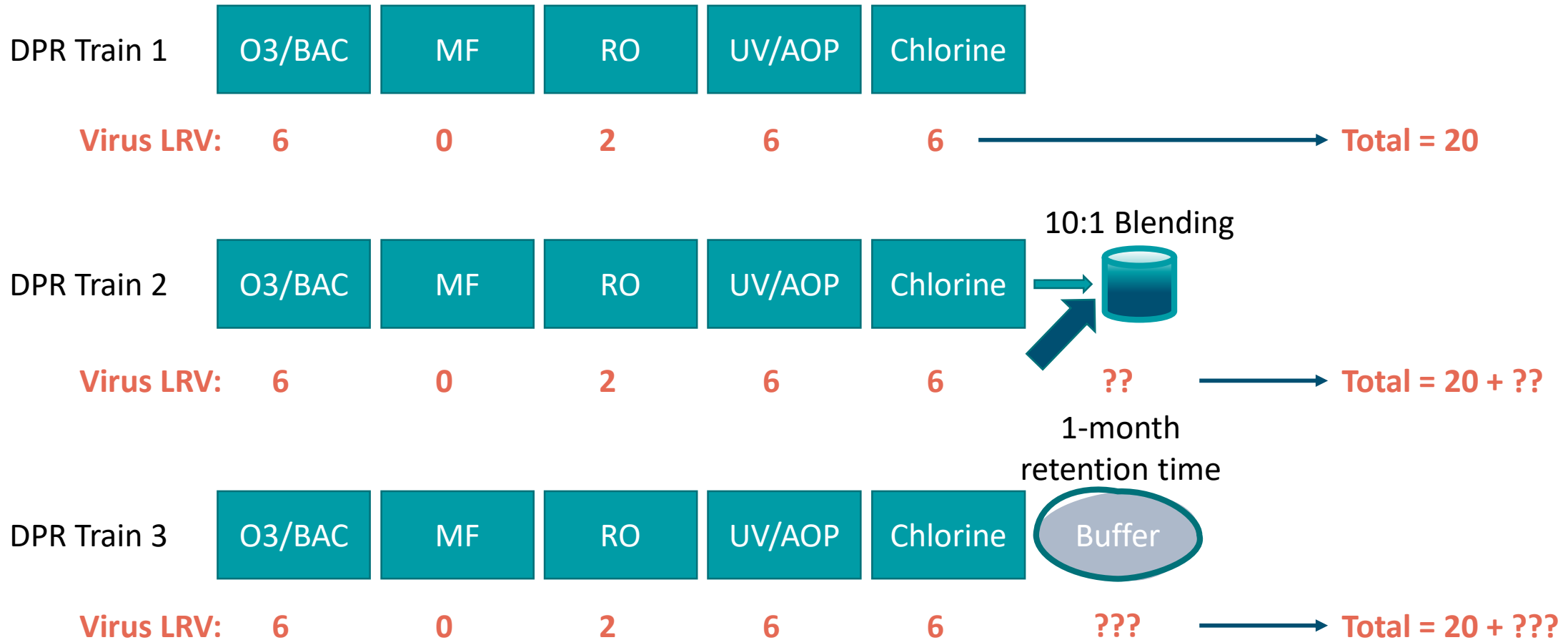


DPR Projects May Include Different Elements

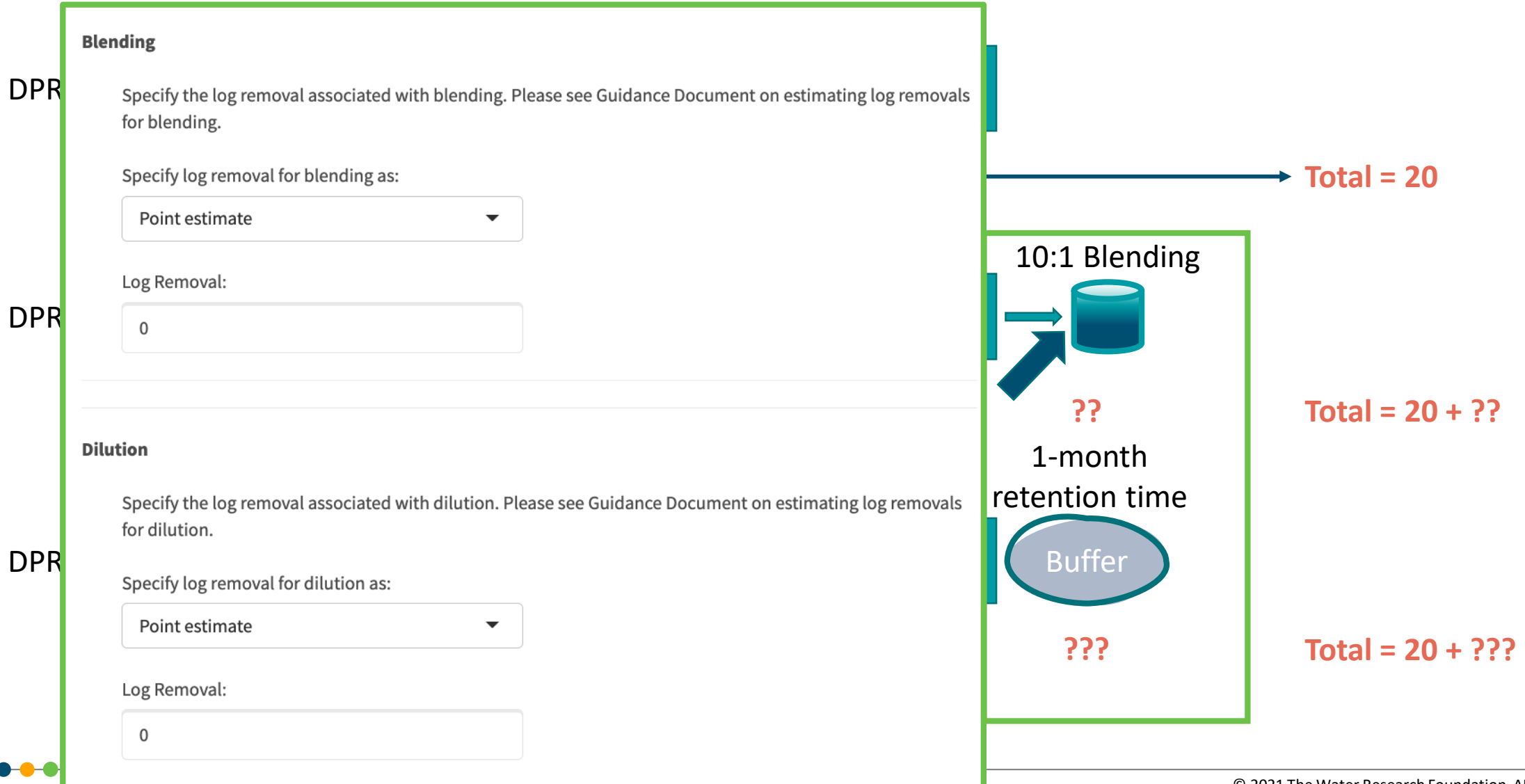


Do these projects have different risk profiles?

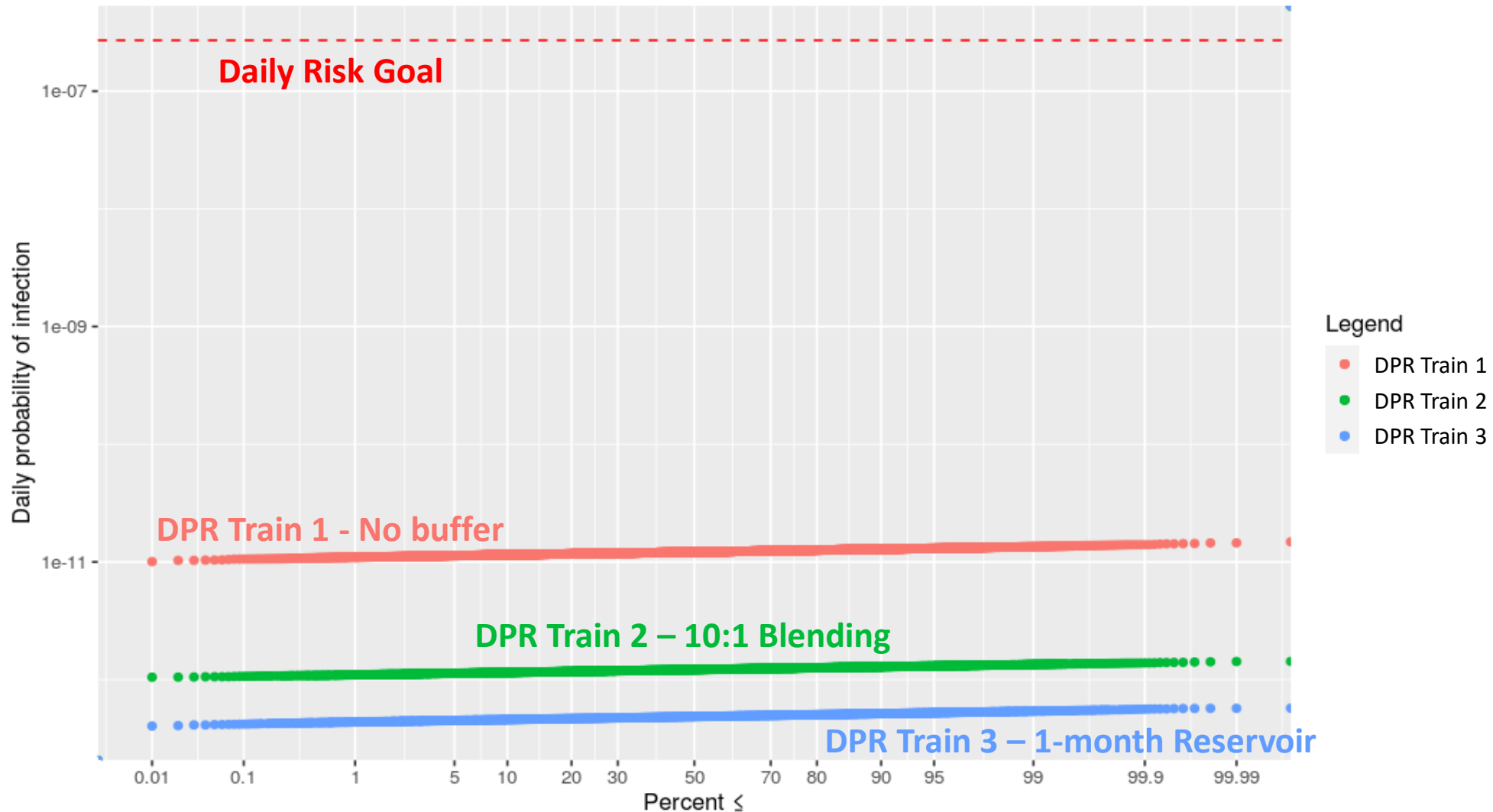
DPRisk – Evaluate Inclusion of Different Elements



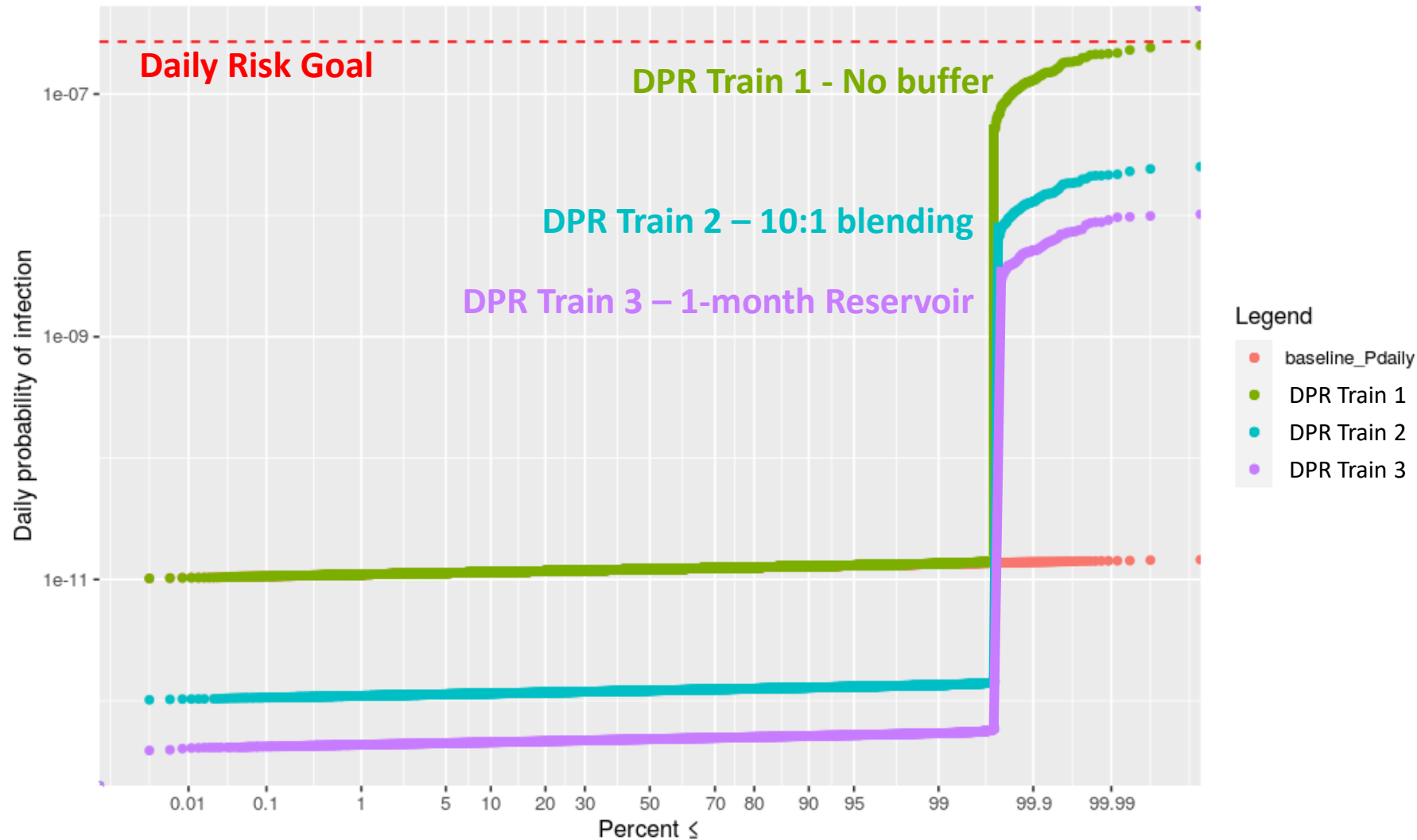
DPRisk – Evaluate Inclusion of Different Elements



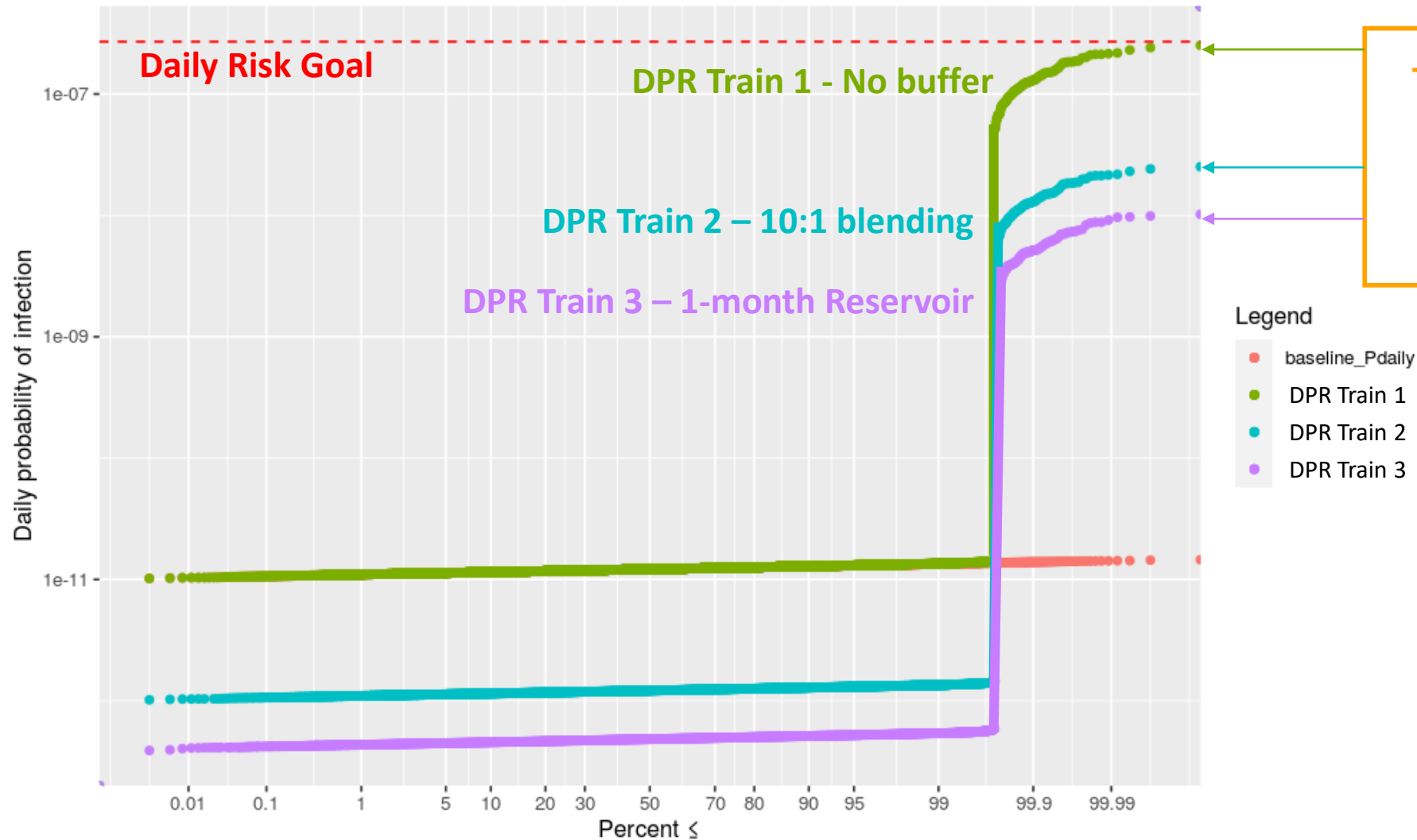
DPRisk – Risk Profiles of Projects with Different Elements



DPRisk – Risk Profiles of Projects with Failure Analysis



DPRisk – Risk Profiles of Projects with Failure Analysis



These projects have different risk profiles...

...should they have the same requirements?

Evaluate Your Project as a Whole

Treatment



Source Control



Monitoring/Response

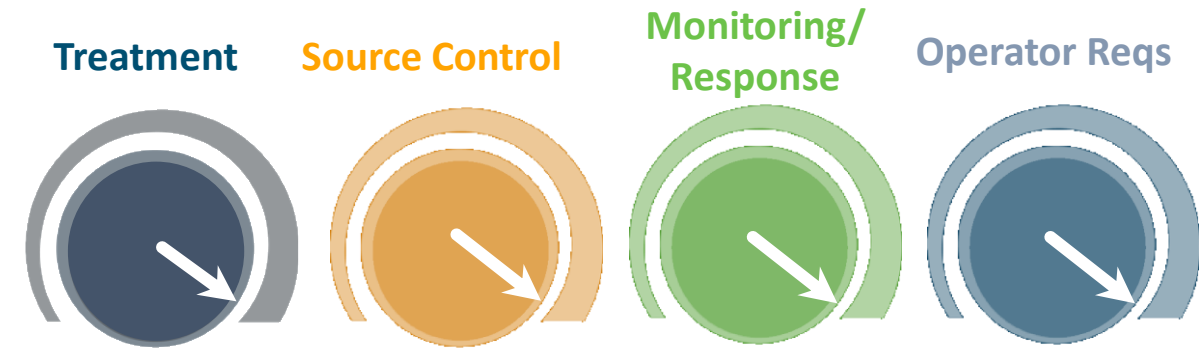


Operator Reqs

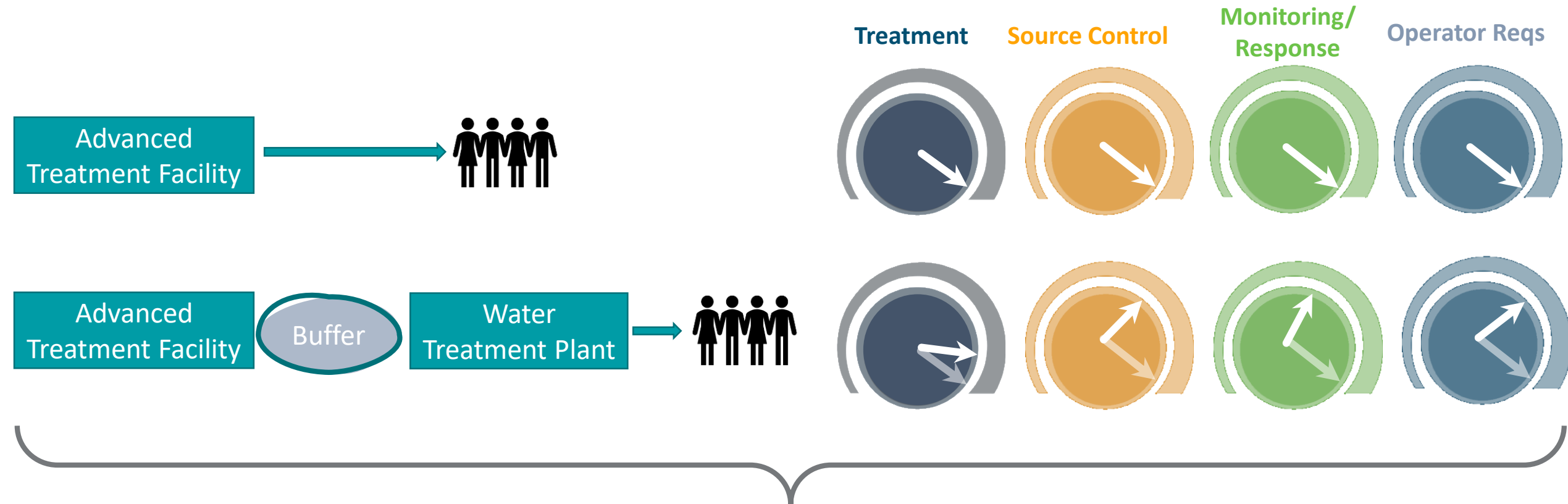


- How can I determine if my balance of project elements provides sufficient public health protection?
- DPRisk allows you to quantify the benefits of certain project elements

Requirements for DPR

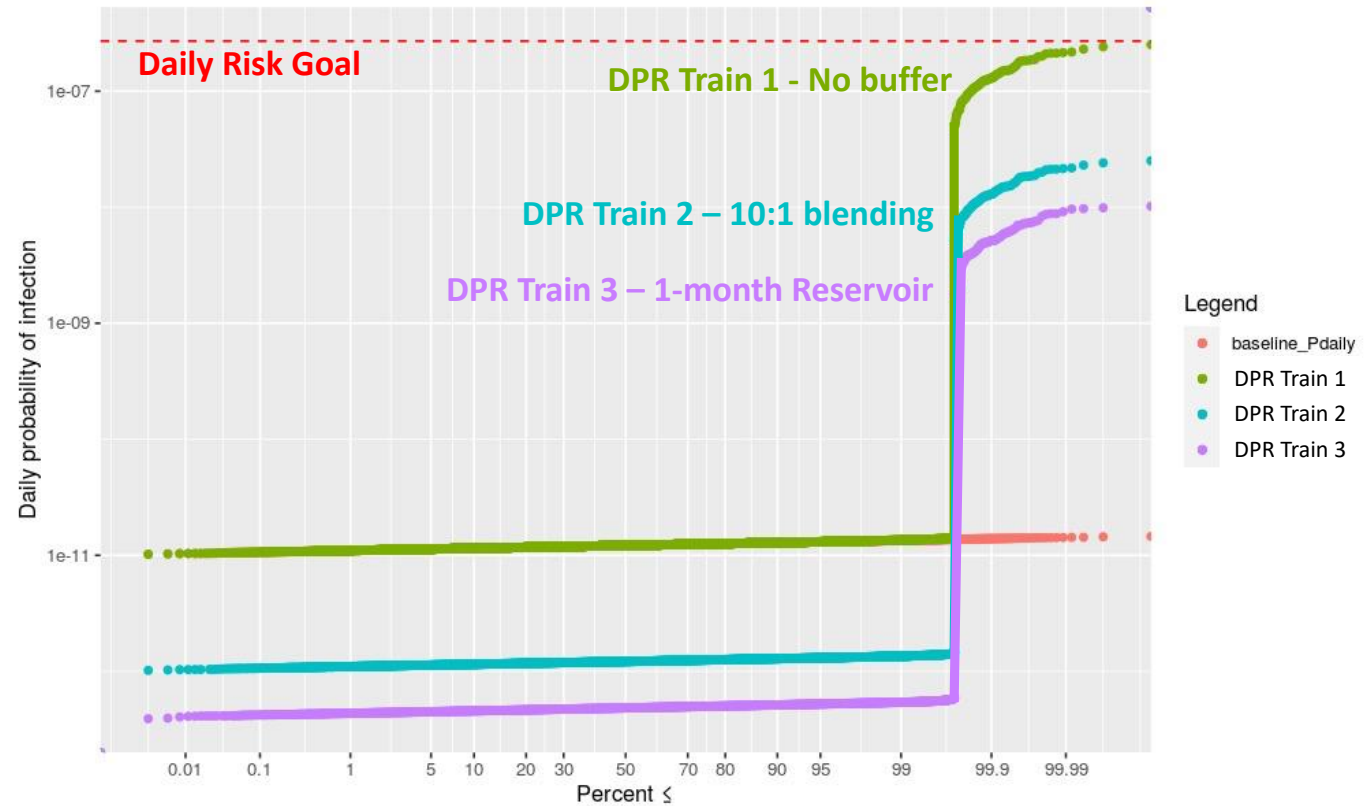
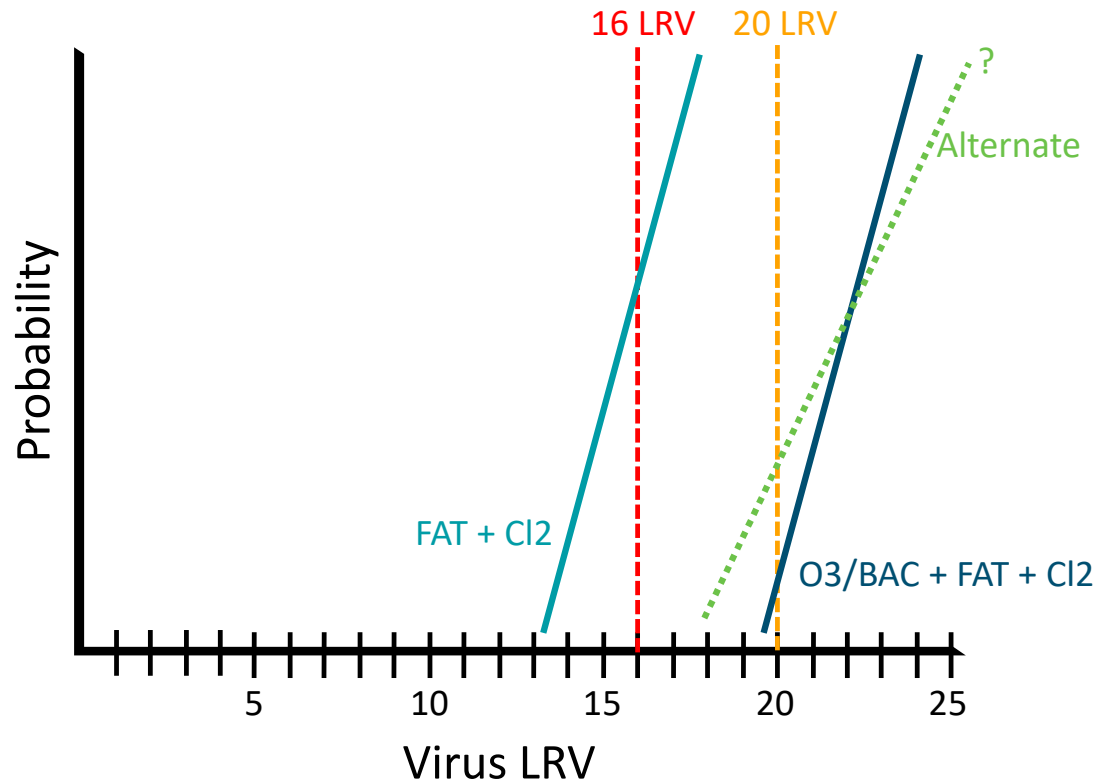


Requirements for DPR – Rebalanced



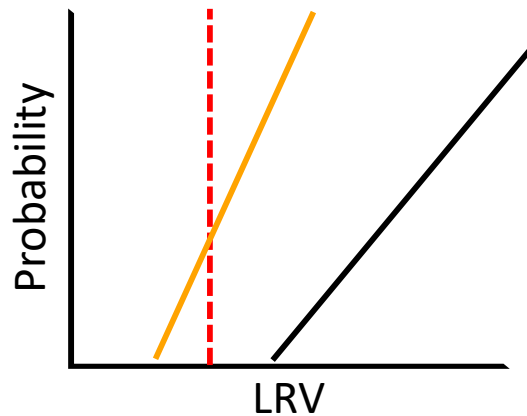
The combination of project elements and requirements should provide equivalent/reasonable public health protection

DPRisk – Quantify the Elements of Your Project



DPRisk – Evaluate Treatment and Risk of Your Project

- Use DPRisk to evaluate compliance with treatment and risk goals



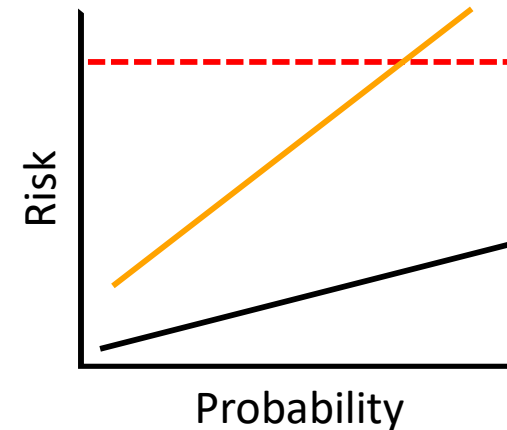
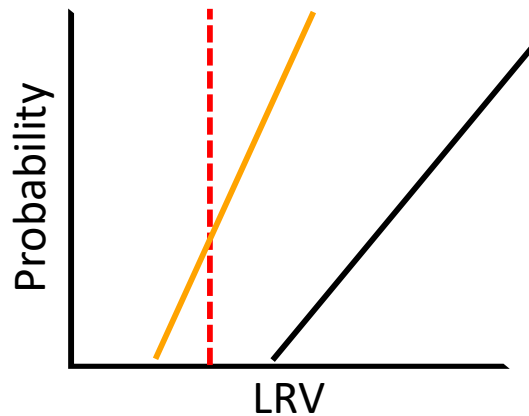
Is my project always complying with the treatment requirements?

How often might my project have to shutdown?

How do failures impact compliance with treatment goals?

DPRisk – Evaluate Treatment and Risk of Your Project

- Use DPRisk to evaluate compliance with treatment and risk goals



Is my project always complying with the treatment requirements?

How often might my project have to shutdown?

How do failures impact compliance with treatment goals?

Does my project always meet the risk thresholds?

How do failures in treatment impact the risk profile?

Is my project protective of public health?



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Pathogen Control Criteria Overview

Bob Hultquist



Pathogen Control Criteria Overview

- Pathogen reduction targets to achieve specific health risk goals
- Reliability - multi-barrier treatment, diverse treatment mechanisms, and redundant treatment
- Validate treatment trains to ensure effective pathogen removal
- On-line monitoring
- Pathogen control point critical limits
- Control system that responds appropriately

Pathogen Reduction - Achieve Risk Goal

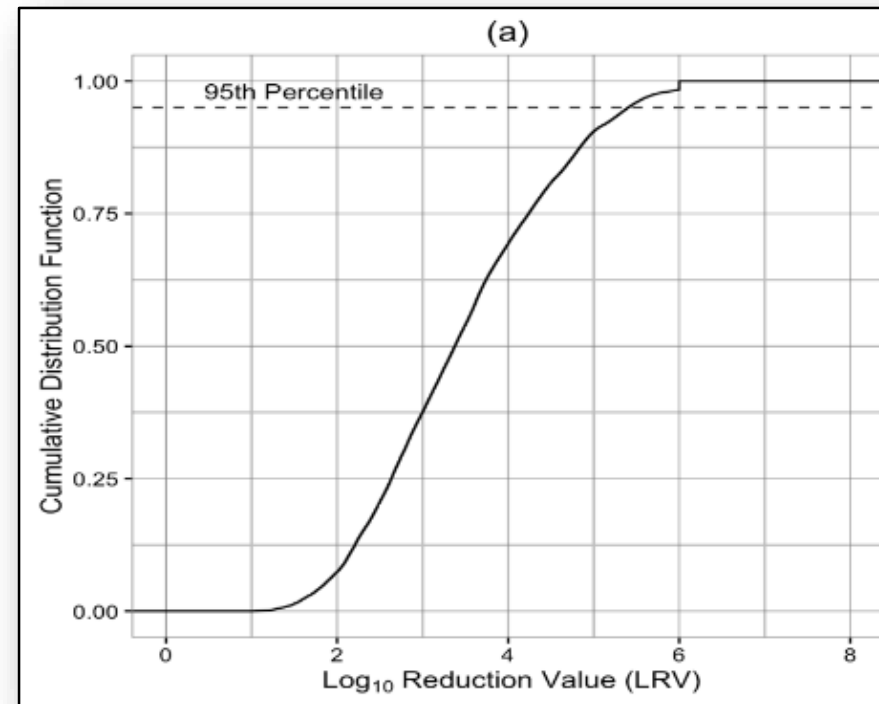
- Risk goal – daily risk of infection for consistent quality
- Reference pathogens - virus , Giardia, and Cryptosporidium
- Wastewater pathogen density - literature review & DPR-2 data
- Worst case wastewater pathogen density
 - NoV gene copies for virus
- Log reduction calculated from ratio of safe density to worst case wastewater density - 16/10/11 for virus , Giardia, and Cryptosporidium respectively

Pathogen Reduction – Treatment Reliability

- For each reference pathogen:
 - At least 4 pathogen treatment processes,
 - At least 3 treatment mechanisms (physical separation, chemical disinfection, UV disinfection)
- Extra (redundant) log reduction capacity (Expert Panel)
 - Quantitative microbial risk assessment (QMRA) used to evaluate failure scenarios – DPR-1: QMRA “DPRisk” tool
 - Critical failure scenario is UV power disruption
 - 4 log LRV deficiency for achieve daily risk goal
 - Hence treatment train must be designed to provide 20/14/15

Pathogen Treatment Validation

- Validate processes and trains
- Determine the LRV a treatment will achieve most of the time (5th percentile LRV)
- Correlate performance with a measurable parameter and identify limits indicating failure



Validation Process

- Identify the mechanism(s) of pathogen reduction by process
- Identify the pathogens addressed or appropriate surrogates for pathogens for validation study
- Identify influencing factors that affect efficacy of process
- Describe method to collect and analyze data: the lower 5th percentile LRV demonstrated is the LRV credited for process
- Determine critical limit(s)

Validation Opportunities

- The treatment required for CEC removal (O3/BAC – RO – AOP) can be validated for pathogen reduction and used to meet the bulk of the required LRTs
- Features of a raw water augmentation project, such as transport time and the “drinking water treatment plant” can be validated for pathogen LRVs

Pathogen Treatment LRVs

- The treatment train LRV for virus, Giardia, and Cryptosporidium is the sum of the treatment process validated 5th percentile LRVs for each pathogen.
- Any pathogen control point parameter that is not meeting the critical limit means that treatment process is not allowed the validated LRV.
- The sum of the treatment process validated log reductions for the treatment train must be at least 20 log for enteric virus, 14 log for Giardia cysts, and 15 log for Cryptosporidium oocysts.

Pathogen Treatment Operation Limits

- Discontinue delivery of water to the distribution system if the treatment train is not achieving LRVs of 16/10/11
- Discontinue delivery if the minimum # of treatment processes or treatment mechanisms are not provided.
- Discontinue delivery within 24 hours if the treatment train is not achieving minimum design LRVs of 20/14/15.

Pathogen Treatment Monitoring & Control

- Treatment LRVs must be tracked continuously with a SCADA system utilizing on-line monitoring for each process that was approved to receive credit for pathogen reduction.
- Control system must have associated alarms that indicate when the process is not operating as designed.
- Control system must be designed to identify a failure of a process to meet its critical limit.
- Control system must be designed to automatically stop the flow of inadequately treated water to the drinking water system before unsafe water reaches the system.



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Review of California Draft DPR Regulations: Expert Panel

Adam Olivieri, Dr.PH, PE
Principal and Founder, EOA, Inc.
DDW Expert Panel Co-Chair



Background: California Water Code Requirements

- California Water Code §13561 defines DPR as “the planned introduction of recycled water either directly into a public water system, or into a raw water supply immediately upstream of a water treatment plant.”
- DPR is defined to include, but is not limited to, the following: (1) “raw water augmentation,” which means the planned placement of recycled water into a system of pipelines or aqueducts that deliver raw water to a drinking water treatment plant that provides water to a public water system, and (2) “treated drinking water augmentation” which means the planned placement of recycled water into the water distribution system of a public water system.
- California Water Code (CWC) §13561.2(a) requires the SWB (DDW) to adopt proposed regulations on or before December 31, 2023. (pursuant to AB 574)

Enabling Legislation for Inclusion of Expert Panel

- Prior to adopting the proposed regulations, DDW must submit the proposed criteria to an expert review panel convened pursuant to CWC §13561.2(c). (pursuant to AB 574).
- In addition, the statutory mandate for external scientific peer review (Health and Safety Code §57004) states that the reviewer's responsibility is to determine whether the scientific portion of the proposed rule is based upon sound scientific knowledge, judgment, methods and practices.

Expert Panel Expertise Requirements

- **Microbial Risk Assessment**
- **Chemistry**
- **Microbiology**
- **Water Treatment Engineering**
- **Wastewater Treatment Engineering**
- **Toxicology**
- **Multi-barrier System Reliability**
- **Public Health**
- **Potable Reuse Operations**

Expert Panel Members

James Crook, PhD, PE, Panel Co-Chair

Environmental Engineering Consultant, (Boston, MA)

Adam Olivieri, DrPH, PE, Panel Co-Chair

Principal/Founder, Vice President of EOA, Inc. (Oakland, CA)

Richard Bull, PhD

Toxicologist, MoBull Consulting, (Richland, WA)

Jörg Drewes, Dr-Ing.

Professor, Chair of Urban Water Systems Engineering, Technical University, (Munich, Germany)

Charles Haas, PhD, F AEESP, BCEEM, F ASCE, F AAAS, F AAM, Dist. F IWA, F SRA, NAE

LD Betz Professor of Environmental Engineering

Head of Civil, Architectural, & Environmental Engineering, Drexel University, (Philadelphia, PA)

Joan B. Rose, PhD, NAE

Homer Nowlin Endowed Chair for Water Research Professor, Michigan State University, (East Lansing, MI)

George Tchobanoglous, PhD, PE, NAE, BCEE

Professor Emeritus, University of California (Davis, CA)

Expert Panel Members

Michael P. Wehner

Assistant General Manager, (Retired), Orange County Water District, (Fountain Valley, CA)

Charles Gerba, PhD

Professor, Microbiology & Environmental Sciences, Professor of Public Health, University of Arizona (Tucson, AZ)

Amy Pruden, PhD

W. Thomas Rice Professor, College of Civil and Environmental Engineering, Virginia Polytechnic Institute and State University, (Blacksburg, VA)

Shane Snyder, PhD

Professor of Civil and Environmental Engineering, Nanyang Technological University, (Singapore); Director, Nanyang Environment & Water Research Institute (Singapore)

Jacqueline E. Taylor, MPA, REHS

Director, Bureau of Environmental Protection, County of Los Angeles Department of Public Health (Los Angeles, CA)

Proposed Schedule

- Four to Five Full Panel Meetings
 - August 2021 (tentative)
 - Quarterly thereafter through mid-2022
- Technical Work Groups and Support
 - Through December 2023



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COMPLETION OF DIRECT POTABLE REUSE RESEARCH

In early 2021, WRF will publish results of direct potable reuse (DPR) research funded by a \$1.3 million grant from the California State Water Resources Control Board (SWRB), along with additional funding from Metropolitan Water District of Southern California. SWRB is relying on this research to aid in the development of uniform water recycling criteria for DPR that are protective of public health.

This research is key for the State of California. It is also applicable to stakeholders around the world who are considering or implementing potable reuse. The tools and findings developed through this research advance the state of knowledge to better address potential public health risks associated with microbial and chemical constituents of concern.



Summary flyer available on
<https://www.waterrf.org/california-state-water-board-grant>

Q&A



SWB DPR Research Webcast Part 2: Chemicals



SWB DPR Research Webcast Part 2: Chemicals

[Register Now](#)

[Already Registered?](#)

Wed, Jun 9, 2021 1:00 PM EDT (11:00 AM MDT), 1 hour 30 mins

[+ Add to Calendar](#)

This is the second event in a two-part webcast series that will showcase the research outcomes of WRF's first [California State Water Board \(SWB\) Grant](#).

This webcast, held in cooperation with SWB, will present findings from another project funded under the grant: [Defining Potential Chemical Peaks and Management Options](#) (4991). This research evaluated the potential for certain chemicals to persist through advanced water treatment systems and options for the detection of chemical peaks. Attendees will also hear from the SWB Division of Drinking Water on the importance of this research and how it will lead to their draft regulations.

Presenters:

Randy Barnard, State Water Board Division of Drinking Water
Jean Debroux, Kennedy Jenks
Shane Trussell, Trussell Tech
Brian Bernados, State Water Board Division of Drinking Water

Moderator:

Julie Minton, The Water Research Foundation
Jim Crook, DDW Expert Panel Co-Chair

[Register Now](#)

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Register: https://event.webcasts.com/starthere.jsp?ei=1464221&tp_key=cb1efb774c





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Thank you

Comments or questions, please contact:

Julie Minton: jminton@waterrf.org

For more information, visit www.waterrf.org

